

***City of Phoenix, Arizona***

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***Monthly Financial Report***

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***September 2013***

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## City of Phoenix

FINANCE DEPARTMENT  
FINANCIAL ACCOUNTING AND REPORTING DIVISION

October 16, 2013

To the Mayor and City Council:

This is the City's Financial Report for September, the third month of fiscal year 2013-14. This report consists of three sections:

### General Funds

#### Summary

presents comparisons of year-to-date balances to the fiscal year budget and to the actual results for the prior year for the General Funds.

### Citywide

#### Summary

presents the City's summarized comparisons of the year-to-date balances to the fiscal year budget and to the actual results for the prior year.

#### Detail

presents, in detail, the results of the City's operations for the month of September and for the fiscal year-to-date. Included are breakdowns of the City's revenues, operating budget expenditures, capital budget expenditures and bonds authorized and sold.

Respectfully submitted,

Handwritten signature of Jeff DeWitt in black ink.

Jeff DeWitt  
Chief Financial Officer

Handwritten signature of Bill Greene in black ink.

Bill Greene  
City Auditor

Handwritten signature of Sean Kindell in black ink.

Sean Kindell  
Deputy Finance Director

Prepared by the Financial  
Accounting & Reporting Division

# Monthly Financial Report – September 2013

## Executive Summary

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### Budget

- The budget amounts in this report represent the official adopted budget, as approved by the City Council, for fiscal year 2013-14.

### Revenues

- Local Taxes and the City's portion of State Shared Taxes comprise the major portion, approximately 75 percent, of estimated General Fund revenues. September 2013 year to date local sales tax revenues were \$5.3 million higher than through the prior September, an increase of 5.2%. State Shared Taxes were \$6.5 million higher compared to the same period in the prior year, an increase of 8.4%. Overall, General Fund revenues at the end of September 2013 are 6.6% higher than September 2012. (*see page 2*)
- Increases in public transit federal grant receipts, transit revenues, and building safety permit fees were the main factors in an increase in year to date revenues of \$35.5 million (21.2%) for the Special Revenue and Debt Service funds at September 2013 as compared to September 2012. (*see page 7*)
- Not including the water department sale of property in McMullen Valley for \$29.7 million in July 2012, the operating revenues for the enterprise funds were comparable at the end of September 2013 to September 2012. (*see page 7*)

### Expenditures

- General Fund expenditures at the end of September 2013 are \$1.2 million more than September 2012, an increase of less than 1.0%.
- Citywide operating expenditures at the end of September 2013 are \$8.8 million more than the prior September, an increase of 1.0%. (*See pages 5 & 9*)
- Capital expenditures for the first quarter of 2013-14 were \$13.8 million higher than the same period in 2012-13, an increase of 15%. Aviation, Street Transportation, and Water System had the largest increases in capital spending. These were partially offset by decreased spending in Arts and Cultural Facilities, Housing and Public Transit.

# **General Funds Summary**

# Summary

# General Funds

## As of September 30

(dollars in thousands)

	Budget Estimate 2013-14	Actual Year-to-Date		% of Year-to-Date	
		2013-14	2013-14	2012-13	Estimate 2013-14
<b>Revenues</b>					
Local Taxes					
Sales Taxes	\$ 442,309	\$ 107,822	\$ 102,535	24.4 %	25.2 %
Privilege License Fees	2,363	165	132	7.0	5.6
State Shared Taxes					
State Sales Tax	127,448	29,936	27,902	23.5	23.5
State Income Tax	160,852	40,321	36,917	25.1	25.0
Vehicle License Tax	51,150	13,407	12,327	26.2	25.5
Primary Property Tax	142,849	531	404	0.4	0.3
Licenses and Permits	2,830	671	640	23.7	23.7
Cable Communications	9,525	(2)	-	-	-
Municipal Court					
Fines and Forfeitures	19,312	4,179	4,592	21.6	24.3
Court Default Fee	1,210	197	215	16.3	19.7
Police	13,266	2,035	1,748	15.3	13.8
Library	1,362	251	261	18.4	25.9
Parks and Recreation	7,912	1,847	1,958	23.3	23.8
Planning & Development	1,058	341	306	32.2	24.5
Street Transportation	4,105	750	902	18.3	21.5
Fire					
Emergency Transportation Service	37,900	7,932	8,709	20.9	25.8
Other	12,451	2,668	2,448	21.4	19.8
Interest	2,200	312	379	14.2	26.3
Other Fees and Service Charges	11,687	4,672	2,207	40.0	16.0
<b>Total Revenues</b>	<b>\$ 1,051,789</b>	<b>\$ 218,035</b>	<b>\$ 204,582</b>	<b>20.7 %</b>	<b>20.9 %</b>

