



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

City of Phoenix

Consolidated Annual Performance And Evaluation Report

July 1, 2010 – June 30, 2011



TABLE OF CONTENTS

Narrative Statements	Page
Assessment of Strategic Plan Goals / Accomplishments	1
Table 1: Assessment of Five-Year Goals and Objectives	3
Table 2: Financial Summary by Program for 2010-11	4
Priority A: Homeownership	5
Priority B: Rental Housing	8
Priority C: Assisted Housing	11
Priority D: Supportive Housing	13
Priority E: Homeless Assistance	16
Priority F: Housing Rehabilitation	22
Community Development Activity Chart	26
Affirmatively Furthering Fair Housing	30
Affordable Housing	36
Continuum of Care Narrative	44
Other Actions	50
Obstacles to Serving Under-served Needs	50
Foster and Maintain Affordable Housing	55
Eliminate Barriers to Affordable Housing	57
Overcome Gaps in Institutional Structures	62
Public Housing Resident Initiatives	64
Lead Based Paint Hazards	68
Compliance and Monitoring	68
Reduce Number of Families in Poverty	72
Leveraging Resources	75
Table 3: Resources Made Available in the City of Phoenix	
Citizen Comments	77
Self-Evaluation	78



Programmatic Narratives	Page
Community Development Block Grant (CDBG)	81
Community Development Plan	90
HOME Investment Partnerships Program (HOME) Annual HOME Performance Report HOME Match Report	92
Housing Opportunities for Persons With AIDS (HOPWA) HOPWA Performance Chart 1 HOPWA Performance Chart 2	103
Emergency Shelter Grant (ESG)	110
Civil Rights Program Related Requirements	113
Attachments	
Attachment A: Financial Summary	114
Attachment B: Geographical Distribution of Expenditures	116
Attachment C: Census Tracts Receiving HUD Investments	119
Attachment D: Census Tracts Low/Moderate Income Population	120



Executive Summary

Assessment of Strategic Plan Goals/Accomplishments

In 2010, the City of Phoenix developed the 2010-2015 Consolidated Plan. As part of the Consolidated Plan process, the city must detail a specific one-year plan for the use of U.S. Department of Housing and Urban Development (HUD) formula grant funds. The one-year action plan is based upon the housing and community priority needs as defined in the Consolidated Plan along with the financial resources anticipated to be made available to address those needs during each fiscal year. The Annual Action Plan guides the allocation of resources and other investment decisions made by the City to assist lower income Phoenix residents and neighborhoods.

The Consolidated Annual Performance and Evaluation Report (CAPER) describes the activity accomplishments during the reporting period as outlined in the FY 2010-2011 Annual Action Plan. The CAPER details the performances that provided additional affordable housing and support services during the reporting period. This was accomplished by:

- Reviewing the various financial resources that were made available
- Tracking leveraged activities
- Revealing how the resources were utilized according to the priorities established in the Consolidated Plan
- Summarizing the number of persons or households assisted with housing or supportive services, and
- Describing other housing and community development related activities during FY 2010-2011

The following Table 1, “Assessment of Five-Year Goals and Objectives” shows progress made toward meeting identified five-year housing and community development goals.

Table 2, “Consolidated Plan Financial Summary” shows formula block grant dollars received during FY 2010-2011, and how the dollars were committed and expended by each Priority Area as identified in the Consolidated Plan.

The following accomplishments narrative provides greater detail on how available resources were utilized to develop affordable housing and provide supportive services over the reporting period. The narrative is organized by Priority Area as outlined in Table 2. Each Priority Area includes a table that details specific activities/accomplishments undertaken during the reporting period.



The tables are arranged by program and describe the target area served by the program, program activities, target population served, funding sources used, and whether the funds were committed and/or expended during the reporting period. The Priority Areas are not listed in any particular order and have no ranking significance.

Activities are arranged by their best fit to the Priority Area, as many activities could be included in more than one priority. All activities were evaluated and included in only one of the applicable Priority Areas to reduce confusion and eliminate duplication of reported accomplishments.

Before each Priority Area table is a brief Activity Highlights narrative.



Table 1: Assessment of Five-Year Goals and Objectives

The five-year strategy objectives identified in the *2005-2010 Consolidated Plan* provide the framework to establish reachable goals and outcomes. These numbers reflect projects completed with federal and local funds for Fiscal Year 2010-2011. The ultimate goal is to exceed in all areas. Table 1 below shows progress made toward reaching identified five-year goals:

Consolidated Plan FY2010 – 2015	FY 10-11	FY 11-12	FY 12-13	FY 13-14	FY 14-15	To Date ACTUAL (Federal & Local)	5-Year GOAL (Federal & Local)
Priority A Homeownership–Entitlement Homeownership–Local /NSP Units	95 75					95 75	140
Priority B Rental Housing – Federal and Local Units	203					203	1,080
Priority C Assisted Housing Units	5					5	250
Priority D Supportive Housing Units Persons Assisted	0 739					0 739	105 N/A
Priority E Homeless Assistance Additional Housing Units Persons/Units	78,437 57					78,437 57	250,000 170
Priority F Housing Rehabilitation Homeowners/Renters	2,622					2,622	8,445

* Five-Year Goal Met / Exceeded



Table 2

**CONSOLIDATED PLAN FINANCIAL SUMMARY
BY PROGRAM FOR 2010-11**

PROGRAM*	EXPENDED
Community Development Block Grant	\$17,516,407
HOME	\$13,761,594
Emergency Shelter Grant	\$776,001
HOPWA	\$1,243,365
TOTAL	\$33,297,367

**CONSOLIDATED PLAN FINANCIAL SUMMARY OF FUNDS
COMMITTED AND EXPENDED BY PRIORITY FOR 2010-11**

PRIORITY AREA	CDBG Expended	HOME Expended	ESG Expended	HOPWA Expended
PRIORITY A Homeownership	\$932,776	\$61,257		
PRIORITY B Rental Housing		\$10,833,326		
PRIORITY C Assisted Housing		\$26,538		\$517,471
PRIORITY D Supportive Housing	\$295,642			\$725,894
PRIORITY E Homeless Assistance	\$1,833,002	\$1,490,396	\$776,001	
PRIORITY F Housing Rehabilitation	\$3,910,851	\$1,350,077		
Community Development	\$7,880,186			
Project Implementation	\$108,048			
Management Administration	\$2,532,357			
Homeless Assistance Reported in 2009 but drawn in 2010	\$23,545			
GRAND TOTAL	\$17,516,407	\$13,761,594	\$776,001	\$1,243,365



PRIORITY A – Homeownership		
Provide Homeownership Opportunities to First-Time Homebuyers, Particularly for Low and Moderate Income Families with Children		
FUNDING SOURCE	EXPENDED	HOME OWNERS ASSISTED
HOME Funds	\$61,257	3
CDBG FUNDS	\$932,776	92
Other Funding Resources	\$7,181,859	75
Totals	\$8,175,892	170

PRIORITY A: Activity Highlights:

- During the reporting period, the City's Annual Plan goal was to assist 80 low and moderate-income households to become first-time homeowners. The Housing Department utilized **\$61,257** in HOME and **\$945,000** Neighborhood Stabilization Program (NSP) funding to help **66** families become new homeowners. The Neighborhood Services Department utilized **\$180,000** in NSP funding to help **12** families become new homeowners. Funds are used to provide \$15,000 in down payment and closing cost assistance soft-second loans to help them purchase foreclosed homes at a discount in the City of Phoenix.
- Federal funds were leveraged by \$5,617,975 in private lender funding for homeownership programs administered by the Housing Department. The funds are used to finance activities such as soft second loans, down payment assistance and homeownership counseling.
- HOME funds were used to help create one first-time homebuyer opportunity through the Open Doors Program, a new Housing Department down payment assistance program with three nonprofit agencies under contract providing referrals and homebuyer counseling: Community Housing Resources of Arizona, Housing Our Communities, and Desert Mission Neighborhood Renewal.
- The Palomino Community Builders homeownership project, building six new single family homes in an area of the city with few new homeownership opportunities, closed its first homebuyer this reporting period leveraging \$110,511 in private lender financing.
- Through the Home Ownership Counseling Program, 638 families were able to receive housing counseling services during this reporting period, 92 became first time homebuyers.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

PRIORITY A	Provide home ownership opportunities to first-time homebuyers, particularly for moderate-income families with children.
PROPOSED GOALS Assist 80 households to homeownership.	ACCOMPLISHMENTS Using federal funds, the City of Phoenix assisted 3 low and moderate income household become first-time homeowners. The city assisted an additional 638 low and moderate-income families with housing counseling services. NSP funds provided down-payment and closing cost assistance to 75 families that were 120% of area median income.
ANALYSIS	It is very difficult for low and moderate-income households to purchase quality housing at an affordable price, particularly if they are first-time homebuyers. Assisting this sector of the housing market will help families build assets, strengthen neighborhoods, and provide economic stability to the local economy.

Programs-Services (Target Area)	Activity	Units	Target Group(s)	Federal Funding Funds Expended	Other Funding funds Expended	Outcome Objective
HOME FUNDED PROGRAMS						
Community Housing Resources of Arizona (Citywide)	Soft-second loans of up to \$40,000 shared equity loans	1	Low & moderate income first-time home buyers	HOME \$40,000	Private \$105,317	DH-2
Desert Mission Neighborhood Renewal (DMNR) Open Doors - Citywide	Soft-second loans of up to \$15,000	1	Low & moderate income first-time home buyers	HOME \$15,000	Private \$101,776	DH-2
Housing Our Communities Open Doors - Citywide	Soft-second loans of up to \$15,000	0	Low & moderate income first-time home buyers			DH-2
Community Housing Resources of Arizona (Citywide)	Soft-second loans of up to \$15,000	0	Low & moderate income first-time home buyers			DH-2
Palomino Community Builders (Palomino)	Construction and soft-second loans for 6 homes.	1	Low & moderate income first-time home buyers	HOME \$6,257	Private \$110,511	DH-2
Sub Total		3		\$61,257	\$317,604	



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

Programs-Services (Target Area)	Activity	Units	Target Group(s)	Entitlement Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
CDBG / OTHER FUNDED PROGRAMS						
Community Housing Resources of Arizona (Citywide) NSP Homeownership Assistance Program	Downpayment and closing cost assistance loans of up to \$15,000 for 188 families	63 (31 @ 80% or below)	Homebuyers up to 120% of AMI		Private \$5,300,371 NSP \$945,000	DH-2
NSP – Move in Ready (MIR) Program Neighborhood Services Dept.	Downpayment and closing cost assistance loans of up to \$15,000 for 188 families	12	Homebuyers up to 120% of AMI		Private \$703,092 NSP \$180,000	DH-2
Housing Counseling (Citywide)	Funds were used by Community Housing Resources of Arizona, Neighborhood Housing Services, ACORN, Labors Community Service Agency, Chicanos Por La Causa, Desert Mission, and Phoenix Urban League for home ownership counseling and assistance to 638 families. 92 became first time home owners.	92	638 Low/ Moderate income families	\$78,814	Other: \$53,396	DH-2
Land Acquisition, Open Application Infill Housing Development, Demolition	Funds were used for acquisition and demolition of structures and development of infill housing as part of comprehensive revitalization strategies for homeownership. Non-profit agency partners who are developing the homes included Neighborhood Housing Services, Labors Community Service Agency and Capitol Mall	0	Garfield, Isaac, Village Center, New North Town, South Phoenix Village	\$853,962		DH-2
CDBG Subtotal		92		\$932,776	\$6,056,859	
NSP Subtotal		75			\$1,125,000	
TOTAL PRIORITY A		170		\$994,033	\$7,181,859	

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3



PRIORITY B – Rental Housing		
Provide Quality, Affordable Rental Housing Opportunities to Low- and Moderate-Income Households Primarily through the Acquisition and Rehabilitation of Existing Properties		
FUNDING SOURCE	EXPENDED	RENTAL UNITS
Entitlement Grant Funds	\$10,833,326	203
Other Funding Resources	\$44,527,392	-
Totals	\$55,360,708	203

PRIORITY B – Activity Highlights:

- During the reporting period, the Housing Department added **203** units of affordable rental housing to its citywide inventory of quality housing created through the Department's Affordable Housing Loan Program.
- The Housing Department committed \$11,950,000 in HOME Program funds; expended \$10,833,326 in HOME funds and leveraged \$46,038,970 during the reporting period for construction, acquisition and rehabilitation funding for the 203 units plus an additional 578 units of affordable rental units in various stages of project completion.
- The Housing Department committed \$25,000,000 in NSP Program funds; expended \$15,006,621 in NSP funds and leveraged \$4,400,000 during the reporting period for acquisition and rehabilitation funding for 1,229 units of foreclosed multifamily housing in various stages of project completion.
- The Symphony, a HOPE VI new construction project of 83-units of family housing, leveraged \$12,532,476 in financing for the \$4,117,235 in HOPE VI funds committed: a 3:1+ ratio of other financing for every HOPE VI dollar.
- Rehoboth Place Apartments, a 47-unit new construction project serving families, was completed this reporting year. Financing partners included the Low Income Housing Tax Credit Program, State of Arizona Housing Department Tax Credit Exchange funds, and private lender permanent financing.
- Legacy Crossing Phase I, an 80-unit new construction project serving families, was also completed this reporting year. Financing partners included the Low Income Housing Tax Credit Program, State of Arizona TCAP funds, and private lender permanent financing.
- Topaz Pointe Apartments, a 68-unit new construction project serving families, was also completed this reporting year. Financing partners included the Low Income Housing Tax Credit Program and private lender permanent financing.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

PRIORITY B	Provide quality, affordable rental housing opportunities to low and moderate income households through the construction and/or acquisition and rehabilitation of existing properties.
PROPOSED GOALS Provide 280 additional affordable rental housing units in Phoenix	ACCOMPLISHMENTS The city assisted in the acquisition, rehabilitation and construction of 203 units of affordable rental housing in Phoenix. The city has commitments for 897 additional affordable housing units that are in the process of being rehabilitated or constructed.
ANALYSIS	The city assists in providing housing opportunities to low and moderate income households who are not eligible or not able to secure residency in assisted housing. The affordable rental housing programs offer no monthly rent subsidy. However, these programs result in the monthly rents charged being less than market rents.

Programs-Services (Target Area)	Activity	Units	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
---------------------------------	----------	-------	-----------------	--------------------------------	------------------------------	-------------------

HOME FUNDED PROGRAMS

Topaz Pointe Apartments	New construction of 68-unit multi-family senior building (10HOME units)	68	Lower income renters below 60% of MI			DH-2
Santa Fe Springs Apartments	Acquisition/Rehab of 310-unit multifamily apartment building (112 HOME units, 165 NSP units)		Lower income renters with 165 NSP units below 50% of MI; 112 HOME units below 60% MI; 30 units up to 120% and 3 market units	HOME \$1,485,721	NSP \$5,000,000 Private \$145,311	DH-2
Oasis On Grand	Acquisition/rehab of 60-unit multifamily building (38 HOME units)		Lower income renters below 60% of MI	HOME \$747,628	Private \$2,007,598	DH-2
Rehoboth Place Apartments	New construction of 47-unit multi-family apartment building (11 HOME Units)	47	Lower income renters below 60% of MI	HOME \$1,164,600	Private \$162,832 ADOH Exchange \$5,904,558	DH-2
Legacy Crossing Apartments	New Construction of 80 unit multi-family apartment building (16 HOME units)		Lower income renters below 60% of MI			DH-2
Grandfamilies Place of Phoenix	New construction of 56-unit building for grandparents who have custody of grandchildren		Low income seniors below 60% of MI	HOME \$1,658,145		DH-2
Devine Legacy on Central	New construction of 65-unit multifamily building		Low income renters below 60% of MI	HOME \$2,751,420	ADOH \$889,200	DH-2



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011

The Lofts at McKinley	New construction of 60-unit senior apartment building (28 HOME Units)		Lower income seniors below 60% of MI	HOME \$2,456,906		DH-2
Legacy Crossing Apartments, Phase I	New construction of 80-unit multi-family apartment building (16 HOME Units)	72	Lower income renters below 60% of MI/8 market units	HOME \$568,906	Private \$3,669,511 ADOH TCAP \$521,790 LIHTC \$7,905,780	DH-2
OTHER PROGRAMS						
Park Lee	Acquisition and rehab of 523-unit multifamily building		Lower income residents below 50 % and 60% of MI		NSP \$4,722,950	DH-2
Mary Ellen's Place	Acquisition and rehab of 16-unit multifamily building	16	Low income persons below 50% of MI			DH-2
The Symphony	New construction of 83-unit multifamily building		Lower income renters below 60% of MI		Private \$464,760 ADOH TCAP \$1,737,795 HOPE VI \$3,895,004 Other Public \$1,943,118	DH-2
West End Light Rail Apartments	Acquisition and rehab of 236-unit multifamily building		Lower income renters below 50% and 60% of MI		NSP \$5,184,153	DH-2
Summit Apartments	Acquisition and rehab of 206-unit multifamily building		Lower income renters below 60% of MI			DH-2
Pine Crest Apartments	Acquisition and rehab of 264-unit multifamily building		148 Low income renters below 50% of MI; 53 below 80% and 63 up to 120% MI		NSP \$99,518 HSG \$273,504	DH-2
HOME Subtotal		203		\$10,833,326		
TOTAL PRIORITY B		203		\$10,833,326	\$44,527,382	

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

PRIORITY B ENTITLEMENT FUNDS COMMITTED:

Oasis on Grand	\$3,000,000
Legacy Crossing Apartments Phase II	\$750,000
Grandfamilies Place of Phoenix	\$2,200,000
Devine Legacy on Central	\$3,000,000
The Lofts at McKinley	\$3,000,000



PRIORITY C – Assisted Housing		
Provide Assisted Rental Housing Opportunities to Low Income Elderly, Families, Homeless Persons and Other Persons with Special Needs		
FUNDING SOURCE	EXPENDED	ASSISTED UNITS
Entitlement Grant Funds	\$544,009	5
Section 8 Funding / Other	\$5,525	
Totals	\$549,534	5

PRIORITY C – Activity Highlights:

- This reporting year, the Housing Department received five additional Housing Opportunities for Persons With AIDS (HOPWA) vouchers for a total of 87. The HOPWA funds provided subsidy for 87 units that housed 98 very-low income and special needs persons living with HIV/AIDS. The HOPWA Program is flexible enough to allow participants to stay in place or relocate to an area that may fit their health needs.
- Fillmore Hacienda has been acquired and is undergoing rehabilitation by the National Farm Workers Service Center. The project leveraged \$4,755,100 in Section 202 financing from HUD previous year funds.
- Another National Farm Workers Service Center project is the Roeser Hacienda Apartments, a 45-unit acquisition and new construction Section 202 project with \$26,538 in HOME Program funding expenditures. Roeser Hacienda leveraged \$5,651,000 in Section 202 funds from HUD previous year funds. Both Farm Workers projects will be on-line for next reporting year.
- The Housing Department is also working on a new HOPE VI project, the Frank Luke Addition with 60-units being constructed for very-low income seniors and the disabled. The City has committed \$3,486,000 in General Obligation Bond funds to the project as well as leveraging \$9,573,262 in Low Income Housing Tax Credit equity.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

PRIORITY C		Provide assisted rental housing opportunities (rental subsidies) to low income families, elderly, homeless persons, and others with special needs.				
PROPOSED GOALS Provide subsidy to 50 low-income households		ACCOMPLISHMENTS The Housing Department provided 87 rental vouchers for persons living with HIV/AIDS. The Department is working to provide 154 additional units of affordable housing for seniors and persons with disabilities in Priority C activities.				
ANALYSIS		The city continues to experience a large demand for assisted housing programs. The unmet need for additional assisted housing is reflected by waiting lists exceeding 25,000 households.				
Programs-Services (Target Area)	Activity	Units	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
HOPWA FUNDED PROGRAMS						
HOPWA Rental Assistance Program (Maricopa & Pinal Counties)	Provided rental vouchers (87 units / 98 households)	5	Low and moderate income persons and families living with HIV/AIDS	HOPWA \$517,471		DH-2
HOPWA Subtotal		5		\$517,471		
HOME FUNDED PROGRAMS						
Roeser Hacienda	New construction of 45-unit Section 202 senior housing complex		Lower income senior renters below 50% of MI	HOME \$26,538		DH-2
HOME Subtotal				\$26,538		
OTHER PROGRAMS						
Fillmore Hacienda	New Construction of 49-unit Section 202 senior housing complex		Lower income senior renters below 50% of MI		GO Bonds \$5,525	DH-2
Frank Luke Addition	New construction of 60-unit senior building		Very low income seniors and the disabled			DH-2
Other Subtotal					\$5,525	
TOTAL PRIORITY C		5		\$544,009	\$5,525	

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

PRIORITY C ENTITLEMENT FUNDS COMMITTED:

HOPWA Rental Assistance Program (Maricopa and Pinal Counties)	\$871,344
--	-----------



PRIORITY D – Supportive Housing		
Promote Supportive Services and Facilities for Frail Elderly, Disabled Persons, Low Income Families, or Other Persons with Special Needs		
FUNDING SOURCE	EXPENDED	SUPPORTIVE HOUSING UNITS / PERSONS SERVED
Entitlement Grant Funds	\$1,021,536	0 Units 739 Persons Served
Other Funding Resources	-	-
Totals	\$1,021,536	739

PRIORITY D – Activity Highlights:

- The Housing Department committed \$700,000 in HOPWA funding and utilized \$591,863 to provide leasing and operating assistance to **82** supportive housing units serving **217** persons living with HIV/AIDS and their family members.
- Sojourner Center, a new construction transitional housing complex serving 20 women who have experienced domestic violence and their children was completed during last reporting year and had \$295,642 in CDBG final construction expenditures.
- The Housing Department committed HOPWA funding in the amount of \$50,000 and expended \$55,863 for a HIV/AIDS Housing Coordinator to provide housing information and referral services to **299** persons and families living with HIV/AIDS in FY2010-2011.
- The HOPWA Emergency Assistance Program continues to provide assistance to avoid homelessness and assisted **223** households in FY2010-2011. During the reporting period, the Housing Department committed \$20,000 and expended \$78,168 in HOPWA funds for the emergency assistance program.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

PRIORITY D		Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters), or other persons with special needs.				
PROPOSED GOALS Assist in the creation of 25 housing units with supportive services		ACCOMPLISHMENTS HOPWA funding continued to provide non-profit agencies leasing and operating assistance that supported 82 units serving 217 persons. HOPWA funding also provided short-term emergency assistance to 223 persons and funded a HIV/AIDS Housing Coordinator who assisted 299 persons with housing information services.				
ANALYSIS		There is a high percentage of unmet need for supportive housing facilities and services for special needs groups in Phoenix. The City of Phoenix assists nonprofit groups in helping to meet these housing needs.				
Programs-Services (Target Area)	Activity	Units Created	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
CDBG FUNDED PROGRAMS						
Sojourner Center Phase II	New construction of 20-units of transitional housing for victims of domestic violence	Previously Reported	Victims of domestic violence	CDBG \$295,642		
CDBG total				\$295,642		
HOPWA FUNDED PROGRAMS						
Programs-Services (Target Area)	Activity	Persons Served	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
Southwest Behavioral Health Services (Citywide)	Operating assistance for 4 group homes for permanent supportive housing (18 beds / 20 persons)	20	Low and moderate income persons living with HIV/AIDS	HOPWA \$78,084		
The Phoenix Shanti Group (Metro Area)	Operating assistance for transitional housing (11 units / 44 persons)	44	Very low income homeless individuals living with HIV/AIDS	HOPWA \$109,087		
Southwest Behavioral Health Services (Metro Area)	Operating assistance for permanent supportive housing (11 units / 12 persons)	12	Homeless low and moderate income households living with HIV/AIDS	HOPWA \$34,845		
Southwest Behavioral Health Services (Metro Area)	Leasing and operating assistance for transitional housing (12 units / 31 persons)	31	Homeless low and moderate income households living with HIV/AIDS	HOPWA \$124,789		
Southwest Behavioral Health Services (Metro Area)	Leasing and operating assistance for transitional housing (10 units / 35 persons)	35	Homeless low and moderate income households living with HIV/AIDS	HOPWA \$97,694		
Area Agency on Aging (Metro Area)	Funds to support a HIV/AIDS Housing Coordinator who provides housing information	299	Low and moderate income persons living with HIV/AIDS	HOPWA \$55,863		



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

	(299 persons)					
Mercy Housing Southwest (Citywide)	Leasing assistance (20 units / 75 individuals)	75	Low and moderate income persons living with HIV/AIDS	HOPWA \$147,364		
Southwest Behavioral Health Services Emergency Assistance Program (Metro Area)	Funds utilized for short-term emergency housing assistance (223 households)	223	Low and moderate income persons living with HIV/AIDS	HOPWA \$78,168		
HOPWA total		739		\$725,894		
TOTAL PRIORITY D		0 Units 739 Persons Assisted		\$1,021,536		

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

PRIORITY D ENTITLEMENT FUNDS COMMITTED:

Southwest Behavioral Health Services (Citywide) Operating Assistance for 4 Group Homes	\$80,000
The Phoenix Shanti Group (Metro Area)	\$115,000
Southwest Behavioral Health Services (Metro Area) Operating Assistance for Permanent Supportive Housing	\$60,000
Southwest Behavioral Health Services (Metro Area) Leasing and Operating Assistance for Transitional Housing	\$150,000
Southwest Behavioral Health Services (Metro Area) Leasing and Operating Assistance for Transitional Housing	\$110,000
Area Agency on Aging	\$50,000
Mercy Housing	\$185,000
Southwest Behavioral Health Services Emergency Assistance Program	\$20,000



PRIORITY E – Homeless Assistance		
Promote and Participate in a Regional Continuum of Care System That Will Effectively Transition Persons Who Are Homeless to Appropriate Permanent Housing Settings		
FUNDING SOURCE	EXPENDED	ASSISTED PERSONS / UNITS
Entitlement Grant Funds	\$4,099,399	78,437 persons 57 units
Other Funding Resources	\$14,679,641	-
Totals	\$ 18,799,059	78,494

Priority E Activity Highlights:

- The Housing Department committed \$2,000,000 in HOME Program funds for the Victory Place Phase III campus, a 75-unit permanent supportive housing community for homeless veterans. The project has expended \$1,490,396 in HOME funds during the reporting year and has expended \$13,545,722 in leverage funds from State of Arizona Low Income Housing Tax Credit Program; State of Arizona HOME funds; private financing and State of Arizona Veterans Affairs funds. Project is almost complete and the 75 units will be on-line for reporting next year.
- The Housing Department previously committed \$300,000 in CDBG funds for the Fuhst House project, a 5-unit new construction transitional housing facility being built by House of Refuge Sunnyslope for men in recovery. The project completed this reporting year with \$224,518 in CDBG expenditures.
- The Housing Department previously committed \$800,000 in CDBG funds for the Tumbleweed Center for Youth Development, a 12-unit permanent housing facility for homeless youth. Project was completed this year with \$715,405 in CDBG expenditures.
- The Chrysalis Shelter Addition, a domestic violence shelter funded by Housing Department CDBG funds, was allocated an additional \$100,000 in CDBG funds this reporting year and expended \$684,137 out of a \$1,050,000 CDBG award. Funds were used to construct a 40-bed shelter for victims of domestic violence and their children which is now on-line.
- NSP funds in the amount of \$3,892,000 were committed to Collins Court, an 80-unit acquisition and rehab permanent housing project serving homeless persons and veterans.



The NSP funds leveraged \$387,097 in Continuum of Care Homeless Program funds and \$1,000,000 from the Federal Home Loan Bank Affordable Housing Program (AHP). Rehab has begun with \$1,026,919 in NSP funds expended during the reporting year.

- In FY 2010-2011 - 13,140 unduplicated families received emergency assistance and case management services, with over \$10 million spent on such vital needs as food, rent, mortgages, emergency shelter and utility payments.
- In FY 2010-2011 - 1,147 families received long-term case management services.
- In FY 2010-2011 - 2,537 unduplicated homeless people were served at the Watkins Emergency Shelter, including 407 families with children.
- In FY 2010-2011, over \$8.2 million was spent to help 12,700 families make utility payments or deposits.
- In FY 2010-2011, over \$1.2 million was spent to help 2,092 families avoid eviction or foreclosure.
- In FY 2010-2011 - 358 families were assisted with the repair and/or replacement of household appliances to include heating and cooling units.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

PRIORITY E		Promote and participate in a regional continuum of care system that will effectively transition persons who are homeless to appropriate permanent housing settings.				
PROPOSED GOALS Provide housing assistance to 50 homeless persons and persons threatened with homelessness.		ACCOMPLISHMENTS The Housing Department completed 57 units of housing serving homeless youth; men in recovery and victims of domestic violence and is working on 170 new units of affordable housing for homeless persons; families and veterans. Services were provided to approximately 78,437 homeless persons.				
ANALYSIS		The number of homeless individuals and families in the Phoenix metropolitan area is increasing. The most effective method of addressing this problem is to establish a continuum of care system that includes outreach, needs assessment, emergency shelter, transitional housing, and appropriate permanent supportive or independent housing.				
Programs-Services (Target Area)	Activity	Units Or Persons served	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
HOME FUNDED PROGRAMS						
Victory Place II	New construction of 75-unit permanent housing facility for veterans		Low Income Veterans	HOME \$1,490,396	Private \$6,100,000 AZ HOME \$800,000 LIHTC \$6,395,722 AZ VA \$250,000	
Foundation for Senior Living	New construction of 15-unit transitional supportive housing for homeless families (15 HOME Units)		Homeless families			
HOME total				\$1,490,396	\$13,545,722	



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

Programs-Services (Target Area)	Activity	Units Or Persons served	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
CDBG FUNDED PROGRAMS						
House of Refuge Fuhst House	New construction of 5-bed transitional housing facility for men in recovery	5 Units	Homeless men in recovery	\$206,352		DH-1
Chrysalis Shelter Addition	New construction of 40-bed domestic violence shelter	40 Units	Victims of domestic violence	\$684,137		DH-1
Tumbleweed Center for Youth Development	Rehabilitation of 12-unit permanent housing facility for homeless youth	12 Units	Homeless Youth	\$277,406		
City of Phoenix: Watkins Emergency Shelter Program	Emergency Shelter	2,537 Persons Served	Homeless families and individuals	\$421,295		SL-1
Advocates for the Disabled	Disability Claims	52 Persons Served	Homeless disabled individuals	\$22,352		SL-1
Catholic Charities – Dignity Housing	Homeless services for domestic violence and prostitution victims	18 Persons Served	Homeless domestic violence and prostitution victims	\$11,965		SL-1
Community Information and Referral	Community Voice Mail	1,867 Persons Served	Homeless families and individuals	\$29,605		SL-1
Community Information and Referral	CONTACS	52,915 Persons Served	Homeless families and individuals	\$9,167		SL-1
Labor's Community Service Agency	Case Management Services	248 Persons Served	Homeless persons and families	\$69,216		SL-1
New Life Center	Emergency shelter and transitional housing (Essential Services)	1,121 Persons Served	Women and children victims of domestic violence	\$19,927		SL-1
Sojourner Center	Case Management Services	1,119 Persons Served	Women and children victims of domestic violence	\$19,060		SL-1
The Salvation Army Kaiser Center	Emergency Shelter	263 Persons Served	Homeless persons and families	\$9,859,		SL-1
The Salvation Army Project HOPE	Outreach	12,304 Persons Served	Homeless families and individuals	\$14,667		SL-1
Tumbleweed Center for Youth Development	Emergency Shelter, Transitional housing, case management	214 Persons Served	Homeless Youth 18 and Under	\$26,483		SL-1



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

Programs- Services (Target Area)	Activity	Units Or Persons served	Target Group(s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
NSD Homeless Prevention Assistance	Funds to provide housing, food and utility vouchers to persons at risk of becoming homeless –	16 Persons Served	Low/moderate income	\$21,390		SL-1
CDBG Subtotal		57 UNITS		\$1,833,002		
		72,647 PERSONS SERVED				
ESG FUNDED PROGRAMS						
Chrysalis	Emergency Shelter (Operations)	553 Persons Served	Women and children victims of domestic violence	\$27,491		SL-1
Chicanos Por La Causa	Case Management Services	112 Persons Served	Women and children victims of domestic violence	\$5,253		SL-1
City of Phoenix: Family Service Centers	Prevention & Emergency Services (Prevention)	378* Persons Served	Households	\$222,782		SL-1
City of Phoenix: Winter Overflow Shelter Program	Emergency Shelter (Operations)	2,537 Persons Served	Homeless families and individuals	\$304,560		SL-1
NACI/HomeBase Youth Services	Street outreach and Transitional Housing (Operations)	1,010 Persons Served	Homeless youth age 18-21	\$9,104		SL-1
St. Vincent De Paul: Ozanam Manor	Transitional housing (Essential Services –	158 Persons Served	Homeless elderly and disabled individuals	\$35,514 \$61,595		
Tumbleweed Center for Youth Development	Transitional housing, case management	120 Persons Served	Homeless Youth	\$25,000		
United Methodist Outreach Ministries: New Day Center	Emergency Shelter (Essential Services) (Operations)	1,273 Persons Served	Homeless women and children	\$23,760 \$46,715 \$14,226		
ESG Subtotal		5,763 Persons Served		\$776,001		
OTHER FUNDED PROGRAMS						
Collins Court Apartments	Acquisition and rehab of 80-unit permanent housing for homeless persons and veterans		Very-low income homeless persons and veterans		NSP \$1,026,919 CofC \$107,000	
Other Subtotal				-	\$1,133,919	
TOTAL PRIORITY E		57 Units 78,437 Persons Served		\$4,099,418	\$14,679,641	



Footnotes:

1. Numbers shown in "Expended" column for ESG program consist of cash draws made during the fiscal year 2010-2011 for projects funded with ESG Year 2009 grant funds. The total funds drawn for PY2009 is \$306,834 and \$469,167 for PY2010, totaling \$776,001.
2. The City of Phoenix Human Services Department met the ESG \$1 for \$1 match requirement through the combined efforts: a) provide \$641,038 in general fund to the Central Arizona Shelter Services for the provision of emergency shelter services at the Human Services Campus, 2) incurred \$147,801 personnel costs for a position funded by the general fund to manage and coordinate the homeless programs and activities.

* This number reflects "households served" and are not represented in the ESG & CDBG racial/ethnic compositions of households assisted (page 54)

ESG Summary Chart

	Expended	Percentage
Essential Services	\$191,878	24.73%
Operations	\$315,581	40.66%
Prevention	\$222,782	28.71%
Admin	\$45,760	5.90%
Total	\$776,001	100%

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3

PRIORITY E ENTITLEMENT FUNDS COMMITTED:

Victory Place	\$2,000,000
Chrysalis Shelter Addition	\$100,000



PRIORITY F – Housing Rehabilitation		
Provide Housing Rehabilitation Assistance for Low and Moderate Income Persons, whether Owners or Renters, and Focusing on Selected Neighborhoods		
FUNDING SOURCE	EXPENDED	REHABILITATED UNITS
Entitlement Grant Funds	\$5,260,928	624
Other Funding Resources	\$8,828,009	1,998
Totals	\$14,088,937	2,622

PRIORITY F – Activity Highlights:

- The Neighborhood Services Department (NSD) used \$1,336,165 in HOME funds to assist 66 families with major rehabilitation or reconstruction of their owner-occupied dilapidated homes.

- The Neighborhood Services Department used \$3,753,376 in CDBG funds to assist low income families with the rehabilitation of 533 houses. Rehab included bringing homes into HQS standards, emergency repairs, and other minor rehab expenditures.

- The Hardship Assistance Program was able to provide last resort rehabilitation CDBG funds in the amount of \$157,475 to resolve property maintenance violations for 16 households.

- During the reporting year, the Housing Department completed many capital improvement projects funded by the 2009 American Recovery and Reinvestment Act. The City’s ARRA funds included:
 - \$4,295,283 in Capital Fund formula grants
 - \$3,408,000 in Capital Fund competitive Green Communities funding
 - \$1,607,950 in Green Retrofit Program funds

- The Housing Department also received \$3,498,175 for 2010 Capital Fund Program activities. The Housing Department is proud that it has met all HUD requirements for the obligation of ARRA and CFP funds for the financial year.



- In 2010-11 the Housing Department has expended \$4,277,983 (99%) of its formula ARRA Capital Fund, and \$1,582,528 (46%) of the competitive ARRA CFP funds. Construction for the Green Retrofit Program at Sunnyslope Manor started in January and will be complete by September. More than \$1,085,000 (67%) has been expended for the project

- Two of the Housing Department's Valley of the Sun six group home rehab project for the developmentally disabled came on-line this reporting year with nine units completed.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

PRIORITY F		Provide housing rehabilitation assistance for low and moderate-income persons, whether owners or renters, and focusing on selected neighborhoods.				
PROPOSED GOALS		ACCOMPLISHMENTS				
Provide rehabilitation assistance to 500 low and moderate income public housing renters and 247 low and moderate owner occupied and renter units.		CDBG, HOME, and ARRA funds were used to provide rehabilitation for 600 low and moderate income homeowners and 15 rental units. HOME funds were used to rehab 9 units at 2 Valley of the Sun School and Rehab Center. Public Housing Capital Funds were used to rehabilitate 1998 public housing units.				
ANALYSIS		There are a large number of substandard housing units in Phoenix. Rehabilitation programs are needed to improve the housing stock, particularly for lower and moderate-income households. Doing so will improve the stability of neighborhoods and help insure their economic viability.				
Programs-Services (Target Area)	Activity / Goals	Units	Target Group (s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
CDBG – HOME FUNDED PROGRAMS						
Rehabilitation, Weatherization, & Reconstruction Program	Provided loans and grants to owner occupants to: 1) bring homes into compliance with housing quality standards, or 2) address emergency repairs, or 3) allow for purchase of supplies for exterior painting and landscaping improvements for rehabilitated homes and reconstructed dilapidated houses	66 HOME 261 CDBG	Low and moderate income home owners	HOME \$1,336,165 CDBG \$2,965,338	US Dept. of Energy \$1,997,702 US Dept of Health & Human Svcs \$653,772 Southwest Gas \$125,528 APS \$27,246 SRP \$69,970	DH-1
Public Housing Modernization (City-wide)	Provides funding for continued modernization such as plumbing, smoke alarms, painting, HVAC systems, remodeling interiors, roof repairs, parking lot replacement and landscaping improvements involving assisting over 1,068 units at City-owned public housing sites.	1,998 units of public hsg	Low and very-low income public housing residents		Capital Funds \$4,277,983 Competitive Capital Funds \$1,582,528 Green Retrofit \$1,085,000	DH-3
Valley of the Sun School and Rehab Center	Rehab six residential group homes for developmentally disabled persons (2 group homes completed this yr)	9		HOME \$13,912		
Neighborhood Revitalization (NIAs, Redevelopment Areas, Enterprise Community)	Funds used by CBDOS to provide housing rehabilitation, blight elimination, capacity building, and other neighborhood revitalization services to 257 homes.	257	Low and moderate income owners or buyers	CDBG \$461,587		DH-3



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

Programs-Services (Target Area)	Activity	Units	Target Group (s)	Federal Funding Funds Expended	Other Funding Funds Expended	Outcome Objective
Rental Rehabilitation and Renaissance Pilot Programs	Rehabilitated 107 substandard rental housing units and promoted healthy neighborhoods through sustainable solutions for problem rental properties.	15	Apartment owners, low income renters, and large families	CDBG \$326,451	\$93,280	DH-3
Hardship Assistance Program (Citywide)	Provided last resort rehabilitation funds to resolve property maintenance violations for 16 households	16	Low and moderate income homeowners cited under the City's Property Maintenance Ordinance	CDBG \$157,475		DH-3
TOTAL PRIORITY F		2,622		\$5,260,928	\$9,913,009	

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011

2010-11 COMMUNITY DEVELOPMENT					
RATIONALE	In order to make a meaningful impact towards the revitalization of distressed neighborhoods, scarce community development resources must be delivered in a concentrated manner and rationally allocated. Revitalization and stabilization activities such as housing rehabilitation, Infill housing, blight elimination, code enforcement, neighborhood economic development, infrastructure improvement, organizational capacity building, public services and other related activities must be comprehensively coordinated.				
Programs Services	Activity	Target Group	Funding Source	Funding Expended	Outcome Objective
COMPREHENSIVE REVITALIZATION OF TARGETED NEIGHBORHOODS					
Neighborhood Infrastructure Fund (NIAs)	Funds were used to begin installation of sidewalks in the Palomino neighborhood, Phase V and VI, as well as to identify new projects in low income areas.	Low and moderate income neighborhoods	CDBG Phoenix AHUR	\$895,614 \$282,282	SL-3
Neighborhood Enhancement	Funds were used to complete the Issac Field of Dreams and to begin the Maryvale Gateway Project which benches, trees, exercise areas, and park tables.	Low and moderate income neighborhoods	CDBG Local Funds	\$193,429 \$254,634	SL-3
Fair Housing Program (Citywide)	Provided 56 individuals with fair housing investigations in response to fair housing complaints reported to the City of Phoenix's Equal Opportunity Department.	Community-at-large	CDBG	Expenditure in Admin Category	
Family Self-Sufficiency Program (Citywide)	Provided 1,333 households case management, training/educational programs, financial literacy programs, & homebuyer assistance to remove barriers to self-sufficiency and eventually unsubsidized housing.	Section 8 or Public Housing families	CDBG	\$460,433	SL-1
Public Facilities and Improvements	Funds were used to construct a new ADA restroom at the Maryvale YMCA, provide energy efficiency improvements at Phoenix Day Child Care facility, and rehabilitate the gymnasiums at Boys and Girls Clubs in low and moderate income areas.	Low and moderate income areas	CDBG Private Funds	\$323,170 \$264,200	SL-1
Graffiti Busters Program / Public Facility	The Graffiti Busters removed graffiti from 50,191 sites in low and moderate income areas with CDBG funds. An additional 16,287 sites were removed with General purpose or grant funds.	Low and moderate income areas	CDBG General Purpose Funds Chase Bank	\$827,308 \$1,335,566 \$5,754	SL-3

- *Because Fair Housing is categorized as an administrative activity, funds committed/expended are reflected only under Management Administration.*



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

Programs Services	Activity	Target Group	Funding Source	Funding Expended	Outcome Objective
Neighborhood Commercial Rehabilitation Program (Redevelopment and target areas)	The Eye Opener Restaurant rehabilitation in the Hatcher Road Overlay District and the South Phoenix Village Sidewalk & Streetlight Project were completed. Other projects that commenced include B&B Appliances, Bob's Lock & Cycle and American Way Market	Low and moderate income areas	CDBG Private Funds CDBG-R	\$716,340 \$36,606 \$455,415	SL-3
Neighborhood Revitalization	Funds are used to provide information and referral to housing and employment, crime and blight prevention, block watch, community safety and other neighborhood services to target low income areas. 52,454 people were served in targeted areas.	Low and moderate income areas	CDBG	\$279,023	
ECONOMIC DEVELOPMENT					
Expand	Funds were placed on collateral reserve to enable 4 businesses to obtain loans from banks for business assistance, stabilization or expansion. As a result, 3 jobs for low and moderate income persons have been created with more expected the next year. CDBG funds were also used for staff and administration of the program.	Low/Mod Income residents and business owners	CDBG Private Lenders	\$461,609 \$1,324,900	EO-2
MTA	Consultants qualified to provide technical assistance services to businesses in specialized areas. Consultants helped 28 businesses and/or micro enterprises stabilize or increase operations by providing TA in areas such as budgeting, marketing, tax reporting, inventory control and information systems. As a result, 10 jobs for low/moderate income persons were created.	Small and Micro Business owners looking to Expand	CDBG	\$240,644	EO-2
Business Technical Assistance	Funds were utilized by Yurish and Associates, Mind the Gap Marketing, Delgado Law Group, Run With Dreams Marketing, Adams & Ogundele CPA, Balanced Billing, C&H Associates, Tarver Law Group, and Community Food Connections to provide training and technical assistance to small businesses and low income micro enterprise owners. A total of 54 jobs were created this year by the above sub recipients.	Small and Micro Business Owners	CDBG	\$220,678	EO-2
Programs Services	Activity	Target Group(s)	Funding Source	Funding Expended	Outcome Objective
Enhance the Physical Environment of Principally Low- to Moderate-Income Neighborhoods Citywide					
Code Enforcement (Target Geographical)	14,991 housing units in low-income areas targeted for comprehensive revitalization were inspected.	Target low/moderate income areas	CDBG	\$2,010,206	SL-3



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 - June 30, 2011*

Programs Services	Activity	Target Group	Funding Source	Funding Expended	Outcome Objective
Environmental Review and Labor Standards Compliance	Funds were used for staff responsible for conducting environmental assessments of CDBG funded projects and for staffs responsible for Labor Standards Compliance in construction projects.		CDBG	\$265,531	SL-1
Landlord/Tenant and Homeowner Counseling (Citywide)	Landlord tenant information and services were provided to 2,209 persons	Low/mod Residents Citywide	CDBG	\$182,837	SL-1
CDBG Subtotals			CDBG	\$7,880,186	
Other Subtotals			Other	\$16,141,729	
Total			TOTAL	\$24,021,915	

LEGEND FOR OUTCOME OBJECTIVE

	Availability/Accessibility	Affordability	Sustainability
Decent Housing	DH-1	DH-2	DH-3
Sustainable Living Environment	SL-1	SL-2	SL-3
Economic Opportunity	EO-1	EO-2	EO-3



Affirmatively Furthering Fair Housing

Purpose

The purpose of this study is to assess potential barriers to fair housing choice, which may be encountered by residents of Phoenix, and to provide both short-term and long-term goals directed at eliminating those impediments.

The Department of Housing and Urban Development (HUD) requires “actions to affirmatively further fair housing” by all jurisdictions that receive funds through the Consolidated Submission. HUD further requires each jurisdiction receiving funds to certify that it “will engage in fair housing planning” and:

1. Conduct an analysis of impediments to fair housing choice at the beginning of each five-year cycle;
2. Take action to overcome the effects of identified impediments; and
3. Document actions undertaken to eliminate identified impediments by maintaining records and making available information and reports, including the analysis of fair housing impediments.

“Impediments” are defined in this process as any actions, omissions, or decisions taken because of race, color, religion, sex, disability, familial status, or national origin that restricts housing choices of these protected classes. The term “fair housing choice” means: “[t]he ability of persons of similar income levels in the same housing market area to have a like range of choice available to them regardless of race, color, religion, national origin, sex, and disability.” Understanding the functional relationship between income level and fair housing choices. Toward these ends, each jurisdiction is required to develop an Analysis of Impediments to Fair Housing Choice. (24 CFR 570.904(C).



SUMMARY OF AI IMPEDIMENTS AND ACCOMPLISHMENTS

IMPEDIMENT(S) TO BE ADDRESSED (list by degree of importance)	GOALS (What do you hope to achieve?)	STRATEGIES TO MEET THE GOALS (How will you achieve your goals?)	RESPONSIBLE ENTITIES ASSIGNED TO MEET GOALS (Identify the organizations who will be undertaking the impediment)	BENCHMARK In which year of your Con/Plan do you plan to achieve this?	PROPOSED INVESTMENT (Amount of money) (Funding Source)	YEAR TO BE COMPLETED (Is it contained in your Consolidated Plan Action Plan Goals?)	DATE COMPLETED- OR IF THE IMPEDIMENT WAS NOT ADDRESSED, PROVIDE EXPLANATION (Identify which year of the Consolidated Plan the action was addressed)
Lack of public awareness of fair housing laws and enforcement agencies.	Increase public awareness of fair housing laws and enforcement agencies	Distribution at least 3000 pieces of fair housing literature to the public	EOD	2010/2011	Nominal	2010/2011	Over 5,000 pieces of fair housing literature were distributed throughout FY 2010/2011. Goal Exceeded.
	“	Solicit local TV stations (Spanish included) to allow airplay for a 30-second PSA created by HUD to be aired 25 times per year	EOD	2010/2011	.	2010/2011	Due to copyright and licensing issues, HUD required that the PSA not be utilized by FHAPs. The HUD PSA was not available for use due to copyright and contract issues. It may possible be used again in the future
	“	Conduct fair housing presentations for community based organizations, schools, churches, nonprofit and advocacy groups	EOD / NSD	2010/2011	Nominal	2010/2011	Conducted a total of twenty-four (24) fair housing presentations for other government agencies, schools, non profit agencies, and the public.
	“	Present fair housing workshops at Phoenix City Hall and throughout the City	EOD	2010/2011	Nominal	2010/2011	Nine (9) workshops presented by EOD throughout Phoenix.
	“	Apply for supplemental FHAP funding to partner with other fair housing agencies to develop outreach projects	EOD / Southwest Fair Housing Council (SWFHC) / Arizona Bridge to Independent Living (ABIL)	2010/2011	No cost	2010/2011	The Southwest Fair Housing Council (SWFHC) applied for and received a partnership grant from HUD. EOD partnered with SWFHC and the City of Phoenix Neighborhood Services Department for eleven (11) events including workshops, presentations, ethnic festivals, etc.