# Summary

# General Funds

**As of September 30**

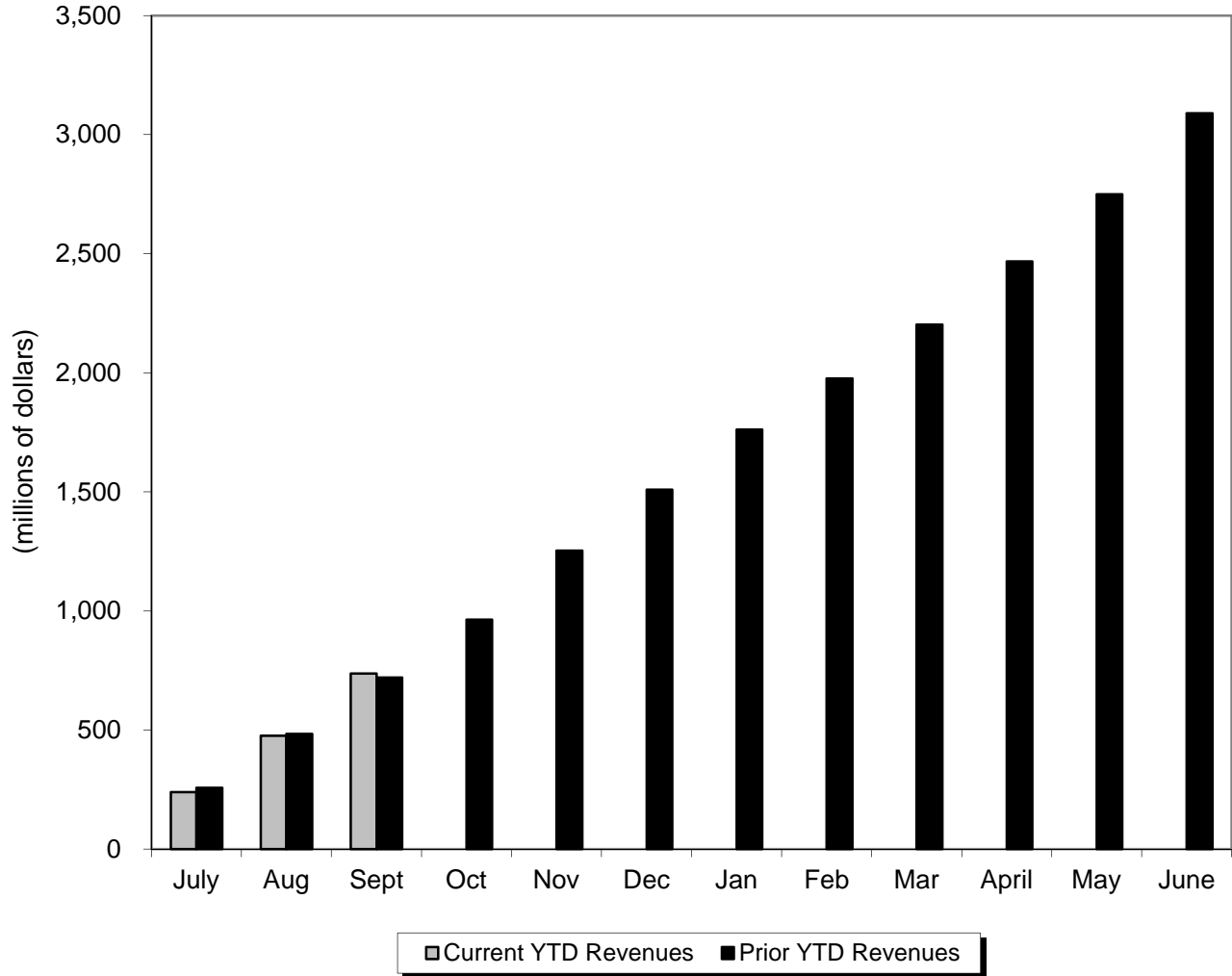
*(dollars in thousands)*

	Budget		Actual		% of Year-to-Date	
	Estimate 2013-14	Year-to-Date 2013-14	Year-to-Date 2012-13	Estimate 2013-14	Actual 2012-13	
<b>Expenditures and Encumbrances</b>						
General Government						
Mayor	\$ 1,755	\$ 451	\$ 448	25.7 %	26.9 %	
City Council	3,590	876	767	24.4	23.8	
City Manager	2,339	788	663	33.7	34.5	
Information Technology Services	32,781	10,239	8,010	31.2	24.4	
Public Information	2,319	691	537	29.8	22.0	
Equal Opportunity	2,367	637	536	26.9	23.7	
Law	19,542	5,139	4,695	26.3	23.7	
City Auditor	2,389	877	748	36.7	38.4	
City Clerk	5,797	1,058	1,042	18.3	22.2	
Human Resources	10,225	2,257	2,380	22.1	24.5	
Budget and Research	3,054	939	897	30.7	30.7	
Finance	18,061	5,337	3,771	29.5	23.0	
Others	2,213	929	883	42.0	35.6	
Total General Government	<u>106,432</u>	<u>30,218</u>	<u>25,377</u>	28.4	24.8	
Criminal Justice						
Municipal Court	29,395	7,730	7,729	26.3	26.9	
Public Defender	4,903	1,107	1,180	22.6	24.8	
Total Criminal Justice	<u>34,298</u>	<u>8,837</u>	<u>8,909</u>	25.8	26.6	
Public Safety						
Police	462,407	116,568	111,239	25.2	25.0	
Fire	250,693	62,664	62,855	25.0	26.2	
Others	22	22	3	100.0	18.8	