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

	“	Develop partnerships with nonprofit, community based and advocacy organizations	EOD / ABIL /Arizona Fair Housing Center (AFHC) / SWFHC	2010/2011	No cost	2010/2011	EOD has maintained ongoing and cooperative partnerships with several agencies including the Arizona Civil Rights Division, Arizona Bridge to Independent Living, (ABIL), and the SWFHC. EOD is an active member of the Arizona Fair Housing Partnership.
	“	Fair Housing Telethon in Spanish, Channel 33	EOD, ACRD, NSD	2010/2011	Nominal	2010/2011	On September 30, 2010 EOD in conjunction with partners hosted a telethon on Spanish TV Univision Channel 33. Listeners called in with questions relating to f/h and other topics.
	“	Appear on Radio Talk Shows	EOD	2010/2011	No cost	2010/2011	Staff made eleven (11) guest appearances on radio talk shows to discuss fair housing issues, including KNIX, KOY, KYOT, as well as various Spanish radio stations such as KHOT and KNAI.
	“	Television Appearances: EOD representatives will appear on Phoenix Channel 11 and Spanish Channel 33	EOD	2010/2011	No cost	2010/2011	Staff made a total of (3) three guest appearances on both English and Spanish television talk shows and news segments to discuss fair housing issues.
	“	Annual Special Event in April for National Fair Housing Month.	EOD	2010/2011	CDBG/FHAP \$1,000.00 (estimate)	2010/2011	On April 12 EOD partnered with Arizona Fair Housing Partnership to present f/h seminar “The Cost of Unfair Housing.”
	“	Fair housing press release	PIO	2010/2011	CDBG	2010/2011	Various press releases were issued throughout the year by the City of Phoenix PIO office to advertise workshops and other events.
	“	Theater PSAs	EOD	2010/2011	CDBG/FHAP \$2,300	2010/2011	Ads purchased for Spanish Theater Cinema Latino were displayed throughout the March and April, 2010, prior to feature presentation.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011*

	“	Theater PSAs	EOD	2010/2011	CDBG \$3,354	2010/2011	Ads purchased through Harkins Theater were displayed frequently throughout the month of March & April, 2010 prior to feature presentations.
	“	Radio PSA	EOD	2010/2011	CDBG \$4,995	2010/2011	350 paid radio spots were purchased through Clear Channel radio and aired frequently throughout March & April, 2010 on six separate radio stations.
Lack of housing provider awareness of fair housing laws	Increase housing provider awareness of fair housing laws and crime free issues	Distribute at least 1,000 copies of landlord/provider fair housing literature to housing providers	EOD	2010/2011	CDBG/FHAP Nominal	2010/2011	Over 1000 copies of fair housing been distributed to housing providers.
	“	Maintain active membership in Arizona Fair Housing Partnership (AZFHP)	EOD	2010/2011	No cost	2010/2011 & ongoing	Membership active.
	“	Ensure at least 80% of all enforcement agreements w/ Respondents contain a training component	EOD	2010/2011	No cost	2010/2011	Virtually all conciliation agreements contained a training component as well as equitable relief and a pledge for future commitment to fair housing. Punitive damages were inapplicable because none went to trial. All conciliation agreements were rigorously monitored.
	“	Conduct fair housing presentation at NSD’s monthly landlord/tenant clinic	EOD / NSD	2010/2011	No cost	2010/2011	EOD participated in all scheduled NSD clinics (4 total).
Lack of housing architect & builder awareness of accessibility requirements of fair housing law	Increase enforcement efforts in this area	Partner w/ Fair Housing Initiative Program (FHIP) to process and investigate design and construction complaints	EOD / FHIP	2010/2011	No cost	2010/2011	No design and construction complaints were referred to EOD through FHIP agencies in 2010/2011.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011*

Intentional Discrimination	Reduce the likelihood of intentional discrimination through increased enforcement efforts	Receive and assess at least forty fair housing complaints per year.	EOD	2010/2011	No cost	2010/2011	53 complaints were received and assessed in 2010/2011.
	“	Investigate and dual file with HUD at least 25 fair housing complaints per year.	EOD	2010/2011	No cost	2010/2011	27 complaints were dual-filed with HUD in 2010/2011
	“	Ensure that 80% of all conciliation agreements with Respondents contain a training component.	EOD	2010/2011	No cost	2010/2011	All conciliation agreements contained equitable relief, a training component, and a pledge for future commitment to fair housing. Punitive damages were inapplicable because none went to trial. All conciliation agreements were rigorously monitored.
Trends in location of complaints	Increase enforcement efforts in areas where the number of fair housing complaints is concentrated	Conduct five random ethnic based tests in the area bounded by 43 rd Street to the East, 56 th Street to the West, Van Buren to the South, and Camelback to the North.	EOD	2010/2011	No cost	2010/2011	Significant increase in dual-filed complaints over the past five years prevented random testing.
Accessibility of complaint process to persons with disabilities	Ensure that complaint process is accessible to persons with disabilities	Ensure that all fair housing materials are available in an accessible format	EOD	2010/2011	Nominal	2010/2011	Complaint process is completely accessible
	“	Periodically review the EOD website and links to ensure accessibility	EOD	2010/2011	No cost	2010/2011	Website is reviewed daily
	“	Publicize TTY numbers	EOD	2010/2011	No cost	2010/2011	TTY numbers are referenced in all EOD publications



Consolidated Plan Annual Performance and Evaluation Report
 July 1, 2010 thru June 30, 2011

	“	Develop partnering relationships with disability advocacy groups in the Phoenix area including e.g. ABIL, Arizona Center for Disability Law, etc.	EOD	2010/2011	No cost	2010/2011	Assistance provided to the SWFHC in the application for and implementation of education and outreach grant program. Ongoing CDBG funding transfer MOA signed with the Neighborhood Services Department (NSD). Ongoing participation with local HUD office in fair housing events.
Lending discrimination	Increase education, outreach and enforcement efforts in the areas of lending and predatory lending	Accept, dual-file, and investigate complaints alleging predatory lending.	EOD	2010/2011	No cost	2010/2011	No complaints of lending discrimination received in fiscal year 2010/2011
	“	Continue to accept and investigate complaints of lending discrimination	EOD	2010/2011	No cost	2010/2011	No complaints of lending discrimination received in fiscal year 2010/2011



Affordable Housing

The City of Phoenix has been diligent in meeting its objectives to provide affordable housing to the extremely low-income, low-income and moderate-income renters and homeowners of Phoenix. The City utilizing HOME, CDBG, HOPWA, NSP and local resources following the Priorities of the Consolidated Plan, assisted 2,390 households with affordable housing plus 1,998 public housing families with modernization efforts during the reporting period. All of the households assisted met the Section 215 definition of affordable housing for rent and homeownership.

Progress in Creating Affordable Units

The affordable housing goals for the use of federal and local funding identified by the priorities in the 2010-2011 Annual Action Plan were to create 80 home ownership opportunities and develop 405 rental housing units. The City met 70 % of its affordable housing goals for homeownership opportunities, affordable rental housing, assisted, supportive and homeless housing units during the grant year.

Overall during the 5-year Consolidated Plan period from 2005 through 2010, the City exceed affordable housing goals in three of the five identified housing priority areas: Rental, Assisted and Supportive, and exceeded the overall unit goal of 3,115 affordable units in all five priority areas by 402 units – by almost 12%.

During the reporting period, the City assisted 3 first-time homebuyers and assisted with the development of 274 affordable housing units and is in various stages of construction or rehabilitation for 1,221 additional affordable rental units. All of the participants in these housing programs are very low-income, low-income and moderate-income households. The specific activities are outlined in more detail in the individual Priority charts.

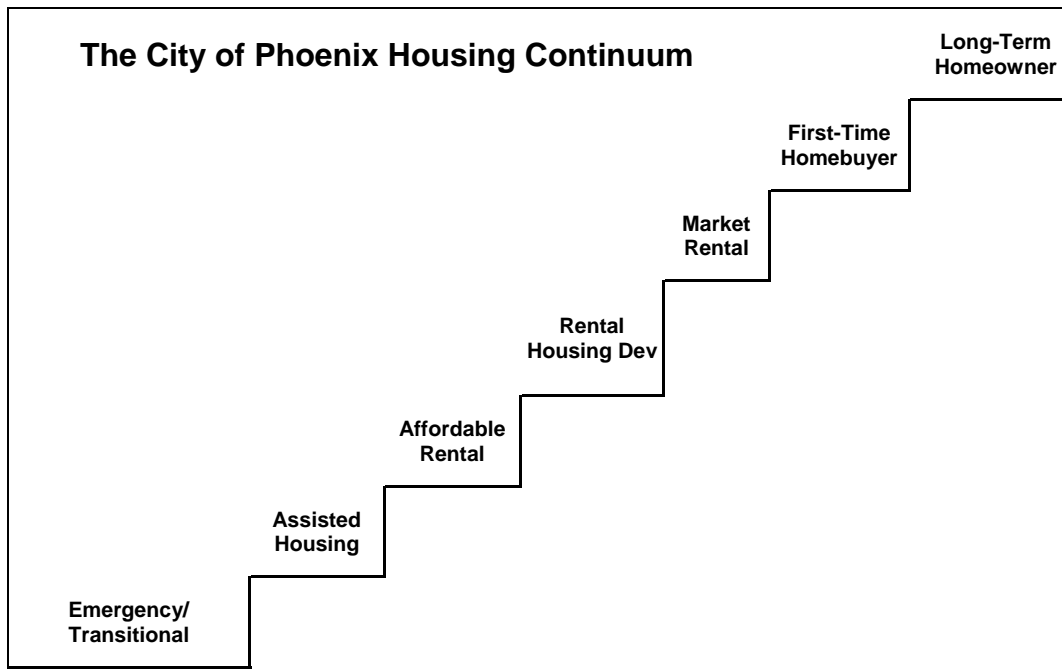
	ACTUAL UNITS	2010-2011 GOAL
Homeownership	78	80
Rental Housing	203	280
Assisted Housing	5	50
Supportive Housing Units	-	25
Homeless Units	57	50
TOTAL	343	485



Efforts to Address the Worst-case Needs of Households

In an effort to address the worst-case needs of low-income renters and owner households, the Housing Department focused on the strategies outlined in the City's housing priorities. During the reporting period, the City was able to assist 3 first-time homebuyers. All 274 affordable rental units completed during the reporting year serve families with incomes below 60% of median income with 71 of these units serving families at zero income up to 50% of area median income.

It is clear that a comprehensive solution to providing opportunity and choice for affordable housing is needed. The City of Phoenix is committed to a comprehensive solution addressing the need for affordable housing by increasing the availability of quality, affordable units all along a “housing continuum.”



The City's Housing Continuum is really a housing ladder beginning with Emergency/Transitional housing, serving persons with Special Needs such as victims of domestic violence and the homeless with little or no income. The next step up the ladder is Assisted Housing including Public Housing programs and the Section 8 Voucher Program serving families and seniors with incomes below 30% of Adjusted Median Income (AMI).



2010 Adjusted Median Income (AMI) Table*

	1-Person Household	4-Person Household
30% of AMI	\$14,000	\$20,000
50% of AMI	\$23,350	\$33,300
60% of AMI	\$28,020	\$39,960
80% of AMI	\$37,350	\$53,300

*AMI Table: Source, U.S. Department of Housing and Urban Development; annual median income as adjusted for family size. (2010 Median Income for Phoenix metro area - \$66,600 for a family of four)

The 3rd step on the housing ladder is the City’s Affordable Rental Program – City owned apartment communities serving families and seniors primarily at 30% - 50% of AMI. These units are not subsidized like public housing and the Section 8 Program, but have reduced rents because there is no debt service on the properties.

The 4th step on the ladder is the Rental Housing Development Loan Program – public/private partnerships that create affordable housing units requiring innovative financing and Housing Department loan programs. This program serves families and seniors primarily at 50% - 60% of AMI.

The 5th step on the City’s Housing Continuum is Market Rental apartment units – a mixed income apartment community resulting from the leveraging of City funds (i.e., the Rental Housing Development Loan Program) with tax credits or other financing.

And finally, the 6th and 7th steps on the Housing Ladder are First-Time Homebuyer programs and Long-Term Homeownership programs – used as strategies to benefit families (asset building) and neighborhoods (stability and revitalization).

The Housing Department has programs that serve families all across the Housing Continuum. Low- and moderate-income working families access public sector funding to move up the housing ladder. Very-low income seniors and persons with special needs need public sector funding to maintain independent living and basic quality of life.

The additional housing and rental units created during the reporting period offer increased opportunity for people with worst-case needs to receive assistance from the many programs and services funded by the activities described in the Priority charts.

These individuals and families received homebuyer assistance, down payment and closing cost assistance, rental assistance, program operating assistance, supportive services, information services, emergency assistance, hotel vouchers, and rehabilitation assistance. The specific activities are outlined in more detail in the individual Priority charts.

Barriers to Affordable Housing



Identified barriers to affordable housing include:

1. Difficulty of low and moderate income first-time homebuyers to purchase quality housing at an affordable price:
 - Little or no money for down payment and closing costs
 - Poor credit histories
 - Little understanding of the homebuying process
2. Supply of affordable rental housing is well below the need expressed in the community
3. Limited accessible units to assist persons with disabilities
4. Capacity building issues of non-profits to expand their development efforts
5. The percentage of the Phoenix population that lives in poverty has increased from 11.6% in 1970 to 15.8% in 2000 (the Census definition of poverty in 2000 for a family of four was \$17,600).
6. The number of single parent households in Phoenix has increased from 39,663 in 1990 (10.8% of all households) to 51,833 in 2000 (12.1% of all households). 70% of the single parent households were female-headed. Single-parent households historically have less income than two-parent households leaving the single-parent household a smaller pool of available rental units or homeownership opportunities.

Substandard Housing, Rent Burden and Displacement

The City of Phoenix Housing Department has adopted Displacement by City or County action as a local preference for its assisted housing programs, both in Public Housing and the Section 8 Housing Choice Voucher Program. Displacement as a result of government action has been adopted as the number one local preference followed by date and time of housing application.

The Housing Department strives to maximize the number of affordable housing units available to families burdened by excessive rents and substandard housing by employing effective maintenance and management policies to keep public housing units on-line and available. The Department has reduced its turnover time for vacated public housing units by reducing unit renovation time.

The Department also applies for additional Section 8 Housing Choice Vouchers when they become available to offer families more residential opportunity and choice. Unfortunately, the Housing Department was not able to provide additional Vouchers for families this reporting year as no new incremental Section 8 funds were available. With prior year funding and allocation, the Housing Department provided 6,057 Housing Choice Vouchers to families at 50% and below of area median income.



The Neighborhood Services Department targets properties in five Neighborhood Initiative Areas (NIAs) and the Enterprise Community (EC) for comprehensive physical and economic neighborhood revitalization. Neighborhood Services employs housing rehabilitation and infill to increase affordable rental and homeownership opportunities while increasing property values.

The City of Phoenix believes that rehabilitation of rental and single-family housing represents the most essential component of neighborhood revitalization. Rehabilitation efforts to address substandard housing fosters neighborhood pride, removes blight, and strengthens the capacity of community organizations.

The city uses a major portion of its CDBG and HOME allocations to rehabilitate houses and rental units to meet and exceed HUD decent, safe and sanitary criteria. The focus of the city's housing rehabilitation programs is to address code violations and correct items contributing to substandard housing: roofing, plumbing, electrical and heating and air conditioning.



**INDIVIDUALS AND HOUSEHOLDS ASSISTED WITH
HOUSING AND SUPPORT SERVICES IN 2010 – 2011**

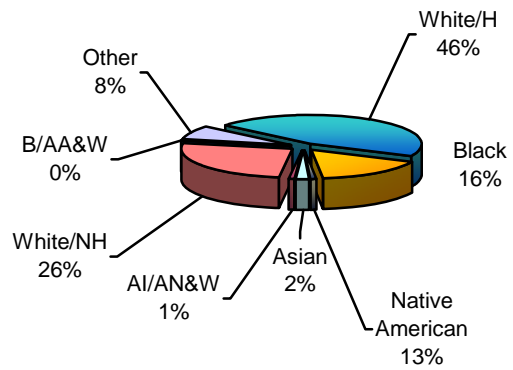
Assistance Provided by Income Group	Very-Low Income 0 to 30% of MFI	Low-Income 31% to 50% of MFI	Moderate-Income 51% to 80% of MFI	Total
RENTERS Elderly 1&2 Member Households	40	111	6	157
RENTERS Small Related (2 to 4) Persons	91	256	19	366
RENTERS Large Related (5 or more) Persons	12	62	7	81
RENTERS All Other Households	1	114	18	133
RENTERS TOTAL	144	443	50	737
OWNERS Existing Homeowners	448	496	453	1,397
OWNERS 1st Time Home Buyers with Children	2	26	65	93
OWNERS 1st Time Home Buyers All Others	2	7	43	52
OWNERS TOTAL	452	529	561	1,542
TOTAL				
HOMELESS Persons (single individuals and persons in families)		78,421		78,421
Non-Homeless with Special Needs		903		903
Total				81,603



Racial/Ethnic Composition of Households Assisted with Housing and Supportive Services

The following chart represents a racial/ethnic breakdown of the reported households assisted with federal funds and the percentage of total assisted households.

Racial/Ethnic Composition of Assisted Households			
Ethnicity			Persons Assisted
Race	Hispanic	Non-Hispanic	
White	610	1073	1683
Black/African American	6	372	378
Asian	1	21	22
Am Indian/Alaska Native	1	41	42
Native Hawaiian/Pacific Islander	0	8	8
Am Indian/Alaska Native & White	2	21	23
Asian and White		12	12
Black /African American and White	1	6	7
Am Indian/Alaska Native and Black or African Am		5	5
Other Multi-Racial	46	134	180
Total	667	1,693	2,360



Percentage of Households Assisted by Race/Ethnicity



Racial/Ethnic Composition of Households Assisted

The following chart represents a racial/ethnic breakdown of the reported households assisted with ESG & CDBG funds provided by the **Human Services Department**.

Percentage of Households Assisted by Race/Ethnicity		Racial/Ethnic Composition of Households Assisted
Race	Persons Assisted	
White	55,232	
Black/African American	15,146	
Asian	365	
Am Indian/Alaska Native	3,129	
Native Hawaiian/Pacific Islander	343	
Am Indian/Alaska Native & White	389	
Asian and White	29	
Black /African American and White	402	
Am Indian/Alaska Native and Black or African Am	69	
Other Multi-Racial	576	
Unknown	204	
Total	75,884	
Hispanic	17,845	
Non-Hispanic	58,039	
ESG/CDBG Total	75,884	

*The Watkins Emergency Shelter receives both ESG & CDBG funding, the Racial/Ethnic composition of the clients served (2,537) was only captured once for both funding sources as it relates to this table.



Continuum of Care Narrative

The City of Phoenix is an active participant in the Maricopa Continuum of Care Regional Committee on Homelessness (CofC) which provides the focal point for homeless program planning and policy development for the Maricopa region. Currently, the Continuum is facilitated by the Maricopa Association of Governments and includes 153 organizations and stakeholders committed to ending homelessness in Maricopa County. In FY 2010, the CofC accomplished the following goals and activities:

**MAG Continuum of Care Regional Committee on Homelessness
Continuum of Care Strategic Planning Objectives
FY 2010-2011**

<u>HUD Objective</u>	<u>Short Term (12 month) & Long Term (10 year) Plans</u>	<u>Accomplishments</u>
Create new permanent housing (PH) beds for chronically homeless persons.	Short Term: The Arizona Behavioral Health Corporation and Community Bridges will create 67 new PH beds for chronically homeless persons with Permanent Housing Bonus project funding received in 2010.	67 new PH beds for chronically homeless persons were created with Permanent Housing Bonus funding.
	Short Term: The Valley of the Sun United Way will develop 250 units of permanent supportive housing for chronically homeless individuals as the first phase of implementation of a wider permanent supportive housing plan.	To date, 80 formerly chronically homeless people have been housed in 280 new units of permanent supportive housing throughout the region.
	Short Term: In February, 2011, the Corporation for Supportive Housing will conduct a training session on developing Housing First Projects in the region to aide non-profit providers in developing new PH beds.	A permanent supportive housing institute was held beginning in June 2011 by the Corporation for Supportive Housing. Five teams completed the five week institute on developing new PH beds in the community.
	Long Term: Maricopa Association of Governments will recommend at least \$1 million of HUD bonus funding each year for a total of \$10 million for PH beds for chronically homeless individuals and/or families throughout the region.	The Maricopa Association of Governments received \$1,405,546 of HUD bonus funding for PH beds for homeless individuals and families throughout the region.
	Long Term: The Valley of the Sun United Way will provide 3,000 units of permanent supportive housing for chronically homeless individuals by 2020.	Progress is underway to achieve this long term goal, Valley of the Sun United Way has partnered with organizations to create a total of 280 units of permanent supportive housing toward their goal.



*Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011*

	<p>Long Term: Maricopa Association of Governments Human Services Program Manager will provide technical assistance to providers on developing high quality permanent supportive housing projects to compete successfully for HUD bonus funding.</p>	<p>Technical assistance was provided to all applicants who competed for HUD bonus funding. In 2010, three new projects were successfully awarded funding. Technical assistance will continue to be provided to providers developing permanent supportive housing.</p>
	<p>Long Term: Maricopa Association of Governments Human Services Program Manager will work with local stakeholders to create a local permanent housing toolkit to be distributed to local service providers on how to create permanent housing units in the community.</p>	<p>This long term goal has not been accomplished yet.</p>
<p>Increase the percentage of homeless persons remaining in PH over six months to at least 77 percent.</p>	<p>Short Term: Maricopa Association of Governments Human Services Program Manager will ensure that PH projects not meeting the goal of 77 percent develop an annual corrective plan on the steps that they will take that will improve their performance toward attaining and exceeding the national goal of 77 percent. The corrective plan will be evaluated on an annual basis.</p>	<p>The overall percentage of homeless persons remaining in PH projects over six months is 87.7 percent for the region. Projects performing below 77percent have been informed that they are a low performing project in this area and have been advised that a correction plan may need to be developed if their performance does not improve.</p>
	<p>Short Term: Maricopa Association of Governments Human Services Program Manager will identify local best practices among PH projects that are exceeding the 77 percent goal and hold a training session for permanent housing providers on the best practices.</p>	<p>This goal is in progress. Local best practices have been identified and a training session is being planned to take place in the fall of 2011.</p>
	<p>Long Term: Maricopa Association of Governments Human Services Program Manager will facilitate a discussion among PH providers on housing retention barriers and best practices and develop a plan to address the barriers.</p>	<p>This long term goal has not been accomplished yet.</p>
	<p>Long Term: Maricopa Association of Governments Human Services Program Manager will conduct annual training sessions for providers on housing retention best practices.</p>	<p>This long term goal has not been accomplished yet.</p>
	<p>Long Term: The MAG Continuum of Care Regional Committee on Homelessness will recommend the reallocation of funding from permanent housing projects that are continuously unable to meet the goal of 77 percent and are not making progress on the agreed upon corrective plan (between the provider and the MAG Human Services</p>	<p>This long term goal has not been accomplished yet.</p>



	<p>Program Manager) to new permanent housing projects.</p>	
<p>Increase the percentage of homeless persons moving from transitional housing (TH) to permanent housing (PH) to at least 65 percent.</p>	<p>Short Term: Maricopa Association of Governments Human Services Program Manager will ensure that TH projects not meeting the goal of 65 percent develop an annual corrective plan on the steps that they will take to improve their performance toward attaining and exceeding the national goal of 65 percent. The corrective plan will be evaluated on an annual basis.</p>	<p>Projects performing below 65 percent have been informed that they are a low performing project in this area. They have been advised that they will be required to develop a corrective plan if they do not improve on their performance.</p>
	<p>Short Term: Maricopa Association of Governments Human Services Program Manager will identify local best practices among moving clients from TH projects to permanent housing and hold a training session for transitional housing providers on the best practices and for finding housing resources in the community.</p>	<p>Maricopa Association of Governments Human Services Program Manager is working with staff from HomeBase to develop an action plan to increase the percentage of homeless persons moving from transitional housing to permanent housing.</p>
	<p>Long Term: The MAG Continuum of Care Regional Committee on Homelessness will require low performing TH providers to routinely help their participants apply for subsidized housing and connect their clients with mainstream resources.</p>	<p>This long term goal has not been accomplished yet.</p>
	<p>Long Term: The MAG Continuum of Care Regional Committee on Homelessness will recommend the reallocation of funding from transitional housing projects that are continuously unable to meet the goal of 65 percent and are not making progress on the agreed upon corrective plan (between the provider and the MAG Human Services Program Manager) to new permanent housing projects.</p>	<p>This long term goal has not been accomplished yet.</p>
<p>Increase the percentage of homeless persons employed at exit to at least 20 percent.</p>	<p>Short Term: The MAG Continuum of Care Regional Committee on Homelessness will partner with Maricopa County Workforce Connections to conduct a workshop for homeless service providers on the skill sets needed to increase the percentage of clients employed at program exit.</p>	<p>This workshop is in process of being planned for fall of 2011.</p>
	<p>Long Term: The MAG Human Services Program Manager will coordinate a training workshop on employment programs available in the region to ensure that service providers are aware of employment resources for their clients</p>	<p>This long term goal has not been accomplished yet.</p>



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

	<p>and know how to help their clients gain employment.</p>	
	<p>Long Term: MAG Human Services Program Manager will require low performing providers to develop a corrective plan to meet the goal of 20 percent. The corrective plan will be evaluated on an annual basis by the Human Services Program Manager to determine if the percentage of persons employed at program exit has improved.</p>	<p>This long term goal has not been accomplished yet.</p>
<p>Decrease the number of homeless households with children.</p>	<p>Short Term: The MAG Continuum of Care Regional Committee on Homelessness will partner with the AZ Department of Education State Coordinator to provide trainings to homeless and domestic violence service providers on the education rights of homeless children. Information on the rights homeless children have to attend school and the contact information for homeless school liaisons will be emailed to service providers by the MAG Human Services Program Manager. In addition, the homeless school liaisons will receive information on the homeless service providers and resources in the community to help families become housed.</p>	<p>The Arizona Department of Education State Coordinator held training on October 6, 2010 for providers of homeless and domestic violence shelters on the education rights of homeless children. Information was also distributed to the providers on the education rights. In addition, homeless school liaisons were informed about community resources for families experiencing homelessness.</p>
	<p>Short Term: The MAG Continuum of Care Regional Committee on Homelessness will invite local homeless school liaisons to attend Continuum of Care meetings and to serve as members of the Continuum of Care Planning Subcommittee.</p>	<p>Local homeless school liaisons have been added to the distribution list the receive invitations and agenda for Continuum of Care Regional Committee on Homelessness meetings. A representative from the Arizona Department of Education has been added to the Regional Committee on Homelessness.</p>
	<p>Long Term: The MAG Continuum of Care Regional Committee on Homelessness will include homeless school liaisons in the Continuum's strategic planning process so that education issues can be part of the community needs assessments.</p>	<p>This long term goal has not been accomplished yet.</p>
	<p>Long Term: The MAG Continuum of Care Regional Committee on Homelessness will partner with municipalities and homeless service providers to place homeless families with children in housing through the regional homeless prevention and rapid re-housing programs. As a result of the programs, homeless families with children will stabilize and become self-sufficient.</p>	<p>More than 2,000 Homeless families with children have been placed in prevention and rapid re-housing programs across the region.</p>



	<p>Long Term: The MAG Continuum of Care Regional Committee on Homelessness will partner with the AZ Department of Education Homeless State Coordinator to develop protocols for homeless school liaisons to refer families and youth to HUD programs.</p>	<p>This long term goal has not been accomplished yet.</p>
--	--	--

City of Phoenix Participation

The City of Phoenix Housing and Human Services Departments participate in the development and operation of each component of the Continuum of Care on an on-going basis. The Human Service Department utilizes multiple funding sources including Emergency Shelter Grant, Community Development Block Grant, State Community Services Block Grant, State Housing Trust Funds, and State Temporary Aid to Needy Families funds to support prevention, outreach, emergency shelter, transitional housing, permanent supportive housing, and support services for homeless people throughout the valley. The Housing Department contributes to the construction, rehabilitation, and operations of housing for homeless persons, adds to the stalk of affordable housing, and provides housing alternatives for low-income residents. Specialized services are provided to assist persons with HIV/AIDS, persons with mental illness, persons with substance abuse or co-occurring disorders, veterans, and persons experiencing domestic violence.

Homeless Street Count

The City of Phoenix conducted the 2011 City of Phoenix Homeless Street Count the evening of January 25, 2011. City staff conducted pre-count research, analysis of homeless data, coordinated counting efforts and provided training and safety tips to participants. The City of Phoenix used a statistically valid sampling method. Phoenix is the only city in the state to utilize a statistically valid methodology, which required considerable analysis of the trends of homelessness in Phoenix and allowed a detailed and realistic count encompassing the entire city, including “hidden” areas where homeless persons are often located. Through our community outreach efforts the Human Services Department was able to enlist the assistance of 417 volunteers to assist with the count. Several city of Phoenix departments participated in the training and actual count; Human Services, Water, Parks and Library. In addition, an extensive network of community partners assisted including representatives from; Maricopa Association of Governments, AZ Coalition to End Homelessness, AZ Community Action Association, Phoenix Revitalization Corp., US Vets, Inc., other veterans organizations, Lodestar Day Resource Center, Arizona Behavioral Health Corporation, Maricopa County Public Defenders Office, Community Information & Referral, Valley of the Sun United Way, Area Agency on Aging/Care Directions, homeless outreach teams, concerned citizens, faith-based organizations, and a variety of other homeless service providers. This was the 1st year veterans were identified for the count to establish a baseline for future veteran funding consideration.

On the evening of January 25, 2011, 867 single adult males, 224 single adult females, 6 persons in 2 families and 62 unaccompanied youth were identified as homeless counted in the City of Phoenix Street Count. Of the single adults, 444 of them were determined to be chronically homeless among them 82 were identified as veterans. These figures do not include the 161 homeless single women and families who stayed at the City of Phoenix



Watkins Emergency Shelter Program that evening. A total of 1,749 homeless persons were counted in Maricopa County.

Special Needs of Persons Who Are Not Homeless

The Housing Department has over 40 nonprofit housing agencies under contract providing affordable housing and services to special need persons and families. Special needs persons are those who require supportive services to either reach permanent housing to or be able to maintain a permanent residence. These housing programs serve special need families all along the housing continuum from emergency shelters to first-time homeownership. Examples of nonprofit agencies and programs serving very-low income special need families and seniors include:

- The Doves, an Area Agency on Aging transitional housing program serving very-low income older victims of domestic violence
- Community Bridges, a transitional housing program serving very-low income women and infants at risk
- Ebony House, a transitional housing program serving women in recovery and their children
- Sunrise Circle, 35 units of affordable housing serving very-low income persons with disabilities targeting Native Americans
- House of Refuge and Crossroads West, transitional housing programs for men in recovery
- Mercy Housing Southwest, permanent and transitional housing for persons living with disabilities with rents below market
- West Valley Child Crisis Center, transitional housing for children who are victims of neglect and abuse
- YMCA, rehabilitated SRO housing units for very-low income persons – the first step on the permanent housing ladder
- Westward Ho, 289 units of subsidized permanent housing for seniors and the disabled.
- Affordable Accessible Homes, first-time homeownership opportunities for persons with a disability. Houses are built with accommodations specified by each family's particular disability need.
- Homebase Youth Services, transitional housing program for young people up to age 20
- Peoria Place, a Mercy Housing program with 14 units of permanent housing for persons living with HIV/AIDS
- New Arizona Family Pinchot Apartments and Learning Center with 18 units of permanent housing for victims of domestic violence and their children. The Learning Center is on the Pinchot campus and serves as a child care job training center for 20 residents and child care for 50 children.
- Victory Place I and II is a transitional and permanent housing facility for veterans with a total of 51 units. Victory Place I is the transitional facility with 31 units; Victory Place II has 20 units of Single Room Occupancy (SRO) permanent units.



- Sojourner Center is a 20-unit transitional housing facility for victims of domestic violence and their children.
- Corazon is a 36-bed transitional housing facility serving young men in recovery.
- The Tumbleweed Center for Youth Development is a 12-unit rehabilitation permanent housing complex serving young people ages 18 to 25, a special needs group with large gaps in housing and services.
- Chrysalis is a new construction 40-bed domestic violence shelter serving women and their children.
- The Frank Luke Addition is the first phase of a new HOPE VI project with 60-units being constructed for very-low income seniors and the disabled.
- Collins Court is an 80-unit acquisition and rehab permanent housing project serving homeless persons and veterans in partnership with United Methodist Outreach Ministries (UMOM).
- Two of the Housing Department's Valley of the Sun six group home rehabilitation projects for the developmentally disabled are on-line this reporting year with nine units completed.

The City of Phoenix has identified Special Needs persons and families as a priority population for affordable housing and supportive services. The Housing Department is continually reviewing project applications that help seniors; the disabled, children, and at-risk youth to insure that this vulnerable population is served and that safety nets to prevent homelessness are in place.

Other Actions

A. Obstacles to Serving Underserved Needs

In 2010 – 2011, the City of Phoenix engaged in several innovative approaches to removing obstacles and address underserved needs. The programs and highlights are as follows:

Project Connect Program

Project Connect (PC) is a nationally recognized best practice model. The model is supported by the U.S. Interagency Council on Homelessness and has been replicated in approximately 170 communities across the United States as well as Canada, Puerto Rico and Australia. The local pilot event in June of 2008 was sponsored by the City of Tempe and has since been adopted and expanded to serve individuals in all geographic areas of Maricopa County. Facilities are provided primarily by faith-based organizations that have sufficient capacity to meet the needs of the event. The goal of Project Homeless Connect is to bring together diverse community organizations for one day under one roof to provide immediate services to homeless individuals, families and unaccompanied youth. The project addresses the multiple and varied needs of individuals living on the streets due to chronic homelessness, mental illness, and/or a lack of financial resources. The events are held 1 time per month in various cities within the Maricopa County Region.



The City of Phoenix (COP) Human Service Department (HSD) partnered with Valley of the Sun United Way (VSUW) to sponsor Four Phoenix Project Connect (PPC) events during FY 10-11. Events were held, August 2010, December 2010, January 2011, and June 2011. The City of Phoenix Project Connect outcomes are as follows:

FY 2010-11 Phoenix Project Connect (PPC) Outcomes:

- a. Approximately 949 persons were served during the 4 COP co-sponsored events.
- b. One hundred and thirty-four (134) persons met with the Department of Economic Security to enroll in or review their Food Stamp or AHCCCS eligibility,
- c. One hundred thirty-three (133) persons met with the Social Security Administration regarding benefits and duplicate Social Security Cards.
- d. Nine hundred forty-nine (949) persons were provided all-day bus passes to assist with job search.
- e. Seventy-five (75) persons were provided Community Voice-Mail boxes to assist with job search.
- f. One hundred fifty-two (152) persons were taken to the Motor Vehicle Division and received new or duplicate identifications.
- g. Two hundred seventy-eight (278) connections to employment assistance were made.
- h. Thirty-three (33) connections were made to services for veterans.
- i. Two hundred fifteen (215) connections were made to affordable housing services.

Earned Income Tax Credit Campaign:

In the City of Phoenix 2010-2011 EITC Campaign, staff launched a multi-faceted effort to promote awareness of the EITC Program, as well as the availability of free tax-filing services through promotional materials, media alerts, and public forums.

Staff developed a broad-range of informational materials, in both English and Spanish, which were distributed through community groups that interact with EITC-eligible people on a daily basis. Also, major utility companies and local corporations helped distribute information to area residents. In addition to marketing efforts to the general population, the campaign included six target groups: monolingual Spanish-speaking residents, people with disabilities, homeless persons, military veterans, refugees, and Native Americans.

A key marketing strategy this year was the partnership with Phoenix School of Law. The law students opened and ran a tax clinic serving veterans. Information was disseminated to US Veterans and organizations working with veterans to market the free tax clinic on the school campus.

Highlights of the campaign, based on the regular tax season and preliminary “back taxes” summer results compiled by the IRS, include services to 5,700 households received \$9.1



million in tax refunds at 21 free tax preparation sites managed by the City and community partners.

This year's efforts were underscored by a systematic volunteer recruitment, retention and recognition program including a robust marketing campaign and the EITC web site to include an on-line volunteer application. A data base system was also implemented to track volunteer information and services provided for each site. In addition, a total of 274 volunteers donated 11,605 hours at the 21 city of Phoenix sites, representing an increase of 15% volunteer participation in the program.

For the fifth consecutive year, the city of Phoenix EITC Campaign was selected by the National Disability Institute - Real Impact Tour and IRS as a city to promote the campaign to people with disabilities. The Real Impact Tour selected the city of Phoenix to host a workgroup meeting to engage the community to implement strategies to support individuals with disabilities in the community to understand and support the cities EITC Campaign. The City provided tax preparation services to 661 individuals who have a disability and/or have a family member with a disability.

2010 Property Tax Refund Credit and/or Excise Tax Credit Pilot Program

Many seniors do not claim the available refund credits due to lack of knowledge (as many do not have to file federal tax due to their income), lack of free tax assistance, and/or lack of understanding of the law. Therefore, they miss out on money that could augment their limited income. A pilot project was initiated and implemented to provide assistance to senior participants with the 2010 Property Tax Refund Credit and/or Excise Tax Credit.

A total of 243 seniors received \$59,230 in refunds from the property tax and/or excise credit through tax assistance at 10 senior services centers which did not received tax preparation assistance from AARP. 44 seniors had appointments but were not eligible for the program. Additionally, seniors at all 10 centers received information on these valuable credits.

Faith-Based Initiative:

Open Table Model Implementation

A total of six congregations launched tables this fiscal year: Dream Center, Community of Christ UMC – Family Self Sufficiency (FSS); Multi Systems, Inc. (MSI) – FSS Table #2; Alleluia Lutheran Table #2; Community of Christ/Phoenix; and Arizona State University (ASU). Three out of the six tables are new table partnerships (Dream Center; Community of Christ/Phoenix and ASU). In addition a total of three vouchers through the city's Housing Department have been utilized.

On February 8 – 11, 2011 Dr. Gaynor I. Yancey, Professor of Social Work and Associate Dean for Baccalaureate Studies in the School of Social Work at Baylor University in Waco, TX; and two Social Work students participated in a series of meetings and site visits with Human Services Department staff and Open Table staff. The purpose of the visit was to review, study, and learn more about the city's Faith-Based Initiative partnership with Open



Table. Dr. Yancey has authored and co-authored numerous professional articles and books focused on congregational community ministry. Mr. Yancey has received more than \$3.5 million in research and program grants.

On February 11, staff addressed approximately 150 participants at the Benevolence and Beyond Summit at the Desert Springs Community Church in Buckeye about the city's role with Open Table.

Staff continues to work with caseworker staff in the implementation of the Return on Investment (ROI) model based on documented services to the Open Table clients. It is anticipated that by the end of the summer, with the assistance of Dr. Yancey, an evaluation of the outcomes can be conducted using this model.

The City of Phoenix Housing Department is facing a great challenge in serving families at 0% to 50% of median income. The city's wait lists for all its assisted housing programs – public housing, senior housing, and the Housing Choice Voucher program – have many more families than can be served in a reasonable length of time. The Housing Choice Voucher Program Wait List is closed with 6,200 families on the list still to be served as of April 2011. Public housing wait lists total 15,900 as of April 2011.

According to the *2005-2010 Consolidated Plan*, virtually every agency serving persons with special needs (i.e., frail elderly, homeless, victims of domestic violence, persons with disabilities, persons living with HIV/AIDS, etc.) consistently report a high unmet need for affordable housing. These agencies receive numerous calls every month from family members, caseworkers and clients seeking affordable housing.

Another indication of the housing affordability problem – that is, serving very-low income families – is to compare the minimum wage to available rental units. At \$5.15/hour (\$10,712/year) a person could afford to pay \$268 a month towards rent and utilities. At the time of the 2000 Census there were 37,689 households in Phoenix earning less than \$10,000/year, but there were only 5,334 units available for under \$200 a month and 4,430 units available for \$200 to \$299 a month.

The availability of affordable housing for very low income individuals on minimum wage or living on SSI payments is quickly diminishing. This unavailability of affordable housing at the very-low income level can only exacerbate the problem of homelessness.

Financial Education Program

As in previous years, the financial education program was an important component. Findings indicate asset formation is more probable when money management skills accompany tax preparation services. The city, Money Management International and Arizona Saves worked together to provide Financial Education classes. The classes focused on Budgeting and Savings, Taking Charge of Your Credit, and Preparing for Home Ownership. A total of 323 individuals (unduplicated count), including adults and children, attended the classes in September and October 2010 with two more sessions offered in May and June 2011.



Arizona Veterans' Stand Down

The Arizona StandDown is Arizona's largest outreach event targeting veterans experiencing or at-risk of homelessness. Through a partnership with the Arizona State Fairgrounds, this year's Arizona StandDown was held February 4-6, 2011. Over the course of these days, homeless and at-risk veterans are offered shelter beds and other basic needs items such as meals, clothing, shoes, hygiene products, showers and restroom facilities among other care items needed to recuperate from the conditions of life on the streets.

Basic needs addressed, homeless veterans are better able to access the services available to assist them in ending the experience of homelessness. Over 50 service providers come together to deliver onsite support during Friday and Saturday of the event; all providers committed to "cutting the red tape" to deliver their services quickly, efficiently and in a veteran-centered framework. Major service providers include the Phoenix VA Health Care System, Arizona Department of Transportation's Motor Vehicle Division, City of Phoenix Human Services Department, Municipal Court and other Court systems, Social Security Administration and the Department of Economic Security. During the event 1,048 total participants were served which was an increase of 23% over last year's total of 804 for the entire three-day weekend.

Arizona Veterans' StandDown Highlights

- a. One thousand forty-eight (1,048) veterans were served, 61% were identified as homeless veterans and 78% were identified as chronically homeless
- b. 41% (431) of veterans served self-identified housing as a barrier to self-sufficiency. 5% (31) of these veterans were placed in Bridge (temporary shelter until housing is available), Transitional or Permanent Housing) by the end of the 3-day event.
- c. 53% (551) of veterans served self-identified legal issues as a barrier to self-sufficiency. All misdemeanor cases were resolved through community service hours performed during the clean-up portion of the event. The Arizona StandDown saw a 64% increase in cases processed due to the considerable expansion among participating court systems to include Glendale, Maricopa County and the Superior Court.
- d. 35% (363) of veterans served self-identified Health Care as a barrier to self-sufficiency One hundred and sixty-seven (167) veterans received on-site medical/dental treatment, health exams, prescription services, mental health screening, and referral and intervention services by the VA Medical Center..
- e. 43% (448) of veterans served self-identified a motor vehicle issue as a barrier to self-sufficiency. 66% or (702) veterans received ID/License services, Motor



- Vehicle Reports, abandon vehicle fee resolution and general assistance through the Motor Vehicle Department.
- f. 31% (331) of veterans served self-identified experiencing mental health issues. 4% (13) were provided either a mental health screening or evaluation by Magellan of Arizona.
 - g. 24% (249) of veterans served self-identified a Social Security issues as a barrier to self-sufficiency. Services provided were duplicate social security cards, disability claim filing, benefit estimates, General Assistance, claim status inquiries, Address/Direct Deposit changes Claims Appeals and Retirement Claims assistance through the Social Security Administration.
 - h. 48% (508) veterans were provided donated eyeglasses.
 - i. 20% (205) veterans received hair cuts.
 - j. Approximately 3,275 meals were served to veterans during the 3-day event.

B. Foster and Maintain Affordable Housing

The city assisted with funds for the rehabilitation and/or new construction of 274 affordable rental housing units and committed funding for 1,221 additional units expected to be online for reporting year 2011-2012. The city's goal for new homeownership units during this reporting period was to assist 80 low- and moderate-income families. The city created 66 new first-time homeowners just missing our goal in this difficult market saturated with foreclosures.

The Department also applies for additional Section 8 Housing Choice Vouchers when they become available to offer families more residential opportunity and choice. Unfortunately, the Housing Department was not able to provide additional Vouchers for families this reporting year as no new incremental Section 8 funds were available. With prior year funding and allocation, the Housing Department provided 6,057 Housing Choice Vouchers to families at 50% and below of area median income.

As the local Public Housing Authority (PHA), the city administers federally-funded housing programs and also fulfills its mission by acquiring additional apartment properties to meet affordable housing needs. The Housing Department currently has a portfolio of 1,280 units at 19 apartment communities serving residents below 60% of area median income.

The primary target market for the city's affordable rental program is the family below 40% of area median income with units available for families up to 60% of area median income. Rents reach as low at \$308 per month, achievable only because there is no debt service on the property. Besides reaching the 40% area median income niche, the properties



generate net revenues that support the creation and delivery of additional affordable housing.

The Housing Department contracts out the property management at these properties. Through a competitive selection process, Dunlap and McGee was selected as the current manager of the properties. The city oversees their management, and records are audited annually. There are no city employees assigned to manage or maintain these properties.

Acquisition of existing properties that were available for sale has allowed the department to geographically disperse its property holdings. Of the total 1,280 unit portfolio, the city owns 979 family units and 301 senior units.

With Neighborhood Stabilization Program (NSP), HOME and local funds from rental income via the city's affordable rental program, the Housing Department through the creation of limited liability corporations and loans to nonprofit agencies, committed \$25,000,000 and expended \$15,006,621 in NSP funds for the acquisition and rehabilitation of 1,229 units of foreclosed multifamily housing. The NSP Program helps reach families under 50% of area median income giving them access to quality and safe affordable rental housing. The Housing Department is forecasting that most of the 1,229 units will be on-line for reporting year 2011-2012. These projects are in various stages of acquisition and rehab.

For the 2006 City General Obligation (GO) Bond Program, the Housing Department proposed three programs to foster and maintain affordable housing in the City of Phoenix. The City Council and the 2006 Citizen Bond Committee recommended and approved the following three programs:

Public Housing Modernization: *Approved \$5,210,000* - The city owns and operates 2,237 units of federally assisted housing which were built as long ago as 1941. Units are maintained clean and presentable, but they are functionally, aesthetically and structurally obsolete. Improvements are needed to upgrade the 60 amp electrical services, install air conditioning, refurbish kitchens and bathrooms, upgrade landscaping and more. These improvements will result in lower maintenance costs. Public housing typically serves families under 30% of the area median income. The improvements are all discussed with the resident councils as the projects are formulated and then constructed.

Rental Housing Development Loan Program: *Approved \$5,210,000* - New loan program and underwriting guidelines were recently prepared after extensive input from our private nonprofit and for profit partners. The programs are available to create affordable and special needs housing through loans to private developers. This program leverages and attracts other resources to the community including low income housing tax credits. The department proposed to support an average of five to six additional developments per year which would result in 367 city-assisted units and leverage other restricted units from other affordable housing funding sources. These projects target primarily 50 and 60% of area median income households.



Affordable Housing Program: *Approved \$17,500,000* - Phoenix voters approved funding in 1988 and 2001 for expanding the portfolio of city-owned properties. Because these properties are owned with no debt service requirements, the city can offer very affordable rents. The department proposed increasing the current portfolio of 1,252 units by 434 units. This program generally rents to households from 30 to 50% of area median income.

C. Actions to Eliminate Barriers to Affordable Housing

1. Difficulty of low and moderate income first-time homebuyers to purchase quality housing at an affordable price:

In order to overcome barriers that lower income homebuyers face trying to accumulate sufficient funds to purchase housing, the Housing Department utilizes federal and local funding for down payment, closing costs, soft second loans, and acquisition and rehabilitation homeownership activities. Through these funding sources the Housing Department assisted 66 low and moderate-income homebuyers to purchase homes through homeownership programs.

As part of the down payment and closing cost assistance the Housing Department awards to non-profit housing agencies, pre-mortgage and credit counseling services are provided to help low-income families become first-time homeowners. The Housing Department's Resident Services Program has an intensive 28-hour in-house homeownership counseling program helping public housing and Section 8 families prepare for homeownership. This reporting year, two public housing families purchased their first home through the Housing Department's Section 32 Homeownership Program.

In 2005, HUD approved a plan to allow the Housing Department to sell 50 of its single family homes to low income families (at or below 80% of Area Median Income), particularly those in the Housing Department's Scattered Sites and Family Self Sufficiency programs.

The Housing Department owns 50 single family homes for sale throughout the city. The houses are sold at the appraised value with a 20% discount in the form of a loan which is forgivable over 10 years. Down payment and closing cost assistance of up to \$6,000 is available to each purchaser. Additional down payment and closing cost assistance from the Federal Home Loan Bank of San Francisco, known as WISH or IDEA, may be available in the form of a 3 to 1 match up to \$15,000 to qualified purchasers and as long as funds are available.

The Housing Department sold eight houses since June of 2009 with two sold this reporting year. The average house payment including principle, interest, taxes and insurance is \$600.00

HOME funds were committed this year for the Open Doors Program, a new Housing Department down payment assistance program with three nonprofit agencies under contract providing referrals and homebuyer counseling: Community Housing



Resources of Arizona, Housing Our Communities, and Desert Mission Neighborhood Renewal.

The Neighborhood Stabilization Program (NSP) down payment and closing cost assistance initiative with four nonprofit housing counseling partners resulted in 63 first-time homebuyers with 31 of these families under 80% of area median income. The NSP homeownership program funds \$15,000 in down payment and closing cost assistance for families up to 120% of area median income.

The foreclosure crisis has hit the City of Phoenix particularly hard. Through different approaches funded by the NSP Program, Phoenix is concentrating on its hardest hit neighborhoods with NSP funding.

The Housing Department compiled a comprehensive homeownership packet for potential new homeowners. For the first time, homebuyers have the opportunity to view information on the Department's various homeownership programs in one brochure. The 33-page packet highlights features of each program; eligibility requirements, how to apply and who to contact.

Taking the brochure an extra step in providing seamless customer service, the packet also contains information on homeownership programs through the State of Arizona Department of Housing. The homeownership packet is printed in Spanish and is available at meetings and functions attended by Housing Department staff.

Our programs are helping families become homeowners while at the same time bringing stability to our neighborhoods.

2. Supply of affordable rental housing is well below the need expressed in the community

The city works with nonprofit and for-profit agencies to develop affordable rental housing. The Housing Department has assisted with the new construction or acquisition and rehabilitation of 203 units of affordable rental housing during the reporting period. The Department is also in various stages of construction and rehabilitation of 1,221 additional affordable rental units. These units have rental restrictions that target very-low and low-income households. Eligible households pay below market rental rates.

The 68-unit Topaz Pointe Apartments was completed this reporting year with partners including the Low Income Housing Tax Credit Program, private lender and equity financing. The Housing Department made a prior year commitment of \$1,178,000 in HOME funding to this project.

The Rebooth Place Apartments was completed this reporting year – a new construction 47-unit apartment complex for families. The project expended \$1,164,000 in HOME funds and has expended \$6,067,391 in private funds and the Low Income Housing Tax Credit exchange program through the State of Arizona.



Legacy Crossing Phase I Apartments, a 80-unit new construction project (72 affordable/8 market), received an allocation of \$1,800,000 in previous years HOME Program funds and expended \$568,906 this reporting year. Legacy Crossing expended \$12,097,081 in Low Income Housing Tax Credit Program equity, State of Arizona TCAP funds, and private financing. Legacy Crossing is a private, public, nonprofit partnership with the United Methodist Outreach Ministries (UMOM) – providing permanent housing to families who have completed UMOM’s transitional housing program for homeless families.

New HOME funded projects in various stages of construction or rehabilitation include:

Santa Fe Springs, a 277-unit multifamily foreclosed property purchased with a combination of NSP and HOME funds and undergoing rehabilitation activities with HOME. Project will be on-line for reporting year 2011-2012.

Oasis On Grand is a 60-unit acquisition and rehab project and is an adaptive reuse of a 99-unit former motel built in the early ‘50s in downtown Phoenix. HOME funds are being used in the rehab of the project. The Oasis is expected to be on-line by December 2011. \$3,000,000 in HOME funds were committed to the Oasis with \$747,628 in expenditures this reporting year.

Legacy Crossing Phase II is a 60-unit new construction apartment community serving families and is the second phase of the UMOM permanent housing complex. \$750,000 in HOME funds were committed this year with over \$14,000,000 in tax credit equity, State of Arizona Housing Trust Funds and private financing committed to the project.

Grandfamilies Place of Phoenix is a 56-unit new construction project serving grandparents who have custody of their grandchildren. \$2,200,000 in HOME funds were committed to the project this reporting year with \$1,658,145 in expenditures. The project has leveraged \$8,020,270 in tax credit equity and private lender financing.

Devine Legacy on Central is a 65-unit new construction project serving families in downtown Phoenix on the light rail line and very close to a light rail station. \$3,000,000 in HOME funds were committed to the project this reporting year with \$2,751,420 in expenditures. The project has leveraged \$9,806,656 in tax credit equity, State of Arizona Housing Trust Funds, and private lender financing.

The Lofts at McKinley is a 60-unit new construction project serving seniors age 55+ in downtown Phoenix. \$3,000,000 in HOME funds were committed with \$2,456,906 in expenditures this reporting year. \$10,751,679 in tax credit equity, State of Arizona Housing Trust Funds and private lender financing has been leveraged.

The Housing Department has created a new project development and implementation team that will focus on the Department’s own acquisition and development projects using a variety of funding sources. These projects include:



Pine Crest Apartments, a 264-unit foreclosed multifamily property, will serve families up to 120% of median income with 148 units set-aside for families with incomes up to 50%. Project is currently undergoing a rehab scope of work with construction activities scheduled to begin in Fall 2011.

The Phoenix Residential Investment Development Effort (PRIDE) Board closed escrow on Santa Fe Springs Apartments this reporting year, a foreclosed 310-unit affordable rental housing project serving primarily low- and very-low families. Rehab scheduled to begin in Fall 2011 will include remodeling of unit interiors; exterior work – painting, roofing, sidewalk replacements, stair and balcony repairs, and major work on the HVAC system and parking lots.

Park Lees, a 523-unit foreclosed property, was acquired this reporting year by a city-formed LLC, and is currently undergoing major interior and exterior rehabilitation. Park Lee is a 31.6 acre community located near downtown Phoenix and is distinguished by its large open spaces; mature landscaping and unique architecture.

A grand opening event was held at Park Lee in May of this year to celebrate the completion of the first rehabilitated units and site amenities including the clubhouse; leasing facilities; pools; tennis courts; playgrounds and laundry rooms.

West End Light Rail Apartments is a 236-unit garden-style foreclosed apartment community near the light rail line. Major rehab work is being planned to include interior and exterior improvements; kitchens, baths, doors, windows and a new HVAC and electrical system.

The Housing Department is continuing its “Housing Now” Program in partnership with the Phoenix Residential Investment Development Effort (PRIDE) Board, a city created nonprofit agency dedicated to increasing affordable housing opportunity in the City of Phoenix. This funding assists new public housing residents with moving expenses. This program makes the move into public housing easier for residents and helps improve the Department’s public housing occupancy rate. “Housing Now” was created initially to speed up leasing efforts; however, in the first round of funding, staff learned that many households truly cannot afford security deposits and other moving costs even when it will result in quality, stable, and affordable housing. The PRIDE Board has an ongoing interest and investment in this program.

The Symphony is the Housing Department’s second HOPE VI grant replacing 76 units of 1940s era public housing with 83 mixed income units. As of June 30, the project was 80% complete and is expected to complete construction by October 2011. This project is very close to the city’s first HOPE VI community, Matthew Henson Village, with 549 new units of public housing, market units and low income housing tax credit units

3. Limited accessible units to assist persons with disabilities

The city recognizes that persons living with a disability have a worst-case scenario for housing opportunity and choice and maintains its efforts to address accessibility needs by



reserving funding specifically for special needs groups. Accessibility standards are required in each housing proposal funded. The city requires disability accommodation compliance in all housing development contracts. Another resource available is the Phoenix Mayor's Commission on Disability Issues, Architectural Accessibility Committee. The Committee reviews and approves proposed City owned projects to ensure compliance with accessibility requirements and will provide technical assistance for any development proposal.

During the reporting period, the Housing Department used Housing Opportunities for Persons With AIDS (HOPWA) funding to provide subsidy for 87 units that housed 98 low income and special needs persons living with HIV/AIDS. The HOPWA Program is flexible enough to allow participants to stay in place or relocate to an area that may fit their health needs.

The Fillmore Hacienda project is a new construction 49-unit Section 202 project serving very-low income seniors and disabled persons. Fillmore Terrace has been acquired and is undergoing rehabilitation by the National Farm Workers Service Center.

Another National Farm Workers Service Center project is the Roeser Hacienda Apartments, a 45-unit acquisition and new construction Section 202 project serving very-low income seniors and disabled persons with \$1,750,000 in prior year HOME Program funding commitments.

The Housing Department committed \$3,000,000 and expended \$1,490,396 in HOME Program funds to Victory Place Phase III, a new construction 75-unit permanent facility for homeless veterans. The project expended \$13,545,722 in leverage funds from private financing, State of Arizona HOME and Department of Veterans Affairs funds, and equity from the Low Income Housing Tax Credit Program. Victory Place III is the third phase of a campus community that serves homeless and disabled veterans.

The Housing Department committed \$100,000 in CDBG funding and expended \$684,137 in prior year CDBG funds for Chrysalis Shelter, a new construction transitional housing facility serving 40 women who have experienced domestic violence and their children.

House of Refuge Sunnyslope completed a 5-unit transitional housing complex for men in recovery. The project expended \$224,518 in CDBG funds.

The Valley of the Sun School and Rehabilitation Center, a rehabilitation project of six group homes serving disabled persons, completed two of the group homes this reporting year with nine units on-line for developmentally disabled persons.

4. Non-profit capacity building to expand development efforts

To assist with the capacity barriers most nonprofit organizations face in developing affordable housing projects, the Phoenix Residential Investment Development Effort



(PRIDE) Board, a nonprofit agency, awarded pre-development loans and grants to nonprofit agencies creating affordable housing. PRIDE funded projects range from a new construction senior community with dedicated units for senior victims of domestic violence to first-time homeownership opportunities.

The Department also funds an AIDS Housing Coordinator to assist individuals and families living with HIV/AIDS find available housing.

The Housing Department hosts meetings during the year with community partners – public/private/nonprofit – as information sharing and training opportunities. The Housing Department has invited other city departments to the meetings as well as agencies such as the Federal Home Loan Bank to share resources and brief the nonprofit community on available funding and the application process.

The Housing Department is updating the Underwriting Guidelines to help guide the allocation of affordable housing project development funds. The goals of the Affordable Housing Loan Program and Underwriting Guidelines are:

- Obtain long-term housing affordability
- Meet specific city revitalization efforts
- Provide housing for special needs populations
- Create clear and predictable processes for funding projects
- Manage risk and encourage high performing projects

In addition to providing more clarity and reorganization of information, changes are also being made to the Housing Department's funding application for affordable housing development. Goal is to have one funding application for the three loan programs administered by the Department: homeownership, affordable rental, and special needs projects.

D. Overcome Gaps in Institutional Structures

During reporting year 2006-2007, the Housing Department received the final report to their "Best Practices" study completed by out-of-state consultants to review the department and its organizational structure as well as to study the department's affordable housing programs. The consultants met with both management and field staff from the three Housing Department programs: Public Housing, Housing Choice Voucher, and affordable housing development. The consultants also met with public, private and nonprofit housing partners to gauge what works best and what doesn't work.

The Best Practices report focused on four criteria:

- Housing programs must provide long-term affordability given the rising costs of housing and land prices;
- Housing programs must result in well-designed, mixed-income affordability for long-lasting neighborhood impacts;



- Housing programs must assist the Department in creating financial resources for future affordable efforts, and
- Housing programs must result in effective partnerships for leveraging resources.

The Housing Department's Development Section meets monthly with staff from the State of Arizona Housing Department to review joint projects and to make sure that information and ideas flow to and from the state and local jurisdictions.

Housing Department staff regularly attends the City of Phoenix Housing and Neighborhoods Commission with members appointed by the Mayor and City Council. A Housing Department staff member is assigned to each of the Commission's subcommittees: Collaborative Outreach, Education, Zoning, and Funding Resource.

At the request of City management and the Housing and Neighborhoods Subcommittee, the Housing Department convened an interdepartmental team to address regulatory barriers to affordable housing and to convene a stakeholder forum. Staff has taken measures to address the issues raised, and a HUD official attended a Neighborhoods, Housing, Historic Preservation, Arts & Culture Subcommittee meeting to thank the City Council for signing on to this initiative.

The following is the initial list of barriers identified by the Overcoming Regulatory Barriers Task Force. Beginning this fall, an open forum will be conducted so that affordable housing partners can have a venue to express thoughts and opinions on the identified barriers. New items may be added to the list as a result of the forum.

1. Density bonuses for affordable housing
2. Forum for developers to present new building materials and/or techniques
3. Expedite plan review if project or developer meets certain criteria
4. Improve/streamline review process
5. Use technology to facilitate timeliness of development process
6. Review regulation and zoning processes for impact on affordable housing
7. Excessive fees that may hinder or deter development
8. Text amendments for design review regarding small lots
9. Mid-block lighting—burden falls to the one lot being developed
10. Copper plumbing requirements
11. Streets/right of way widths
12. Accessory apartments
13. Improvements districts and community facilities districts



E. Public Housing Resident Initiatives

The City of Phoenix Housing Department is committed to a wide range of programs that promote economic opportunity and social integration for residents of public and assisted housing. Current initiatives include training and educational programs as well as activities to promote safe, crime-free communities.

Family Self Sufficiency (FSS) Program

The Family Self-Sufficiency Program (FSS) provides long-term case management services to help low-income individuals and families residing in subsidized housing to overcome barriers to self-sufficiency so they can become financially independent. Participants receive access to educational training, job training and preparation, life skills training and employment opportunities. They also receive assistance with budgeting, homeownership preparation, transportation, counseling and referrals to resources available in the community. The FSS Program emphasizes homeownership.

Highlights for 2010-11 include:

- 190 families received case management services.
- Six (6) FSS participants purchased homes.
- There has been a 35% increase in income for FSS participants
- The average annual income for participants employed full-time is \$26,000.
- Over 60% of families completed at least 180 days of employment.



Economic Initiatives

The Economic Initiatives program is a job training program for public housing residents. The program allows qualified residents an opportunity to learn life skills, job readiness and technical job skills. The participants attend one of the community colleges. The program also provides on-the-job training in which the participant can put to use what they have learned at the community college. They also are able to complete their GED.

The program began in 1991, and in 1993 became the second site for a national Step-Up Building Maintenance and Construction Apprenticeship Program.

- Thirty-four participants completed life skills and job readiness classes.
- Forty-one participants completed 2 semesters of vocational training at a community college. Seven of those participants were in the Honors program.
- Two participants graduated from Phoenix College, with 1 participant selected to be the Valedictorian at the graduation.
- Another participant participated in a public policy program at Phoenix College and as a result went to Washington DC and met with students from other community colleges throughout the country and Congressional representatives.
- Two participants are completing school at the Maricopa Skill Center.
- Two participants completed their GED and they will be attending the community college.
- Seventeen participants have participated in the on-the job training, including maintenance, office administration, and computer labs.
- Twenty-five people have been hired as a result of HUD's Section 3 employment requirement during this time.

Neighborhood Networks Centers

The Neighborhood Networks program offers computer and Internet access to residents of senior and family public housing in an effort to improve employment and educational goals. This is done by providing online resources, classes, and one-on-one assistance. Over the course of the past year, there were over 300 residents were served at the five computer labs serving families.

For employment goals, the program offers job training, resume building classes, and assists with online job searching. For educational goals, the program offers tutoring, enrollment assistance, financial aid and application assistance. Both, Microsoft Office classes and basic computer classes are offered regularly to all adults.

- Six residents have completed multiple basic computer classes and have recently attended their first advance class where they learned how to clean, maintain and troubleshoot their computers. That group recruited another ten residents, nine of which completed the first basic computer class recently.



- Five teenage residents enrolled in online high school. One teen completed his high school requirements and is now attending college. Five residents have been hired as computer lab assistants for job training purposes. Three of these residents are still employed and one has maintained employment for over two years.
- Throughout the year, the program offers after school tutoring for children of all ages. During school breaks, structured classes are offered for children to learn computer skills through fun projects. They learn about online searches, copy and paste, Microsoft Office, typing, and educational online games.

Educational Programs

The primary focus of the educational programs for residents of public housing is to holistically enhance the quality of life of families and enable them to be as productive and self-sufficient as their circumstances allow. Although some classes are provided in-house, most are taught by outside agencies. This year these ranged from Southwest Human Development to Savoy Bookkeeping to Bank of America.

Section 32 Public Housing Homeownership Program

In 2005, HUD approved a plan to allow the Housing Department to sell 50 of its single family homes to low income families (at or below 80% of Area Median Income), particularly those in the Housing Department's Scattered Sites and Family Self Sufficiency programs.

The Housing Department owns 50 single family homes for sale throughout the city. The houses are sold at the appraised value with a 20% discount in the form of a loan which is forgivable over 10 years. Down payment and closing cost assistance of up to \$6,000 is available to each purchaser. Additional down payment and closing cost assistance from the Federal Home Loan Bank of San Francisco, known as WISH or IDEA, may be available in the form of a 3 to 1 match up to \$15,000 to qualified purchasers and as long as funds are available.

Homeownership, Financial Fitness and hands-on maintenance classes are provided and required. The Housing Department sold eight houses since June of 2009, two of which were sold in the 2010 fiscal year. The average house payment including principle, interest, taxes and insurance is \$600.00

Tax Preparation Assistance

Through its Volunteer Income Tax Assistance (VITA) site program at the HOPE VI Emmett McLaughlin Community Training and Education Center, the Housing Department, in partnership with the Gateway Community College Accounting Department, completed 350+ tax returns for public housing residents and other low-income families bringing almost \$800,000 in refunds back to the community.



Service Coordinators

The Senior Housing Program provides housing to over 630 elderly and non-elderly disabled residents in five apartment communities. Each of these facilities has a Service Coordinator on-site to assist residents. The Service Coordinator links residents to the necessary supportive services to ensure they can continue to live independently. They also assist residents with their independent living needs, i.e.: business or medical correspondence, finances, telephone service, emergency food boxes, counseling, transportation, and socialization. During fiscal year 2010-2011, almost 100% of the residents in the senior housing communities received assistance from one of the service coordinators.

Public Housing Modernization

In 2010-2011 the Housing Department completed many capital improvement projects funded by the 2009 American Recovery and Reinvestment Act. The City's ARRA funds for Section 9 and Section 8 housing included:

- \$4,295,283 in Capital Fund formula grants
- \$3,408,000 in Capital Fund competitive Green Communities funding
- \$1,607,950 in Green Retrofit Program funds

The Housing Department also received \$3,498,175 for 2010 Capital Fund Program activities. The Housing Department is proud that it has met all HUD requirements for the obligation of ARRA and CFP funds for the financial year.

In 2010-11 the Housing Department has expended \$4,277,983 (99%) of its formula ARRA Capital Fund, and \$1,582,528 (46%) of the competitive ARRA CFP funds. Construction for the Green Retrofit Program at Sunnyslope Manor started in January and will be complete by September. More than \$1,085,000 (67%) has been expended for the project

The capital improvements funded by the ARRA stimulus funds included: exterior painting of public housing sites, interior painting of senior housing locations, replacing security doors, playground improvements, flooring replacement at senior housing locations, landscape improvements, sidewalk repairs, HVAC upgrades, bathtub repair/replacements and seal coating roofs. Overall the capital improvements generated by the stimulus funds improved the quality of life for residents in over 1,998 City of Phoenix Housing apartments.

During Fiscal Year 2010-11 the Housing Department's Modernization Section surpassed all goals set for the remodeling of Scattered Sites homes and performed other special projects during 2010-11. Utilizing In-force crews and contractors, the Modernization Section remodeled 12 scattered site houses and 6 Section 32 homes, repainted the exteriors of 59 Scattered Site houses and replaced 21 roofs.

In fiscal year 2010-11, the public housing modernization activities also included expending \$650,521 in Capital Fund Program monies. These rehabilitation activities enhanced 854



apartments and various public housing locations. The city also received \$2,826,950 in 2011 Capital Funds for future modernization projects.

F. Lead-Based Paint Hazards

For the past 17 years, the City of Phoenix has been successful in obtaining HUD grants to address lead hazards in privately-owned housing. Since 1996, NSD's Lead Hazard Control Program has remediated lead hazards from more than 1,200 Phoenix homes. During 2010-2011 the city tested 108 units for lead hazards and made 93 living units lead safe. In 2008, NSD submitted a grant proposal for the \$3 million maximum award in response to HUD's 2008 Notice of Funding Availability (Super NOFA). As a result of the Federal American Recovery and Reinvestment Act (ARRA), the Program was awarded \$2.3 million to continue its Lead Hazard Control Program. This grant, along with a previously awarded \$663K received under a revised Round 16 grant plan will allow NSD to continue its efforts in eliminating childhood lead poisoning. Both Program periods extend through 2012 and will identify lead hazards in privately-owned homes built before 1978 located in targeted neighborhoods where children under six reside. The grants will also conduct community education on lead poisoning prevention and fosters economic opportunity to low income persons through its Section 3 activities.

The City of Phoenix is compliant with the federal regulations for addressing lead-based paint in federally assisted housing. These regulations went into effect in September 2000, at which time the Neighborhood Services Department's housing rehabilitation section directed the integration of the regulations to result in minimum adverse impact on the delivery of existing housing rehabilitation programs. NSD continually refines its approach to lead-based paint in housing rehab programs to meet the requirements of the regulation and the needs of the community.

G. Compliance and Monitoring

CDBG Compliance and Monitoring

All CDBG projects were actively monitored for compliance with all regulations and timely implementation through a cost reimbursement system, monthly reports, site visits, and yearly audits. Nine formal site visits were completed. The NSD accountants accompany program staff on these site visits to test financial transactions and the adequacy of the agency's financial system. The fiscal monitoring included a review of sub-recipient's single audit report or other audits and evidence supporting charges claimed in financial reports.

Regular compliance desk reviews were completed through the programs reimbursement system. Reimbursements to contractors were based on the monthly or periodic submission of a financial statement and progress report by each contractor. The financial statements were reconciled by staff for accuracy, eligibility, and reasonableness of expenses and to check that the funds were used properly for the purpose for which they were awarded. Progress reports were checked to assure measurable progress was being made to achieve the goals and objectives stated in the Contract or Memorandum of Agreement with



Subcontractors and City User Departments. An unspent funds report assisted staff in assuring timely expenditure and completion of projects.

Orientations were conducted at the beginning of the year with nine City Programs that utilize CDBG funds. This assured that they were aware of all performance measures applicable regulations, program goals and reporting requirements as documented in the Letters of Agreement with each Department or Division.

The City has developed a series of manuals, forms, and risk analysis/site visit review checklists to help it support its monitoring functions, provide relevant technical assistance, and assure program progress in meeting objectives. A twenty-minute video on proper billing procedures for CDBG reimbursements and the importance of regular monthly reporting has been developed and is used at all pre-contract orientations.

During the contract period, staff provided on-going technical assistance to address any issues that arise to ensure that sub-recipients achieved the goals and objectives of their projects. Sub-recipients were required to submit financial status and progress reports on a monthly basis to ensure that issues are identified and addressed in a timely manner. Sub-recipients who failed to submit reports in a timely manner received certified letters from the NSD Grants manager requesting corrective action and providing timelines. A CDBG financial report was prepared monthly by accounting staff from the City's SAP system. This was used by Project Managers to monitor timely implementation of projects within budget.

Homeless Programs Monitoring Process

The City of Phoenix Human Services Department (HSD) works closely with organizations that receive federal, state and local funds to ensure that funds are used appropriately and in the most effective manner possible. Each sub-recipient organization receives a fully executed contract duly signed by authorized signatory's from both the funding and recipient agencies within 180 days of receipt of the grant. Each contract includes a contract award, scope of work, program summary, standard terms and conditions, special terms and conditions, itemized service budget(s), facility location chart(s), crime free housing policy, disclosure forms and report forms. Prior to the beginning of the contract period HSD provides a comprehensive contract orientation to assist sub-recipient organizations in understanding their contract, administering it effectively and meeting performance goals. Federal and local regulations, reimbursement procedures, reporting requirements and monitoring procedures are reviewed and discussed and sub-recipient organizations have the opportunity to meet HSD staff and have questions answered.

During the contract period, HSD staff provides on-going technical assistance to address any issues that arise to ensure that sub-recipients are able to achieve the goals and objectives of their projects. Sub-recipients are required to submit Contract Payment Requests and Demographic Reports on a monthly basis to ensure that funds are drawn down appropriately and services are provided to their target population. Sub-recipients who fail to submit reports in a timely manner receive a written notice of deficiency from HSD. A consolidated sub-recipient financial performance report is prepared by HSD fiscal



staff and reviewed by HSD program staff on a monthly basis to ensure issues are resolved in a timely manner.

The HSD performs financial and programmatic monitoring of all contracts annually in accordance with the HSD monitoring policy. Financial monitoring is conducted either in the form of a site visit or desk review. The objectives of the financial monitoring are: 1) to verify that the Scope of Work for each contract is carried out in accordance with funding regulations and provisions of the contract, and 2) to verify that the funds are used by the sub-recipients properly for the purpose for which they were awarded. The fiscal monitoring includes a review of sub-recipient's single audit report and evidence supporting charges claimed in financial reports. Programmatic monitoring visits are conducted on-site and include interviews with appropriate agency staff, a review of open and closed case files, and inspection of relevant agency documentation to include documentation of homelessness, case notes and rent calculation worksheets. Enforcement of client rights and responsibilities, appropriate termination of services, and handling of grievances are also reviewed. The HSD provides technical assistance related to any findings or other issues identified through the monitoring process.

HOME Program Monitoring

During the reporting period, the City of Phoenix Housing Department conducted annual inspections on 48 agencies under contract providing affordable rental units under the HOME Program. Housing Department staff inspected 83 HOME assisted units. All HOME properties are compliant with HOME Program and City of Phoenix regulations and have required only general maintenance corrections. Any findings as a result of monitoring are included in follow-up monitoring until all appropriate actions are taken to resolve the issue(s). Monitoring responsibilities include compliance with rent limits, review of supporting documentation for income eligibility, outreach to minority businesses, review of expenditures and outcomes, and compliance with HQS.

The City monitors all HOME Program activities in accordance with HUD regulations to ensure that housing and housing –related services are being delivered in accordance with HOME Program requirements. Specifically, HOME requires that funds be used to provide housing and housing-related services for low- and very-low income residents; that any housing produced with HOME funds meet quality standards and is decent, safe and sanitary, and that the housing opportunities created with HOME funds are available and affordable for specific periods of time. In the city of Phoenix, affordability periods can be up to forty years depending on the type of project and amount of HOME funds invested.

The Housing Department has updated its Monitoring Policies and Procedures Manual for recipients of HOME Program funds. The manual is designed to provide guidance to HOME Program participants to assist them in maintaining (1) program and project records; (2) records pertaining to rents, tenant income and affirmative fair housing guidelines throughout the affordability period; and (3) compliance with minimum housing quality standards for safe, decent, and sanitary housing. HOME Program recipients are



responsible for compliance with all amendments and updates to the federal HOME Program rules and regulations.

When a HOME project is selected for monitoring and review, Housing Department staff contacts the property owner before the scheduled visit. Staff schedules an initial monitoring visit of multifamily projects within the first year of project completion to review tenant files; property management and financing viability; marketing procedures; tenant selection criteria; rent and occupancy records, and project financial reports. After the initial visit, staff schedules the first monitoring review.

HOME program recipients are required to provide a snapshot view of current residents of multifamily units in the program. Of the City's 791 total HOME units, 10% were vacant. Of the 712 occupied units that were reported, 404 (57%) were minority households, while the remaining 308 were white.

HOPWA Monitoring and Compliance

The project sponsors receiving HOPWA funding must submit quarterly reports on persons they serve. All invoices are submitted with back up information that details hours worked in support of the program and direct costs associated with program delivery. A financial report is generated monthly by the City of Phoenix Finance Department for the HOPWA funds detailing funds committed and expended. This helps staff reconcile IDIS entries with the City of Phoenix's financial system. The monitoring schedule is determined by [need Michael's comments here]

During this fiscal year, program audits were completed on all six HOPWA project sponsors who contract with the City of Phoenix. During the audit, programs were reviewed for compliance with all regulations and efficient implementation of program services. Several recommendations were made to agencies with regards to future billing procedures for reimbursement of direct and indirect costs.

All project sponsors are currently compliant with HOPWA regulations. Units have been monitored to insure compliance with HOPWA Program regulations. Monitor findings found some deficiencies in rent calculations, however, this situation was quickly remedied through technical assistance to the agency.

The Housing Department has developed a series of forms, analysis and site visit review checklists to help the Department support its monitoring functions; provide relevant technical assistance, and assure that funds are being spent to meet both city and national HOPWA Program objectives.

The Housing Department will continue all existing monitoring efforts and promote new monitoring initiatives to ensure the proper use of funds and compliance with all applicable federal, state, and local laws and regulations.



H. Reduce Number of Families in Poverty

The **EXPAND** program assists small businesses to obtain financing for start-up and expansion. The assistance can be for up to 25% or more if the loan is for a minimum of \$150,000. Businesses assisted must create at least one job for each \$35,000 in EXPAND assistance. During this reporting period 4 businesses received loans and 3 jobs were created. Through the Management Technical Assistance (MTA) Program, technical assistance is provided to small businesses and low income micro-enterprise owners in order to help them stabilize and possibly expand their business in order to hire low income employees. During this period 28 businesses participated in the MTA program and 10 jobs were created.

Family Self Sufficiency (FSS) Program

The Family Self-Sufficiency Program (FSS) provides long-term case management services to help low-income individuals and families residing in subsidized housing to overcome barriers to self-sufficiency so they can become financially independent. Participants receive access to educational training, job training and preparation, life skills training and employment opportunities. They also receive assistance with budgeting, homeownership preparation, transportation, counseling and referrals to resources available in the community. The FSS Program emphasizes homeownership.

Highlights for 2010-11 include:

- 190 families received case management services.
- Six (6) FSS participants purchased homes.
- There has been a 35% increase in income for FSS participants
- The average annual income for participants employed full-time is \$26,000.
- Over 60% of families completed at least 180 days of employment.

The City of Phoenix 2010-11 EITC Campaign

In the City of Phoenix 2010-2011 EITC Campaign, staff launched a multi-faceted effort to promote awareness of the EITC Program, as well as the availability of free tax-filing services through promotional materials, media alerts, and public forums.

Staff developed a broad-range of informational materials, in both English and Spanish, which were distributed through community groups that interact with EITC-eligible people on a daily basis. Also, major utility companies and local corporations helped distribute information to area residents. In addition to marketing efforts to the general population, the campaign included six target groups: monolingual Spanish-speaking residents, people with disabilities, homeless persons, military veterans, refugees, and Native Americans.

A key marketing strategy this year was the partnership with Phoenix School of Law. The law students opened and ran a tax clinic serving veterans. Information was disseminated to US Veterans and organizations working with veterans to market the free tax clinic on the school campus.



Highlights of the campaign, based on the regular tax season and preliminary “back taxes” summer results compiled by the IRS, include services to 5,700 households received \$9.1 million in tax refunds at 21 free tax preparation sites managed by the City and community partners.

This year’s efforts were underscored by a systematic volunteer recruitment, retention and recognition program including a robust marketing campaign and the EITC web site to include an on-line volunteer application. A data base system was also implemented to track volunteer information and services provided for each site. In addition, a total of 274 volunteers donated 11,605 hours at the 21 city of Phoenix sites, representing an increase of 15% volunteer participation in the program.

For the fifth consecutive year, the city of Phoenix EITC Campaign was selected by the National Disability Institute - Real Impact Tour and IRS as a city to promote the campaign to people with disabilities. The Real Impact Tour selected the city of Phoenix to host a workgroup meeting to engage the community to implement strategies to support individuals with disabilities in the community to understand and support the cities EITC Campaign. The City provided tax preparation services to 661 individuals who have a disability and/or have a family member with a disability.

Financial Education Program

As in previous years, the financial education program was an important component. Findings indicate asset formation is more probable when money management skills accompany tax preparation services. The city, Money Management International and Arizona Saves worked together to provide Financial Education classes. The classes focused on Budgeting and Savings, Taking Charge of Your Credit, and Preparing for Home Ownership. A total of 323 individuals (unduplicated count), including adults and children, attended the classes in September and October 2010 with two more sessions offered in May and June 2011.

Faith-Based Initiative:

Open Table Model Implementation

A total of six congregations launched tables this fiscal year: Dream Center, Community of Christ UMC – Family Self Sufficiency (FSS); Multi Systems, Inc. (MSI) – FSS Table #2; Alleluia Lutheran Table #2; Community of Christ/Phoenix; and Arizona State University (ASU). Three out of the six tables are new table partnerships (Dream Center; Community of Christ/Phoenix and ASU). In addition a total of three vouchers through the city’s Housing Department have been utilized.

On February 8 – 11, 2011 Dr. Gaynor I. Yancey, Professor of Social Work and Associate Dean for Baccalaureate Studies in the School of Social Work at Baylor University in Waco, TX; and two Social Work students participated in a series of meetings and site visits with Human Services Department staff and Open Table staff. The purpose of the visit was to review, study, and learn more about the city’s Faith-Based Initiative partnership with Open Table. Dr. Yancey has authored and co-authored numerous professional articles and



books focused on congregational community ministry. Mr. Yancey has received more than \$3.5 million in research and program grants.

On February 11, staff addressed approximately 150 participants at the Benevolence and Beyond Summit at the Desert Springs Community Church in Buckeye about the city's role with Open Table.

Staff continues to work with caseworker staff in the implementation of the Return on Investment (ROI) model based on documented services to the Open Table clients. It is anticipated that by the end of the summer, with the assistance of Dr. Yancey, an evaluation of the outcomes can be conducted using this model.



Table 3: Resources Made Available Within the City of Phoenix, FY2010-2011

Legend: COP (City of Phoenix)
 HUD (U.S. Department of Housing and Urban Development)
 AZ (Arizona)
 PIDA (Phoenix Industrial Development Authority)
 COP HD (City of Phoenix Housing Department)
 COP HSD (City of Phoenix Human Services Department)
 COP NSD (City of Phoenix Neighborhood Services Department)
 FEMA (Federal Emergency Management Act)
 HOME (HOME Investment Partnerships Program)
 LIHTC (Low Income Housing Tax Credit)
 AHUR (Arizona Highway User Fund)

Resource	Source	Administering Entity	\$\$ Available
Federal			
Community Development Block Grant	HUD	COP NSD	18,510,969
Community Development Block Grant	Program Income	COP NSD	\$450,657
HOME COP	HUD	COP HD	\$ 7,006,387
HOME COP Program Income	HUD	COP HD	\$ 637,385
Proceeds from Sale of Scattered Site Public Housing Properties	HUD COP	COP HD	\$ 1,850,000
Housing Opportunities for Persons With AIDS (HOPWA)	HUD	COP HD	\$ 1,769,291
Emergency Shelter Grant (ESG)	HUD	COP HSD	\$751,407
Continuum of Care – Supportive Housing Program (SHP)	HUD	MAG	\$23,951,097
HOPE VI	HUD	COP	\$ 10,965,300
Public Housing Operating Fund	HUD	COP HD	\$ 10,021,700
Comprehensive Grant Fund	HUD	COP HD	\$ 3,756,200
Section 8 Housing Choice Voucher Program	HUD	COP HD	\$ 45,823,900
ARRA Public Housing Capital	HUD	COP HD	\$ 3,190,478
Weatherization	US Depts Energy and HHS	COP NSD	\$3,226,591
Lead Base Paint Grant	HUD	COP NSD	\$ 2,482,904
Neighborhood Stabilization Program	HUD	COP HD COP NSD	\$ 51,423,073
Federal Home Loan Bank	FHLB	COP HD	\$ 1,000,000
Community Development Block – Recovery Funds	HUD	COP NSD	\$2,848,292
US Department of Health and Human Services	HHS	COP NSD	\$653,772
		Total Federal	\$190,319,403



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

Resource	Source	Administering Entity	\$\$ Available
State			
AZ Housing Trust Fund	AZ Department of Housing	COP HSD COP HD	\$ 2,988,000
Emergency Shelter Grant (ESG)	AZ Department of Economic Security	COP HSD	\$14,882
LIHTC	AZ Department of Housing	AZ Department of Housing	\$ 34,385,569
TCAP Funds and Exchange Funds	AZ Department of Housing	AZ Department of Housing	\$ 2,030,732
State Homeless Line Item	AZ Department of Economic Security	COP HSD	\$79,436
Temporary Assistance for Needy Families (TANF)	AZ Department of Economic Security	COP HSD	\$47,270
AZ State Lottery	AZ Department of Economic Security	COP HSD	\$28,489
		Total State	\$39,574,378
Local			
General Purpose Funds	COP	COP HSD	\$1,603,086
General Purpose Funds	COP	COP NSD	\$11,021,238
General Purpose Funds	COP	COP HD	\$ 54,000
General Obligation Bonds	COP	COP HD	\$ 15,000,000
General Obligation Bonds	COP	COP NSD	\$6,987,909
Affordable Housing Pgm	COP	COP HD	\$ 1,900,000
Private Lenders	Lenders	COP HD	\$ 54,884,382
PH Rental Income	COP	COP HD	\$ 6,278,680
S8 New Constr Rental Inc	COP	COP HD	\$ 717,450
Private Lenders	Lenders	COP NSD	\$3,026,300
		Total Local	\$99,871,562
Private			
APS (AZ Public Service)	Weatherization	COP NSD	\$144,000
Nonprofit Matching Funds	Cash or In Kind Match	Nonprofit Agencies NSD	\$12,182,372
General Public Donation	General Public	COP HSD	\$1,837
Southwest Gas	Rehab Assistance	COP NSD	\$118,475
SRP (Salt River Project)	Rehab Assistance	COP NSD	\$232,627
Rental Rehab Matching Funds	Cash Match	COP NSD	\$93,280
		Total Private	\$12,772,591
		TOTAL ALL RESOURCES	\$342,537,934



Citizen Comments

The City of Phoenix placed a public notice in the Arizona Republic newspaper stating that a draft of the report was available to the public for review and comment. This notice was published on September 9, 2011. A notice was also placed in the Arizona Informant on September 14, 2011. Both notices included a brief description of the purpose of the Consolidated Annual Performance and Evaluation Report and information about how to obtain a copy of the draft that also included the Integrated Disbursement and Information System (IDIS) Report. The notice also stated that the 15-day public comment period on the CAPER would begin on September 13, 2011 and end on September 27, 2011.

No public comment was received concerning the draft 2010-11 Consolidated Annual Performance and Evaluation Report.

Copies of the report are distributed to the City Manager, Deputy City Managers, and other City Departments interested in the development of affordable housing and community development. Copies of the CAPER are also provided to members of the Phoenix Commissions on Housing & Neighborhoods and Human Services and to the Phoenix City Council members.



Self-Evaluation

ASSESSMENT OF ANNUAL PERFORMANCE

The CDBG, HOME, HOPWA, and ESG federal funding sources, collectively with local resources, continue to be critical in helping the City assist its low and moderate income residents.

The City's used \$7,790,404 in HOME, CDBG, NSP to assist 66 low and moderate income households to become new homeowners. The HOME funds were leveraged by \$6,374,463 in private lender funding for homeownership programs and activities such as first mortgages, soft second forgivable loans, down payment assistance and homeownership counseling. The CDBG funded Housing Counseling Program was able to assist 92 families to purchase homes during this reporting period.

Two Hundred Three (203) units of affordable rental housing were added to the citywide inventory during this reporting period. The city expended \$10.8 million in HOME funds and leveraged \$44.5 million for construction, acquisition, and rehab for the 203 units. There are also 177 units of affordable rental HOME units in various stages of project completion.

Housing rehabilitation assistance to homeowners and very-low income renters is key to our neighborhood stabilization goals. During this reporting period, CDBG funds totaling \$3.9 million and HOME funds totaling \$1.3 million were used to assist families with the rehabilitation of 615 houses. Matching funds totaling \$9.9 million were also contributed by U.S. Departments of Energy, Health & Human Services, Southwest Gas, Arizona Public Service, Salt River Project, state funding, and owner contributions. Public housing rehabilitation efforts resulted in an expenditure of \$4.2 million in Capital funding to rehabilitate 1,998 units of public housing including apartments in multi-family communities, senior housing units, and scattered site single family homes.

Emergency Shelter Grant (ESG) funds are utilized within the City as the first step in a comprehensive approach to alleviate homelessness and are matched dollar for dollar through the city's general purpose funds. During this reporting period the City expended \$776,001 of ESG funds to support both projects operated directly by the City and projects operated by community based service providers. The City utilized \$222,782 for prevention services including eviction prevention, mortgage foreclosure, and move-in deposits distributed by the City's five family services centers. ESG funding to total \$315,581 was expended for operational costs including shelter rent and utilities and the Watkins Emergency Shelter Program.

In the City's comprehensive approach to viable communities, business vitality, decent housing, gainful employment in proximity to jobs, and decent living conditions have been inseparable. Our nation's economic vitality is based on small businesses and entrepreneurship. Economic opportunities for business and low/moderate income persons continue to develop through CDBG programs. The EXPAND collateral reserve



program for small businesses used \$248,750 to leverage \$1.32 million in private financing in order to create jobs for low and moderate income individuals.

The City continues to face challenges mitigating the impact that mortgage foreclosures are having on Phoenix residents and neighborhoods. To address Phoenix's ongoing foreclosure issues, three activities have been identified as the main focus for the City of Phoenix: 1) Prevent foreclosures/assist families; 2) homeownership opportunities and assistance; 3) Blight elimination/neighborhood stabilization; and 4) acquisition/rehabilitation of foreclosed multi-unit housing.

In 2009, the city of Phoenix received \$39.4 million in HUD Neighborhood Stabilization Program (NSP1) grant funds from the Housing and Economic Recovery Act of 2008 (HERA) and in February, 2010, received \$60 million in additional Neighborhood Stabilization Program (NSP2) funds under the American Recovery and Reinvestment Act (ARRA). Funding from these two grants is expected to address at least 1,500 single- and multi-family properties in Phoenix. In 2010 the city was awarded an additional \$16 million in NSP3 funding through the Dodd-Frank Act.

NSP funds are being used to:

- Encourage owner occupants to purchase vacant and abandoned properties in need of repair within Phoenix neighborhoods through incentives and down payment assistance.
- Prepare homebuyers for successful, long-term homeownership through housing counseling.
- Purchase and rehabilitate foreclosed multi-family complexes to provide affordable housing for households at or below 50 percent of the area median income.
- Purchase blighted foreclosed/abandoned properties to demolish and redevelop in targeted areas.

Outcomes to date include:

- 185 homebuyers have purchased foreclosed houses to use as their primary residence, using NSP homeownership purchase assistance loans.
- 132 foreclosed homes have been purchased by NSP developer partners for rehab and resale to program eligible buyers. 24 homes have closed, 22 under contract.
- 6 multi-family properties have been purchased to rehabilitated and provide 988 affordable rental units for households at or below 50 percent of the area median income.
- 20 blighted properties – including the blighted, 52-unit multi-family Sunset Manor complex –have been purchased for demolition and redevelopment to revitalize and stabilize target neighborhoods.

The City continued implementing public facilities projects under the CDBG-R program and providing homeless assistance through Homelessness Prevention Funds. The Homeless Prevention Funds provide financial assistance and case management services to prevent individuals and families from becoming homeless and help those who are experiencing homelessness to be quickly re-housed and stabilized.



Our revised Performance Measurement System provides valuable outcome information on a national level and serves to document/enhance measurable program accomplishments. The City continues to make progress towards its priority goals established in the Consolidated Plan and to address the needs of its residents.



Programmatic Narratives

Community Development Block Grant (CDBG) Narrative

CDBG Low/Moderate Income Housing Replacement Plan

The goal of the City of Phoenix is to discourage the displacement of persons and demolition of their dwelling units. A small number of occupied slum and blighted properties acquired for the revitalization of specific targeted neighborhoods may result in demolition, due to the deplorable conditions. Occupants are provided relocation assistance as described in 570.606c (2) when displaced by the acquisition and demolition of housing as a direct result of assisted activities. Relocation Specialists with the city's Real Estate Division and their qualified consultants identified households and provided proper notifications and assistance as required under the Uniform Relocation Act and/or Section 104(d) of the 1974 Community Development Act.

In 2010-2011, there were no structures demolished that required one-for-one replacement.

Job Generation Economic Development Activities

All job generation economic development activities undertaken during the year met the low/moderate-income jobs national objective.

Limited Clientele

Limited clientele beneficiaries that were not presumed to be low/moderate income met the criteria through an income test. Sponsoring agencies and City programs were required by Contract and Memorandum of Agreement to maintain documentation of income status by family size for all persons served. Records were required to show that 51% or more of persons served met the low/moderate income criteria. Staff verified this through site visits and monthly/annual reports.



Program Income

A total of \$450,657 was available June 30, 2011 as program income

CDBG Program Income

ABIL – Rent	\$ 2,947
Rehab Loans	\$ 20,201
Small Business Loans	\$ 323,340
Demolition	\$ 69,437
Vista Colina Payment	\$ 34,732

Total CDBG Program Income \$ 450,657

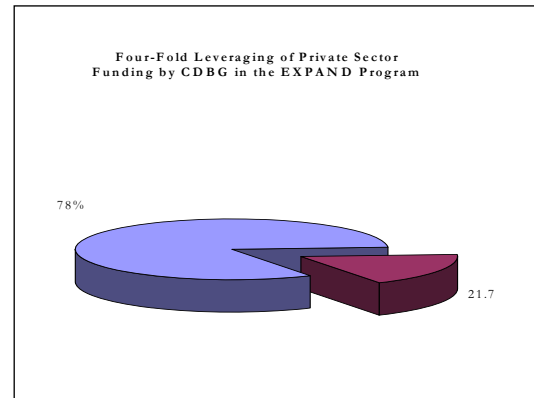
City Council approval of how program income is to be allocated and expended among the various CDBG programs is required before funds can be reprogrammed. Reprogramming is usually approved by City Council bi-annually.

Relationship of CDBG Funds to High Priority Needs, and Overall Low/Moderate Income Benefit

CDBG funds were used to address the City's Consolidated Plan strategy of comprehensive revitalization and leveraging in target geographic areas and the former Enterprise Community. Funds addressed priority-housing rehabilitation, economic development, and public services needs identified through community public hearings and assessments and listed in the Consolidated Plan. In the Public Services RFP, the highest amounts of expenditures were for youth/child care needs, the highest public service need identified. Significant expenditures were for services to the elderly and disabled, and followed by crime/blight prevention. 100% of program expenditures directly benefited low/moderate income persons.

Leveraging of Other Resources

As part of its comprehensive strategy for targeted areas and the former Enterprise Community, the City pursued partnerships with public and private entities and matching funds for its programs whenever possible. Weatherization and Lead Hazard Control programs helped expand the impact of the CDBG rehab dollar. State Housing Trust funds provided additional resources for housing rehabilitation. The EXPAND collateral reserve program for small businesses used \$248,750 to leverage \$1,324,900 in private financing and also received program loan repayment income of \$395,217 during the period.



The CDBG Enhancement and Infrastructure Programs continue to grow and improve neighborhoods. The approved funded projects for this reporting period are located in existing low and moderate-income areas where there are ongoing public/private neighborhood revitalization activities and meet the intent of the NSD CDBG Neighborhood Infrastructure and Enhancement Programs. The co-



partnership of these applications for neighborhoods groups with Streets Transportation and Parks Departments have allowed us to significantly leverage CDBG dollars. Approved expenditures on projects totaled \$1,089,043. Other funding sources for the enhancement projects include Street Transportation and Parks and Recreation Funds.

Infrastructure

Funds were used to complete Palomino Phase V and VI sidewalks and improvement projects.

Neighborhood Enhancement

Projects completed include the Isaac Field of Dreams, Maryvale Gateway Project and the Sueno Park Improvements.

Public Facilities

CDBG funds were used to construct a new ADA compliant restroom at the Maryvale YMCA, rehabilitate the gyms with safety improvements at several Boys and Girls Clubs, and provide energy efficiency improvements to the Phoenix Day childcare center.

CDBG-R funds were used to provide energy efficient doors to the main warehouse for St. Mary's Food Bank, construction of an accessible playground at the Gompers Habilitation Center, provide energy efficiency improvements at Labor's Community Service Agency and Foundation for Blind Children, construct shade structures and other improvements at Community Food Connections, and make security improvements at Sojourner Domestic Violence Shelter.

Public Services

A total of 35 agencies selected through annual Request for Proposal programs provided services to a total of 77,112 persons. The agencies addressed priority needs in the areas of services for youth and child care, services for seniors and those with disabilities, enrichment programs for youth, community organizing, crime awareness and prevention, and neighborhood revitalization services.

Funds Expended for Public Services

A total of \$2,512,005 was expended during 2010-11 for Public Services. This constituted 14.59% of the total non-administrative funds expended during 2010.

Funds Expended for Planning and Administration

A total of \$2,532,357 was expended in 2010-11 for Planning and Administrative activities. This constituted 13.36% of the total funds expended.

Certifications for Consistency

The City of Phoenix was asked by various service providers to certify that their proposed applications to HUD were consistent with the Consolidated Plan. The City provided these certifications only for activities that were listed in the Consolidated Plan.



Five (5) certifications were prepared for Supportive Housing Programs, Homeownership Counseling, and Family Self-Sufficiency programs.

Nature and Reasons for Changes in the CDBG Program Objectives and how Programs will be changed as a Result

Housing Foreclosures - Due to the housing foreclosure crisis, the development of new housing units by the City's CDBG funded partners decreased considerably. Instead, resources were reallocated to help housing counseling agencies provide preventative pre-foreclosure intervention and pre-purchase counseling. They served over 638 households in 2010-2011 with counseling, coaching and assistance in surviving foreclosure problems. The city established an extensive foreclosure prevention webpage at www.phoenix.gov that provides information for distressed homeowners and neighborhoods and lays out opportunities for homebuyer assistance. The web site includes a listing of counseling agencies, web links to other community groups and agencies for assistance, as well as links to city programs and services available to Phoenix residents.

The City also received \$100 million in Neighborhood Stabilization Funds to put over 1,700 foreclosed units back into productive use.

Public Facilities Match – Due to the economic crisis and based on comments received at public hearings from non-profit agencies, the City has reduced its matching requirements for CDBG public facility grants. Although HUD CDBG regulations do not require a match, the City has been using matching funds to enhance the impact of the CDBG dollar and the owner and private sector investment in public facilities. Although rehabilitation scopes of work may be modified, the city expects the number of public facilities assisted to remain the same.

Documentation on Implementation of the Program

The City of Phoenix did not hinder the 2010-2011 program implementation plan by action or willful inaction.

Status of Projects Funded in 2006 or Earlier that have not Started

2007 Cortez Park After a feasibility study was completed on the solar lighting for Cortez Park, the project was deemed unacceptable for a solar lighting installation. The total \$150,000 in CDBG funding is still available for park enhancements, which were approved by the neighborhood. The park enhancements project will begin once the lighting project is completed.



Summary of Community Development Accomplishments For Economic Development 2010/11		
Priority Need Category	Actual Number of Businesses Assisted	Actual Number of Persons Assisted with Jobs
Collateral Reserve Loans	4	3
Technical Assistance (open app) Sub Recipients	53	54
Management Technical Assistance 2010-11	28	10
TOTAL	85	67

Amount of EXPAND Loans in 2010-11: \$248,750

**Summary of Job Creation/Retention for CDBG Economic
Development Activities for 2010-11**

Collateral Reserve Loans	Jobs Created through 2010-11
EXPAND loans funded in 2011-12	3 jobs created
Technical Assistance/Services to micro enterprise and small businesses	Jobs Created in 2010-11
Mind the Gap Marketing (08-09)	2 jobs created
Yurish and Associates (08-09)	28 jobs created
Delgado Law Group (08-09))	11 jobs created
Small Business Development Center (08-09)	1 job created
Adams & Ogundele CPA firm	1 job created
Balanced Billing	5 jobs created
Yurish and Associates	28 jobs created
MTA Program (09/10)	10 jobs created
MTA Program (10/11)	0 Created at this time
TOTAL JOBS – ALL PROGRAMS	67



Collateral Reserve Loans (EXPAND) funded in 2010-11 and job generation:

PMAMAX Transport, Inc.

On October 13, 2010, PMAMAX Transport, Inc. requested \$141,250 of EXPAND assistance in order to obtain a \$565,000 SBA 7(a) loan from BNC National Bank. The 7(a) loan enabled the corporation to purchase the assets of an existing courier company. PMAMAX agreed to purchase the company for \$945,000. The acquired company operates from leased space located at 825 E. University Drive, Ste. B, Phoenix, AZ 85034. The address is located in City Council District 8. The EXPAND assistance represents 25% of the bank's loan commitment. PMAMAX Transport, Inc. estimated that five (5) full-time equivalent jobs would be created within two years. The company reported creating one full-time position and retaining another. Both individuals met the HUD low-to-moderate income (LMI) guidelines.

Joan F. Bailey, M.D., P.C.

On November 30, 2010, Joan F. Bailey, M.D., P.C. requested \$35,000 of EXPAND assistance in order to obtain a \$404,900 SBA 7(a) loan from Wells Fargo Bank, N.A. The 7(a) loan enabled the healthcare provider to purchase a 5,600 square foot commercial building located at 2200 N. 3rd Street, in Phoenix, Arizona. Joan F. Bailey, M.D., P.C. agreed to purchase the property for \$460,000. The practice formerly leased office space and relocated to the newly purchased facility. The EXPAND funding represented 8.6% of the loan commitment. Joan F. Bailey, M.D., P.C. estimated that two (2) full-time equivalent jobs would be created within two years. The practice did not report creating any new positions at the time of this report.

Customcuetical Compounding, LLC

On March 21, 2011, Customcuetical Compounding, LLC requested \$37,500 of EXPAND assistance in order to obtain a \$100,000 SBA 7(a) loan and a \$50,000 revolving line of credit from Arizona Business Bank. The financing was requested to support the operations of a start-up pharmacy. The pharmacy leases space at 4611 E. Shea Blvd, Phoenix, Arizona. The EXPAND funding represents 25% of the loan commitment. Customcuetical Compounding estimated that six (6) full-time equivalent jobs will be created within two years. No jobs had been created at the time of this report.



Danzman Properties, LLC

On March 21, 2011, Danzman Properties, L.L.C requested \$35,000 of EXPAND assistance in order to obtain a \$205,000 SBA 7(a) loan from Wells Fargo Bank, N.A. The 7(a) loan enabled the company to purchase a 2,408 square foot building located at 1617 E. Pinchot, Ave., Phoenix, Arizona, 85016. Danzman Properties, L.L.C. agreed to purchase the property for \$198,850. The subject property will be leased to two related law firms, Alan H. Zimmerman, P.C. and Daniel J. Siegel, P.C. The law firms will relocate to the newly purchased facility. The EXPAND funding represents 17% of the loan commitment. Danzman Properties, L.L.C. estimated that two (2) full-time equivalent jobs will be created within two years. The company did to create any jobs at the time of this report.



Summary of Community Development Accomplishments for Public Facilities and Improvements

Summary of Community Development Accomplishments For Public Facilities and Improvements		
Priority Need Category	Actual Number of Projects Assisted	Actual Number of Projects Completed
Public Facilities		
Youth Services Facilities	2	2
Parks and Recreation Facilities	1	1
Public Improvements		
Street and/or Sidewalk Infrastructure Improvements	3	3
TOTAL	6	6



Summary of Community Development Accomplishments for Public Services

SUMMARY OF COMMUNITY DEVELOPMENT ACCOMPLISHMENTS	
Priority Need Category	Actual Number of Persons Served
Public Services, Housing Counseling, Graffiti Removal, Code Enforcement	
Services for seniors/persons with disabilities	4,259
Youth Services	14,763
Enrichment Programs for Youth	3,245
Homeless Support and Operating Costs for the Watkins Shelter	2,537
Housing Counseling	638
Neighborhood Revitalization and Crime Awareness	54,845
Fair Housing Counseling	56
Tenant Landlord Counseling	5,366
Graffiti Removal	50,191
Revitalization Code Enforcement	14,991
TOTAL	150,891



COMMUNITY DEVELOPMENT PLAN

The Community Development Plan expended \$17,516,407 to assist the needs of targeted populations and to assist low/moderate income neighborhoods in their efforts to reduce blight and to stabilize their neighborhoods. A percentage of CDBG funds allocated for public facilities and all of the funds allocated for public services were made available citywide to address the needs of targeted and special needs populations. In addition, programs which address blight, substandard housing and the lack of basic infrastructure were made available citywide to support neighborhood stabilization activities. The amount of funds available citywide is limited in proportion to the need. Every effort is made to provide critical services that enhance and leverage private and other public resources.

Public meetings were conducted to gather recommendations on the kinds of services and facilities needed to bolster neighborhood stabilization activities. Residents in attendance expressed the need for affordable housing, shelter, services for the homeless, neighborhood blight removal and revitalization. Residents also stated the need for quality affordable child care, children and youth services and facilities, senior day care, and services for the disabled.

A Public Services Request for Proposal (RFP) was made available to non-profit agencies to compete for funds for public service projects in the amount of \$820,000. A Public Facilities RFP was made available to non-profit agencies to compete for funds in the amount of \$497,500. Expenditures for all agencies that received funding during this reporting period and prior are listed on the Community Development Investment Chart.

The CDBG Neighborhood Enhancement Program was made available to address neighborhood needs for parks, playgrounds, streetscaping, landscaping, gateways, lighting and security fencing, and some physical improvements related to traffic mitigation. Neighborhood associations, non-profit organizations, and participating city departments are required to be partners in the application process provided the project will always be open to the public. The applications were stipulated to meet the HUD requirements to either primarily benefit low and moderate-income persons, or prevent or eliminate slum and blight.

The CDBG Neighborhood Infrastructure Program was also made available to address improvements to sidewalks, curbs and gutters, lighting, dust proofing, drainage, and sewer/water line improvements. The projects funded this year were located in existing targeted areas where there are ongoing public/private neighborhood revitalization activities. Other funding leverage by CDBG dollars included Arizona Highway User Funds (AHUR), City general purpose funds, and City General Obligation Bond Funds. See the Community Development Investment Chart for expenditures for projects funded for both the Enhancement and Infrastructure Programs.

The City's strategy for the non-housing segment of the Consolidated Plan is directed at addressing the physical, social, and economic problems within the City's



neighborhoods. The City has focused its efforts on revitalizing its aging and disinvested neighborhoods. City departments in partnership with residents, and private, for profit, and non-profit organizations are fighting blighting conditions and crime throughout the City.

NEIGHBORHOOD REVITALIZATION STRATEGY AREA (NRSA)

The NRSA coincides with the boundaries of the former Phoenix Enterprise Community (EC). The EC designation expired in December 2004. However, this area will continue to reap the benefits of comprehensive neighborhood revitalization efforts through public and private partnerships. Its twenty square mile boundary south of downtown Phoenix includes the city’s redevelopment areas: South Phoenix Village, Garfield, Target Area B, and Downtown/Roosevelt.

The City expended the following CDBG, HOME, NSP, bond, city general purpose, state, or private funds in the NRSA for FY 2010-11:

Graffiti Abatement	\$143,786
Blight Abatement (Demolitions, board-ups, lot-cleanings)	\$419,864
Housing Reconstruction and Rehabilitations	\$3,207,325
Acquisition	\$1,192,918

ACCOMPLISHMENTS WITHIN THE NRSA BOUNDARIES

GOAL	GOAL UNITS	ACTUAL UNITS
Graffiti Abatement	8,000	9,509
Blight Abatement	110	213
Housing Reconstructs	3	4
Housing Rehabs	40	67
Rental Rehabs	20	6
Acquisition of Substandard or Foreclosed Properties	10	15
Resolution of Property Maintenance Violations	2000	3423
Public Facilities/Infrastructure	2	5
Infill Projects Completed	2	1
Demolition of Substandard Structures	10	15



HOME Investment Partnerships Program (HOME)

The following report is an assessment of the HOME Program activities for the reporting period July 1, 2010 through June 30, 2011.

Priority A: Provide home ownership opportunities to first-time homebuyers, particularly for moderate-income families with children.

A total of three home ownership opportunities were created in Phoenix during the past fiscal year utilizing HOME Program funds. A total of \$67,257 of the City's HOME funds was expended to assist with the first-time homeownership opportunities. The City leveraged federal funding with \$317,604 in private lender financing to assist these homebuyers.

During the 2010-2011 fiscal year the City committed \$450,000 in HOME monies to fund the Open Doors homeownership program with three nonprofit agencies: Community Housing Resources of Arizona, Housing Our Communities and Desert Mission Neighborhood Renewal. Up to \$15,000 will be available to eligible first-time homebuyers for down payment and closing cost assistance and homeownership counseling.

HOMESStart Program

The Housing Department closed one new HOMESStart first-time homeownership opportunities this reporting year. HOMESStart provides an up to \$40,000 soft second shared equity loan to first-time buyers in target neighborhoods in the city. This program partners with other rehabilitation efforts going on citywide. The HOMESStart Program leveraged \$105,317 in private lender financing.

Palomino Community Builders

Palomino Community Builders, a nonprofit housing developer, is building six new single family homes in a neighborhood of the city with few new or existing homeownership opportunities. HOME funds are being used as a zero percent construction loan and are paid back to the city at close of each escrow less up to \$30,000 in down payment and closing cost assistance loans to the buyer. One home in the Palomino project closed this reporting year with \$110,571 in private lender financing.



Priority B: Provide quality, affordable rental housing opportunities to low and moderate income households through the acquisition and rehabilitation of existing properties.

During the reporting period, the Housing Department added 187 units of affordable rental housing to its inventory with expenditures of \$10,833,326 and commitments of \$11,950,000 in HOME funds. An additional 578 units of affordable rental housing using HOME Program funds and leverage expenditures of \$8,042,109 are in various states of completion and are expected to be on-line in 2011-2012. The City has leveraged these HOME Program commitments of federal funds with in low-income tax credits, State of Arizona Housing Trust Funds, and private lender financing.

Multi-family Rental Housing Program

The 68-unit Topaz Pointe Apartments was completed this reporting year with partners including the Low Income Housing Tax Credit Program, private lender and equity financing. The Housing Department made a prior year commitment of \$1,178,000 in HOME funding to this project.

The Rehoboth Place Apartments was completed this reporting year – a new construction 47-unit apartment complex for families. The project expended \$1,164,000 in HOME funds and has expended \$6,067,391 in private funds and the Low Income Housing Tax Credit exchange program through the State of Arizona.

Legacy Crossing Phase I Apartments, a 80-unit new construction project (72 affordable/8 market), received an allocation of \$1,800,000 in previous years HOME Program funds and expended \$568,906 this reporting year. Legacy Crossing expended \$12,097,081 in Low Income Housing Tax Credit Program equity, State of Arizona TCAP funds, and private financing. Legacy Crossing is a private, public, nonprofit partnership with the United Methodist Outreach Ministries (UMOM) – providing permanent housing to families who have completed UMOM’s transitional housing program for homeless families.

New HOME funded projects in various stages of construction or rehabilitation include:

- Santa Fe Springs, a 277-unit multifamily foreclosed property purchased with a combination of NSP and HOME funds and undergoing rehabilitation activities with HOME. Project will be on-line for reporting year 2011-2012.
- Oasis On Grand is a 60-unit acquisition and rehab project and is an adaptive reuse of a 99-unit former motel built in the early ‘50s in downtown Phoenix. HOME funds are being used in the rehab of the project. The Oasis is expected to be on-line by December 2011. \$3,000,000 in HOME funds were committed to the Oasis with \$747,628 in expenditures this reporting year.
- Legacy Crossing Phase II is a 60-unit new construction apartment community



serving families and is the second phase of the UMOM permanent housing complex. \$750,000 in HOME funds were committed this year with over \$14,000,000 in tax credit equity, State of Arizona Housing Trust Funds and private financing committed to the project.

- Grandfamilies Place of Phoenix is a 56-unit new construction project serving grandparents who have custody of their grandchildren. \$2,200,000 in HOME funds were committed to the project this reporting year with \$1,658,145 in expenditures. The project has leveraged \$8,020,270 in tax credit equity and private lender financing.
- Devine Legacy on Central is a 65-unit new construction project serving families in downtown Phoenix on the light rail line and very close to a light rail station. \$3,000,000 in HOME funds were committed to the project this reporting year with \$2,751,420 in expenditures. The project has leveraged \$9,806,656 in tax credit equity, State of Arizona Housing Trust Funds, and private lender financing.
- The Lofts at McKinley is a 60-unit new construction project serving seniors age 55+ in downtown Phoenix. \$3,000,000 in HOME funds were committed with \$2,456,906 in expenditures this reporting year. \$10,751,679 in tax credit equity, State of Arizona Housing Trust Funds and private lender financing has been leveraged.

Priority C: *Provide assisted rental housing opportunities (rental subsidies) to low income families, elderly, homeless persons, and other persons with special needs.*

Priority C activities are usually special needs projects serving targeted populations (domestic violence, frail elderly, the disabled, etc.) and are normally funded with CDBG, HOPWA and the city's General Obligation Bond Program.

However, during the reporting period, \$26,538 in HOME Program funds were expended on the Roeser Hacienda project, a new construction 45-unit Section 202 community being developed by the National Farm Workers Service Center for very-low income seniors below 50% of median income. Project is expected to be on-line for reporting period 2011-2012.

Activities in Priority C are largely dependent also on federal appropriations (Section 8 Housing Choice Voucher Program).

Priority D: *Promote supportive services and facilities for frail elderly, disabled persons, low-income families (renters), or other persons with special needs.*

During the reporting period, no HOME Program funds were expended or committed for Priority D activities. Like Priority C activities, Priority D projects are usually special needs projects serving targeted populations (domestic violence, frail elderly, the disabled, etc.)



and are many times funded with CDBG, HOPWA and the city's General Obligation Bond Program.

Priority E: *Promote and participate in a regional continuum of care system that will effectively transition persons who are homeless to appropriate permanent housing settings.*

Victory Place Phase III, a new construction 75-unit permanent facility for homeless veterans, was awarded \$2,000,000 in HOME funds during the reporting year and expended \$1,490,396. Victory Place III expended \$13,545,722 in leverage funds from the State of Arizona HOME Program, tax credit equity and the Arizona State Department of Veterans Affairs. Project is almost completed and will be on-line for reporting 2011-2012.

Foundation for Senior Living/Save the Family Foundation of Arizona is constructing a 15-unit transitional housing facility for homeless families. Project will complete for reporting year 2011-2012. The project was funded with previous years HOME funds.

Priority F: *Provide housing rehabilitation assistance for low and moderate-income persons, whether owners or renters, and focusing on selected neighborhoods.*

During this reporting period, the City of Phoenix expended \$1,336,165 in HOME funds for the rehabilitation of 66 existing houses. The funds were utilized in two ways: 1) to provide a combination of grants and zero interest, deferred loans to lower income households for the purpose of rehabilitating of their homes; or 2) to make a combination of grants and loans to homeowners whose homes are unsuitable for rehabilitation and need to be reconstructed.

The Valley of the Sun School and Rehab Center completed two group homes with nine units serving developmentally disabled persons with expenditures of \$13,912 in HOME funds. The project was funded with previous years HOME funds.



HOME PROGRAM BY PRIORITY

HOME HOUSING ACTIVITIES	HOME FUNDS COMMITTED	UNITS UNDER DEVELOPMENT	HOME FUNDS EXPENDED	UNITS CREATED
PRIORITY A Homeownership	\$450,000	5	\$61,257	3
PRIORITY B Multi-Family	\$11,950,000	578	\$10,833,326	187
PRIORITY C Assisted	-	45	\$26,538	-
PRIORITY D Supportive	-	-	-	-
PRIORITY E Homeless	\$2,000,000	90	\$1,490,396	-
PRIORITY F Rehabilitation		66	\$1,336,165	
TOTALS	\$14,400,000	784	\$13,747,682	190

HOME Affirmative Marketing and Outreach to MBE and WBE

Most organizations utilized more than one method of advertising that met the affirmative marketing requirements. Some agencies distributed flyers to the businesses, schools, and churches in the surrounding neighborhoods, as well as advertising in various newspapers. The majority of multi-family apartment complexes, particularly the ones run by a professional management company, advertised in a monthly publication called "For Rent." The City of Phoenix continues to advertise its home ownership programs in the weekly City Connection newsletters, in water bill inserts, at home ownership fair tables, and on the internet.

The affirmative marketing effort of agencies utilizing HOME funds has been sustained from the previous fiscal year. The agencies have continued their outreach to inform the public of their programs by the use of public information opportunities. Agencies that maintain a high occupancy of their HOME units, find it very expensive, as well as unnecessary, to advertise their units when none are available. Due to the high cost of newspaper advertising, placing ads on an ongoing basis in area newspapers has a limited benefit and makes this type of affirmative marketing undesirable for most agencies. The City of



Phoenix has encouraged small facilities to put a vacancy/no vacancy sign with the name of the facility, a phone number, and an equal housing opportunity logo where it is visible to the general public.

The outreach for minority and women-owned business is not only utilized during the development or rehabilitation of the units but continues to be utilized for the maintenance of the properties. Organizations are required by contract to contact a minimum of three companies and are requested to include at least one minority/women-owned business.

The Housing Rehabilitation Program uses a random, cyclical algorithm computer generated contractor selection process. Once a contractor is selected, they will be highlighted and will not be awarded another job until all other contractors have been selected. Currently, the list includes over 100 active contractors, 35% minority or women owned.

Displacement

There are no displacements in progress on any HOME funded Program. Funding recipients are always encouraged to minimize relocation by allowing current tenants to remain in place or by developing vacant properties.

HOME Program Income

HOME Program income is generated from installment payments and payoffs on loans for both single family homeownership and multi-family rental projects. The HOME Program generates between \$200,000 and \$400,000 annually. This reporting year, HOME Program Income totaled \$204,049.

Slow-Moving Projects

The 2010-2011 HOME Program does not have any slow moving projects

HOME Program Monitoring

During the reporting period, the City of Phoenix Housing Department conducted annual inspections on 48 agencies under contract providing affordable rental units under the HOME Program. Housing Department staff inspected 83 HOME assisted units. All HOME properties are compliant with HOME Program and City of Phoenix regulations and have required only general maintenance corrections. Any findings as a result of monitoring are included in follow-up monitoring until all appropriate actions are taken to resolve the issue(s). Monitoring responsibilities include compliance with rent limits, review of supporting documentation for income eligibility, outreach to minority businesses, review of expenditures and outcomes, and compliance with HQS.



The City monitors all HOME Program activities in accordance with HUD regulations to ensure that housing and housing –related services are being delivered in accordance with HOME Program requirements. Specifically, HOME requires that funds be used to provide housing and housing-related services for low- and very-low income residents; that any housing produced with HOME funds meet quality standards and is decent, safe and sanitary, and that the housing opportunities created with HOME funds are available and affordable for specific periods of time. In the city of Phoenix, affordability periods can be up to forty years depending on the type of project and amount of HOME funds invested.

The Housing Department has updated its Monitoring Policies and Procedures Manual for recipients of HOME Program funds. The manual is designed to provide guidance to HOME Program participants to assist them in maintaining (1) program and project records; (2) records pertaining to rents, tenant income and affirmative fair housing guidelines throughout the affordability period; and (3) compliance with minimum housing quality standards for safe, decent, and sanitary housing. HOME Program recipients are responsible for compliance with all amendments and updates to the federal HOME Program rules and regulations.

When a HOME project is selected for monitoring and review, Housing Department staff contacts the property owner before the scheduled visit. Staff schedules an initial monitoring visit of multifamily projects within the first year of project completion to review tenant files; property management and financing viability; marketing procedures; tenant selection criteria; rent and occupancy records, and project financial reports. After the initial visit, staff schedules the first monitoring review.

HOME program recipients are required to provide a snapshot view of current residents of multifamily units in the program. Of the City's 791 total HOME units, 10% were vacant. Of the 712 occupied units that were reported, 404 (57%) were minority households, while the remaining 308 were white.



Racial/Ethnic Composition of HOME Tenants as of June 30, 2011			
Race	Ethnicity		Total / Percent
	Hispanic	Non-Hispanic	
White	201	308	509 / 72%
Black/African American	5	130	135 / 19%
Asian		7	7 / 1%
American Indian/Alaska Native	1	14	15 / 2%
Native Hawaiian/Other Pacific Islander		1	1 / 0%
American Indian/Alaska Native and White	2	11	13 / 1.9%
Asian/White		4	4 / .20%
Black/African American/White		13	13 / 1.9%
American Indian/Alaska Native/Black/African American		1	1 / 0%
Other Multi-Racial	4	10	14 / 2%
Total	213	499	712 / 100%



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

Annual Performance Report
HOME Program

U.S. Department of Housing
and Urban Development
Office of Community
Planning and Development

OMB No. 2501-0013 (exp. 11/30/97)

Public Reporting Burden for this collection of information is estimated to average 0.25 hours per response, including the time for reviewing instruction, searching existing data sources, gathering and maintain the data needed, and completing and review the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Office, Office of Information Policies and Systems, U.S. Departments of Housing and Urban Developments, Washington, D.C. 204-403600 and to the Office of Managements and Budget, Paperwork Reduction Projects (2501-0013), Washington, D.C. 20503. Do not set this completed form to either of these addresses.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31, Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176 451 7th Street, S.W. Washington, D.C. 20410	This report is for period: (mm/dd/yy)		Date Submitted
	Starting:	Ending:	
	7/1/10	06/30/11	9/30/11

Part I: Participant Identification

1. Participant Number: MC040228	2. Participant Name City of Phoenix		
3. Name of Person Completing Report Maria Bears	4. Phone No. (Include Area Code) (602) 262-4706		
5. Address 251 W. Washington, 4th Floor	6. City Phoenix	7. State Arizona	8. Zip Code 85003

Part II: Program Income

Enter the following program income amounts for the reporting period: in block 1 the balance on hand at the beginning; in block 2 the amount generated; in block 3 the amount expended; and in block 4 the amount for Tenant-Based rental Assistance.

1. Balance on Hand at Beginning of Reporting Period: \$493,613	2. Amount Received During Reporting Period. \$565,654	3. Total Amount Expended During Reporting Period. \$998,990	4. Amount Expended for Tenant-Based Rental Assistance: -0-	5. Balance on Hand at End of Reporting Period (1 + 2 - 3) = 5 \$60,277
--	---	---	--	--

Part III: Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number	70					
2. Dollar Amount	\$1,737,703					
B. Sub-Contracts						
1. Number						
2. Dollar Amount						
	a. Total	b. Women and Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	70					
2. Dollar Amount	\$1,737,703					
D. Sub-Contracts						
1. Number						
2. Dollar Amount						



Consolidated Plan Annual Performance and Evaluation Report
 July 1, 2010 thru June 30, 2011

Part IV: Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted with HOME funds during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number			Not	Applicable *		
2. Dollar Amount						

Part V: Relocation and Real Property Acquisition

In the table below, indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacement and acquisition occurring during the reporting period.

	a. number	b. cost			
1. Parcels Acquired					
2. Businesses Displaced					
3. Non-Profit Organizations Displaced			**		
4. Households Temporarily Relocated, not Displaced	0	\$0			

Households Displaced	a. Total	Minority Property Owners				f. White None-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced: No.	0					
6. Households Displaced: Cost	\$0					



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB No. 2501-0013 (exp. 11/30/97)

Public Reporting Burden for this collection of information is estimated to average 0.25 hours per response, including the time for reviewing instruction, searching existing data sources, gathering and maintain the data needed, and completing and review the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Office, Office of Information Policies and Systems, U.S. Departments of Housing and Urban Developments, Washington, D.C. 204-403600 and to the Office of Managements and Budget, Paperwork Reduction

Projects (2501-0013), Washington, D.C. 20503. Do not set this completed form to either of these addresses.

Match Contributions for Federal Fiscal Year: **7/01/10 through 06/30/11**

Part I: Participant Identification

1. Participant Number: (assigned by HUD) MC040228		2. Name of the Participating Jurisdiction: City of Phoenix		3. Name of Contact: (person completing this report) Maria Bears	
5. Street Address of the Participating Jurisdiction: 251 West Washington, Fourth Floor				4. Contact's Phone No. (include area code) 602-262-4706	
6. City: Phoenix		7. State Arizona		8. Zip Code 85003	

Part II: Fiscal Year Summary

1. Excess match from prior federal fiscal year	\$165,940,824	
2. Match contributed during current federal fiscal year (see Part III.9.)	\$ 5,525	
3. Total match available for current federal fiscal year (line 1 + line 2)		\$165,946,349
4. Match liability for current federal fiscal year		\$ 2,274,454
1. Excess match carried over to next federal fiscal year (line 3 - line 4)		\$163,671,895

Part III: Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution	3. Cash (non-federal sources)	4. Foregone Taxes Fees, Charges.	5. Appraised Land/Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated Labor	8. Bond Financing	9. Total Match



Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA goals identified in the Consolidated Plan have been developed through many public meetings, HIV/AIDS conferences, and supported by the local HIV/AIDS housing developers. The city has created HOPWA programs to address the housing needs expressed by the HIV/AIDS community. These include:

- **Emergency Assistance Program** - Provides emergency rent, mortgage and utility payments. This program helps persons living with HIV/AIDS to remain in their own homes in order to prevent homelessness. This program offers immediate, short-term help in maintaining persons and families in their current living environments. There is a significant relationship between a homelessness/unstable housing situation and persons and families living with HIV/AIDS remaining outside of health care and follow-up.
- **Rental Assistance Program** - The program provides rental assistance to eligible HIV/AIDS clients and their families. The rental assistance is paid to the landlord. Rental assistance with supportive services is the preferred method of providing housing for many special needs populations including those living with HIV/AIDS.

The State of Arizona regional behavioral health agency has been promoting this model of housing and services for special needs groups. Several agencies that provide services to disabled persons including those living with HIV/AIDS also state that permanent housing that promotes the highest degree of independent living – meaning permanent housing linked with services – is the most effective and efficient method of providing assistance.

- **Housing Information Services** - The funds are used to employ a HIV/AIDS Housing Information Coordinator. The Housing Coordinator assists people living with HIV/AIDS to match their housing needs with appropriate housing providers in Maricopa and Pinal Counties.
- **HOPWA Supportive Housing Program** - The program assists nonprofit organizations in providing housing for homeless and low income persons living with HIV/AIDS. The funds are used to create and/or operate emergency, transitional and permanent housing. Funds assist with acquisition, rehabilitation, leasing and/or operating assistance of facilities for persons with HIV/AIDS.

Homeless persons living with HIV/AIDS are more likely to enter into expensive medical care facilities (long hospital stays) than those who receive housing assistance along with case management. Homeless persons living with HIV/AIDS who do receive almost any kind of practical housing assistance, i.e., emergency or transitional, are more likely to enter into a continuing care program leading to permanent housing.



- **HOPWA Program Management and Coordination** - Funds are allocated for Program Management and Coordination for activities to support HOPWA programs including indirect grant costs, legal fees, program planning, grant monitoring, and HOPWA program management. It also includes activities placed under grant administration according to HUD regulations.

The goal of the City of Phoenix Housing Department is to maintain the various activities that are being funded through the HOPWA Program and to increase capacity where possible.

As of June 30, 2011, the HOPWA Program was supporting nine different housing activities through six different project sponsors. Over the course of the reporting year, 538 persons living with HIV/AIDS were assisted with HOPWA funding; 98 households received rental assistance via a HOPWA funded Section 8 Voucher; 223 households received short-term emergency housing assistance, and 217 households received the benefit of leasing or operating assistance to an agency.

- 110 persons benefited from HOPWA leasing and operating assistance for three transitional housing programs
- 107 persons benefited from HOPWA leasing assistance for three permanent housing programs
- 299 persons were assisted through the HOPWA funded Housing and Information Referral program
- 223 households received short-term emergency housing assistance
- 98 households received a HOPWA funded Section 8 Voucher

Specific activities during 2010-2011 by program area are as follows:

Rental Assistance:

A goal of the HOPWA Program is to prevent homelessness among persons living with HIV/AIDS. The City of Phoenix Housing Department created a rental assistance program utilizing vouchers in Maricopa and Pinal counties. Participants in the program include the City of Phoenix and Pinal County Housing Authority. During the reporting period, 98 households were assisted with HOPWA rental assistance.

Short-term Rent, Mortgage and Utility Payments:

The City of Phoenix contracted with Southwest Behavioral Health Services (SBHS) in June 1995 to administer the short-term rent, mortgage and utility payments program. The program has been operating continuously since this time. During FY 2010-2011, 223 persons benefited from this program.

Acquisition, Rehabilitation, Lease and/or Operating Assistance Related to Emergency, Transitional and Permanent Housing:



Operating assistance was provided during FY 2010-2011 for the following activities:

1. Phoenix Shanti Group (PSG): The City of Phoenix contracted with PSG in May, 1996 to allow PSG to acquire and operate two sites (11 units) as transitional housing for homeless persons living with HIV/AIDS. The sites had been previously leased by PSG from the U.S. Department of Housing and Urban Development (HUD). The acquisition of the properties occurred in July, 1996 and rehabilitation of the units was completed in FY 1998-99. This supportive housing program serves persons with substance abuse problems.
2. Southwest Behavioral Health Services (SBHS): The City of Phoenix contracted with SBHS on July 1, 1996 to operate two housing programs. The first contract provides 11 scattered site units of permanent housing. The second contract provides for leasing and operating of 12 units for transitional housing purposes. During FY 99-00 SBHS contracted with the City of Phoenix for a second transitional housing program, adding 10 units through a lease with a private landlord. SBHS is also the owner and operator of four group homes serving persons living with HIV/AIDS. The group homes have a total of eighteen bedrooms that provide permanent group housing.
3. Mercy Housing Southwest: On June 30, 1999, twenty additional housing units were made available to persons living with HIV/AIDS and their children. This program provides permanent supportive housing units for eligible tenants.
4. Native American Connections: The City of Phoenix contracted with Mercy Properties Arizona in May 1997 to develop a 20-unit SRO (single room occupancy complex to provide permanent supportive housing for lower income homeless persons living with HIV/AIDS. In June 2002, the contract was assigned to Native American Connections. HOPWA funds assisted in the development of the facility, but are not utilized for operating purposes. The property is supported by Section 8 rental assistance payments.

Housing Information Services:

The City of Phoenix has contracted with the Area Agency on Aging since October 1997 to provide housing information services to persons living with HIV/AIDS. The primary purpose is to assist persons living with HIV/AIDS in their search for appropriate housing situations. In 2010-2011, the program assisted 299 persons.

Program Improvements:

The Housing Department is meeting more often with service providers by visiting agency staff and working to implement agency suggestions into administrative plans.

Coordination Efforts:



The City of Phoenix Housing Department maintains communications with service providers and advocates throughout the year in the following manner:

- The Housing Department is a member of the AIDS Housing Alliance. The group meets to discuss relevant issues and reviews proposals for the use of HOPWA funds.
- The Housing Department maintains an on-going relationship with staff of the Ryan White Title I Planning Council. The planning council is responsible for the allocation of Ryan White Title I funds. These funds support many of the services needed by persons living with HIV/AIDS who reside within Maricopa and Pinal Counties.

Barriers Encountered

There were no significant barriers encountered over FY 2010-2011 in the provision of housing through the HOPWA Program. The majority of HOPWA funds are now utilized to maintain the operations of the established HOPWA sponsored housing programs.

How Project Sponsors are Chosen

During 2010-2011, the Housing Department contracted with a consultant to update the HOPWA housing plan. Since 1996, when the first housing plan was created, significant progress in the treatment of the disease has occurred allowing for a better quality of life for persons living with HIV/AIDS. The results of the updated HOPWA housing needs plan will be the basis for a new Request For Proposals (RFP) for HOPWA project sponsors.

Current project sponsors were selected through an initial RFP process in which experience, capacity and support services were among criteria considered during the vetting process. During Fiscal Year 2011-2012, a new RFP will be issued for project sponsors.

Monitoring and Compliance

The project sponsors receiving HOPWA funding must submit quarterly reports on persons they serve. All invoices are submitted with back up information that details hours worked in support of the program and direct costs associated with program delivery. A financial report is generated monthly by the City of Phoenix Finance Department for the HOPWA funds detailing funds committed and expended. This helps staff reconcile IDIS entries with the City of Phoenix's financial system. The monitoring schedule is determined by [need Michael's comments here]

During this fiscal year, program audits were completed on all six HOPWA project sponsors who contract with the City of Phoenix. During the audit, programs were reviewed for compliance with all regulations and efficient implementation of program



services. Several recommendations were made to agencies with regards to future billing procedures for reimbursement of direct and indirect costs.

All project sponsors are currently compliant with HOPWA regulations. Units have been monitored to insure compliance with HOPWA Program regulations. Monitor findings found some deficiencies in rent calculations, however, this situation was quickly remedied through technical assistance to the agency.

The Housing Department has developed a series of forms, analysis and site visit review checklists to help the Department support its monitoring functions; provide relevant technical assistance, and assure that funds are being spent to meet both city and national HOPWA Program objectives.

The Housing Department will continue all existing monitoring efforts and promote new monitoring initiatives to ensure the proper use of funds and compliance with all applicable federal, state, and local laws and regulations.

Displacement

The City of Phoenix was not involved in any proposals receiving HOPWA funding that required any displacement of impacted parties.

Slow Moving Projects

The 2010-2011 HOPWA Program does not have any slow moving projects.



Consolidated Plan Annual Performance and Evaluation Report
 July 1, 2010 thru June 30, 2011

Name of HOPWA Grantee: Phoenix, Arizona

Report covers the period: 7 / 1 / 10 to 6 / 30 / 11

Performance Chart 2 – Comparison to Planned Actions, as approved in the Action Plan/Consolidated Plan for this Operating Year (Estimated Numbers of Units)

Type of Unit:	Estimated Number of Units by type in the approved Consolidated Plan/Action Plan for this operating year	Comment, on comparison with actual accomplishments (or attach)
1. Rental Assistance	82	98 households served
2. Short-term or emergency housing payments	200	223 unduplicated households assisted, program utilization greater than anticipated
3-a. Units in facilities supported with operating costs	70	82 units supported with HOPWA funds, leased housing program allows for greater number of units
3-b. Units in facilities that were developed with capital costs and opened and served clients	-	
3-c. Units in facilities being developed with capital costs but not yet opened	-	
Subtotal	352	403
Deduction for units reported in more than one category	-	-
TOTAL	352	403



Consolidated Plan Annual Performance and Evaluation Report
 July 1, 2010 thru June 30, 2011

Name of HOPWA Grantee: Phoenix, Arizona

Report covers the period: 7 / 1 / 10 to 6 / 30 / 11

Performance Chart 1 – Actual Performance. Types of Housing Units Dedicated to Persons with HIV/AIDS which were Supported during the Operating Year

Type of Unit:	Number of units with HOPWA funds	Amount of HOPWA funds	Number of units with Grantee and other funds	Amount of Grantee and other funds	Deduction for units reported in more than one column	TOTAL by type of unit
1. Rental Assistance	98	\$517,471	0	0	0	98
2. Short-term/emergency housing payments	223	\$78,168	0	0	0	223
3-a. Units in facilities supported with operating costs	82	\$725,897	0	0	0	82
3-b. Units in facilities that were developed with capital costs and opened and served clients						
3-c. Units in facilities being developed with capital costs but not yet opened						
Subtotal	403	\$1,321,536	0	0	0	403
Deduction for units reported in more than one category	-	-				
TOTAL	403	\$1,321,536	0	0	0	403



Emergency Shelter Grant (ESG)

As demonstrated in the Priority E Chart (page 19), ESG funds are utilized in combination with a large variety of other funding sources to support a multitude of services designed to alleviate homelessness for single individuals, families, and youth experiencing a variety of barriers to self-sufficiency including poverty, abuse or neglect, mental illness, substance abuse, domestic violence, and HIV/AIDS. All ESG funds are matched dollar for dollar (100%) and are provided through City of Phoenix general purpose funds as reported in the Priority E Chart (page 19).

As demonstrated in the Priority E Chart (page 23), ESG funds are utilized in combination with a large variety of other funding sources to support a multitude of services designed to alleviate homelessness for single individuals, families, and youth experiencing a variety of barriers to self-sufficiency including poverty, abuse or neglect, mental illness, substance abuse, domestic violence, and HIV/AIDS. All ESG funds are matched dollar for dollar (100%) and are provided through City of Phoenix general purpose funds as reported in the Priority E Chart (page 25).

In FY 2010-11 the City of Phoenix expended \$776,001 of ESG funds to support both projects operated directly by the City and projects operated by community based service providers through subcontracts with the Community Services Division. The City utilized \$222,782 for prevention services including eviction prevention, mortgage foreclosure, and move-in deposits distributed by the City's four family services centers. The City utilized \$315,581 of ESG funds for operational costs including shelter rent and utilities, and the City operated the Watkins Emergency Shelter Program. In addition, \$191,878 was utilized for essential services such as case management services for elderly and families. Services provided through subcontractors are illustrated in the Priority E Chart (page 23). Highlights of City of Phoenix ESG and non-ESG emergency service projects are below:

Watkins Emergency Shelter Program (WESP) 2010-2011

Program year 2010-2011 was the 24th year that the City of Phoenix Human Services Department operated an Overflow Shelter Program in partnership with the United Methodist Outreach Ministries (UMOM). In FY 2006-07 the decision was made to provide services to single women and families (single men are housed at the Central AZ Shelter Services (CASS)). In addition, the City Council approved the year-round operation of the overflow shelter. Each night a maximum of 120 single women and 20 families receive shelter services. Services include emergency shelter, storage for personal belongings, personal hygiene items, clothing, and showers. Hot meals are served each night through partnerships with local faith-based organizations. Guided recreation time is provided to shelter children through the City of Phoenix, Human Services Department (HSD) administered COMFORT Program. The program, a reading



and recreation program is dependent on City of Phoenix employee volunteers, their families and community volunteers.

Over 120 faith and community-based organizations work together to provide dinner meals each night of the program and another 40 organizations provided donations. In addition, a diverse array of government and community-based organizations provided medical, behavioral health, childcare, and crisis services throughout the year. Specially trained City of Phoenix WESP case managers provided on-site case management to singles and families with children at the Watkins shelter facility. Services included placement and transportation to alternate housing options through year-round emergency shelters, transitional housing program, or affordable housing option and advocacy.

Watkins Emergency Shelter Program (WESP) Outcomes

- 61,133 bednights of emergency shelter provided to singles and families.
- 2,537 unduplicated persons served.
- 1,129 single women provided shelter.
- 407 families including 1,682 adults and 855 children were provided shelter.
- 85% of families who receive services at the WESP were placed in permanent housing.

Family Services Centers

- In FY 2010-2011, 13,140 unduplicated families received emergency assistance and case management services, with over \$10 million spent on such vital needs as food, rent, mortgages, emergency shelter and utility payments.
- In FY 2010-2011, 1,147 families received long-term case management services.
- In FY 2010-2011, 2,537 unduplicated homeless people were served at the Watkins Emergency Shelter, including 407 families with children.
- In FY 2010-2011, over \$8.2 million was spent to help 12,700 families make utility payments or deposits.
- In FY 2010-2011, over \$1.2 million was spent to help 2,092 families avoid eviction or foreclosure.
- In FY 2010-2011, 358 families were assisted with the repair and/or replacement of household appliances to include heating and cooling units.

Summer Respite Program 2009-10

From June to September 2010 the Human Services Department conducted a Summer Respite Program to assist in providing basic necessities to homeless people living on the streets of Phoenix. Bottles of water, sunscreen and a variety of other critical items



were donated by the public to the City of Phoenix Three Family Services Centers. The Human Services Department partnered with homeless outreach teams from The Salvation Army/Project HOPE, TERROS, Tumbleweed Center for Youth Development, Native American Connections, Inc. Youth Services, Southwest Behavioral Health Services/ PATH Outreach, Community Bridges, U.S. Vets, Inc. Basic Mission, Set Free Ministries, Stand Up for Kids, and the Maricopa County Health Care for the Homeless, who distributed the items throughout Maricopa County. A summary of donations follows:

- 12,493 bottles of water
- 259 bottles/tubes of sunscreen
- \$5,490.00 in cash donations
- 474 socks, underwear, hats & other clothing
- 65 snack packs

Winter Respite Program 2010-11

From November 2010 to March 2011 the Human Services Department conducted a Winter Respite Program to assist in providing basic necessities to homeless people living on the streets of Phoenix. Blankets, warm clothing and a variety of other critical items were donated by the public to the City of Phoenix four Family Services Centers. The Human Services Department partnered with homeless outreach teams from The Salvation Army/Project HOPE, TERROS, Tumbleweed Center for Youth Development, HomeBase/NACI Youth Services, Southwest Behavioral Health Services/ PATH Outreach, Community Bridges, U.S. Vets, Inc. Basic Mission, Stand Up for Kids, Set Free Ministries and the Maricopa County Health Care for the Homeless, who distributed the items throughout Maricopa County A summary of donations follows:

- 206 blankets
- 172 coats
- 264 long shirts & pants
- 107 pairs of shoes
- 210 socks, underwear & gloves
- \$202.00 in cash donations



Civil Rights Program Related Requirements

Geographic Distribution of Investments

ATTACHMENT A shows the financial summary for CDBG.

ATTACHMENT B shows the location and distribution of major HUD funded programs where activities could be geographically identified through site-specific addresses. These programs include RFP, Open Application, and infrastructure projects.

The expenditures are grouped as being either South or North of Camelback Road. The area south of Camelback is comprised of census tracts that are predominately (57% or more) minority. These areas are listed in attachment C.

ATTACHMENT C shows the area north of Camelback is comprised of census tracts that are 28% or less minority. The charts in attachment B show that \$1,277,764 of \$1,825,895 or 70% of the funds that were not citywide were used south of Camelback Road.

ATTACHMENT D shows 2000 Census Tracts with 51% or More Minority Population and 51% or More Low/Moderate Income Population.



ATTACHMENT A: July 1, 2010 - June 30, 2011

FINANCIAL SUMMARY

Community Development Block Grant Program

US Department of Housing and Urban Development Office of Community Planning and Development

Name of Grantee: **City of Phoenix, Arizona** Grant Number: B-10-MC-04-0502

Reporting Period: July 1, 2010- June 30, 2011

Part I - Summary of CDBG Resources

1. Unexpended CDBG funds at end of previous period:		<u>\$18,410,133</u>
2. Entitlement grant from HUD-7082 (Grant Agreement):		<u>\$18,510,969</u>
3. Surplus Urban Renewal Funds:		<u>\$0</u>
4. Section 108 Guaranteed Loan funds (principal amount):		<u>\$0</u>
5. Program Income		
	Grantee Subrecipient	
Revolving Funds:	<u>\$0</u>	<u>\$0</u>
Other Funds:	<u>\$450,657</u>	<u>\$0</u>
Total Program Income:		<u>\$450,657</u>
6. Prior period adjustments (enclose negative amounts in brackets):		<u>\$0</u>
7. Total CDBG funds available during the reporting period:		<u>\$37,371,759</u>

Part II - Summary of CDBG Expenditures

8. Total Program year expenditures:	<u>\$17,516,407</u>
9. Total Expended for planning and administration:	<u>\$2,532,357</u>
10. Amount subject to low/mod benefit calculation:	<u>\$14,984,050</u>
11. CDBG funded Section 108 principle and interest payments:	<u>\$0</u>
12. Total expenditures (line 8 and 11)	<u>\$17,516,407</u>
13. Unexpended balance (line 7 minus line 12):	<u>\$19,855,352</u>

Part III - Low/Mod Credit this Reporting Period

14. Total low/mod credit for multi-unit housing expenditures:	<u>\$326,451</u>
15. Total from all other activities qualifying as low/mod expenditures:	<u>\$14,607,582</u>
16. Total (line 14 plus line 15):	<u>\$14,934,033</u>
17. Percent benefit to low/mod persons (line 16 divided by line 10):	<u>99.67%</u>

Part IV - Low/Mod Benefit for Multi Year Certifications

Complete only if certification period includes prior years. Note: Please refer to Consolidated Plan submission corresponding to the reporting period: Specific CDBG Certifications; Use of Funds (criteria 2.), Overall Benefit - to verify your community's certification period. DO NOT complete this section unless you have certified a multiple year certification period.



Multiple program years covered in certification (up to three years): 2008, 2009, 2010

18. Cumulative net expenditures subject to program benefit calculation:	<u>47,455,087</u>
19. Cumulative expenditures benefiting low/mod persons:	<u>47,455,087</u>
20. Percent benefit to low mod persons (line 19 divided by line 18):	<u>100.0%</u>

Part V - Public Service (PS) Cap Calculation

21. Total PS expenditures:	<u>\$2,512,005</u>
22. Total PS unliquidated obligations:	<u>\$611,511</u>
23. Sum of line 21 and 22:	<u>\$3,123,516</u>
24. PS unliquidated obligations reported end of previous report period:	<u>\$223,201</u>
25. Net obligations for PS (line 23 minus line 24):	<u>\$2,900,315</u>
26. Amount of program income received in the PRECEDING program yr:	<u>\$1,366,697</u>
27. Entitlement grant amount (line 2):	<u>\$18,510,969</u>
28. Sum of line 26 and 27:	<u>\$19,877,666</u>
29. Percent funds obligated for PS (line 25 divided by line 28):	<u>14.59%</u>

Part VI - Planning and/or Administrative (P&A) Cost Cap Calculation

30. Total P&A expenditures:	<u>\$2,532,357</u>
31. Total P&A unliquidated obligations:	<u>\$0</u>
32. Sum of line 30 and 31	<u>\$2,532,357</u>
33. P&A unliquidated obligations reported end of previous report period:	<u>\$0</u>
34. Net obligations for P&A (line 32 minus line 33):	<u>\$2,532,357</u>
35. Amount of program income received for this program year:	<u>\$450,657</u>
36. Entitlement grant amount (line 2):	<u>\$18,510,969</u>
37. Sum of line 35 and 36:	<u>\$18,961,626</u>
38. Percent funds obligated for P&A (line 34 divided by line 37):	<u>13.36%</u>



ATTACHMENT B

Geographical Distribution of Allocations for 2011 RFP and Open Application Projects			
PUBLIC SERVICES RFP			
Project	South of Camelback Road	North of Camelback Road	Citywide
Rosie's House: A Music Academy for Children	\$20,972		
Boys/Girls Club Power Hour	\$20,972		
Teleos Preparatory Academy	\$20,972		
Southwest Human Development			\$20,972
Sunnyslope Village Alliance		\$12,582	
Safe Haven Inc.	\$12,582		
Expressions of Love	\$12,582		
The House of El-Elyon	\$12,582		
DUET, Escorted Transportation		\$31,879	
Valley of the Sun YMCA – Senior Program	\$9,763		
St. Mary's-Food Bank Alliance-Home Food Delivery	\$41,879		
Gompers Ctr-Special Smiles for Special People		\$70,062	
Back to School Clothing Drive	\$33,557		
Homeward Bound, Subsidized Childcare		\$33,557	
Boys and Girls Clubs Food Program	\$33,557		
Kitchen on the Street, Bags of Hope		\$33,557	
Tumbleweed Center for Youth Development	\$33,557		
Florence Crittenton, Transitional Living	\$33,002		
St. Mary's-Food Bank Alliance-Kids Café	\$33,557		
Chrysalis Shelter for Victims of Domestic Violence	\$33,557		
UMOM New Day Centers, Inc.	\$33,557		
Child Crisis Centers	\$33,557		
Foundation for Blind Children			\$33,557
SUBTOTAL	\$420,205	\$181,637	\$54,529



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

Project	South of Camelback Road	North of Camelback Road	Citywide
Public Facilities RFP – CDBG			
Native American Connections – Public Safety Project		\$81,040	
Boys and Girls Club of Metro Phx – Energy Efficiency	\$65,280		
Phoenix Day	\$60,300		
Arizona Opportunities Industrialization Center	\$36,926		
SUBTOTAL	\$ 162,506	\$81,040	
OPEN APPLICATION INFRASTRUCTURE, REVITALIZATION AND HOUSING COUNSELING 2011-12			
LCSA Housing Counseling Open App 2011	\$30,000		
NHSP Housing Counseling Open App 2011	\$40,000		
CHRA Housing Counseling Open App 2011	\$40,000		
DMNR Housing Counseling Open App 2011		\$25,000	
CPLC Housing Counseling Open App 2011 Foreclosure Prevention	\$25,000		
Greater Phx Urban League Housing Counseling Open App 2011	\$25,000		
Take Charge America Housing Counseling Open App 2011			\$20,000
ABIL (Arizona Bridge to Independent Living)	\$66,500		
FSL (Foundation for Senior Living)			\$102,000
LCSA (Labor’s Community Service Agency)	\$104,000		
DMNR (Desert Mission Neighborhood Renewal)		\$43,000	
Rebuilding Together Valley of the Sun	\$41,500		
Maryvale Gateway	\$65,000		
Issac Field of Dreams	\$128,429		
SUBTOTAL	\$565,429	\$68,000	\$122,000



Consolidated Plan Annual Performance and Evaluation Report
July 1, 2010 thru June 30, 2011

Project	South of Camelback Road	North of Camelback Road	Citywide
Economic Development Open Application			
Delgado Law Group	\$43,208		
Tarver Law Group	\$43,208		
C&H and Associates	\$43,208		
Adams & Ogundele			\$28,425
Balanced Billing			\$12,500
SUBTOTAL	\$129,624	\$0	\$40,925
Grand Total	\$1,277,764	\$330,677	\$217,454



ATTACHMENT C

Census Tracts South of Camelback Road Receiving HUD Investments

820.10	1102.00	1123.01	1144.01
1084.00	1104.00	1123.02	1144.02
1088.01	1105.00	1124.01	1145.00
1088.02	1107.01	1124.02	1146.00
1089.01	1107.02	1125.02	1147.01
1089.02	1108.00	1125.03	1147.02
1090.00	1108.00	1125.04	1147.03
1091.00	1109.00	1126.01	1148.00
1092.00	1112.01	1126.02	1151.00
1093.00	1112.01	1127.00	1152.00
1094.00	1112.02	1128.00	1153.00
1096.01	1113.00	1129.00	1154.00
1096.02	1114.01	1130.00	1154.00
1096.04	1114.02	1131.00	1155.00
1097.01	1115.01	1132.01	1156.00
1097.02	1115.02	1132.02	1158.01
1097.03	1116.01	1135.00	1158.02
1097.04	1116.02	1136.01	1159.00
1098.01	1117.00	1136.02	1160.00
1098.02	1118.00	1137.00	1161.00
1099.00	1119.00	1138.00	1163.00
1100.01	1120.00	1139.00	1164.00
1100.02	1121.00	1140.00	1166.02
1101.00	1122.01	1141.00	
1102.00	1122.02	1142.00	



ATTACHMENT D

2000 Census Tracts with 51% or More Minority Population and 51% or More Low/Moderate Income Population