Total Public Safety	<u>713,122</u>	<u>179,254</u>	<u>174,097</u>	25.1	25.4	
Transportation						
Street Transportation	22,097	5,437	16,256	24.6	86.7	
Public Transit	19,520	6,690	4,882	34.3	25.1	
Total Transportation	<u>41,617</u>	<u>12,127</u>	<u>21,138</u>	29.1	55.4	
Community Development						
Economic Development	5,134	1,390	988	27.1	23.5	
Neighborhood Services Department	12,337	3,198	2,895	25.9	25.5	
Planning and Development	5,026	1,205	1,252	24.0	29.0	
Others	54	12	12	22.2	25.0	
Total Community Development	<u>22,551</u>	<u>5,805</u>	<u>5,147</u>	25.7	25.8	
Community Enrichment						
Parks and Recreation	94,371	25,703	26,031	27.2	29.7	
Library	35,478	8,926	7,659	25.2	22.8	
Human Services	18,711	5,060	5,321	27.0	29.9	
Others	2,942	1,163	992	39.5	43.2	
Total Community Enrichment	<u>151,502</u>	<u>40,852</u>	<u>40,003</u>	27.0	28.3	
Environmental Services						
Public Works	16,554	3,015	4,085	18.2	28.1	
Environmental Programs	984	199	290	20.2	36.5	
Total Environmental Services	<u>17,538</u>	<u>3,214</u>	<u>4,375</u>	18.3	28.5	
Capital Improvement	2,442	123	147	5.0	4.6	
Contingencies	38,313	-	-	-	-	
Total Expenditures and Encumbrances	<u>\$ 1,127,815</u>	<u>\$ 280,430</u>	<u>\$ 279,193</u>	24.9 %	26.9 %	

# **Citywide Summary**

**YEAR-TO-DATE REVENUES**

As of September 30, 2013



**Revenues**

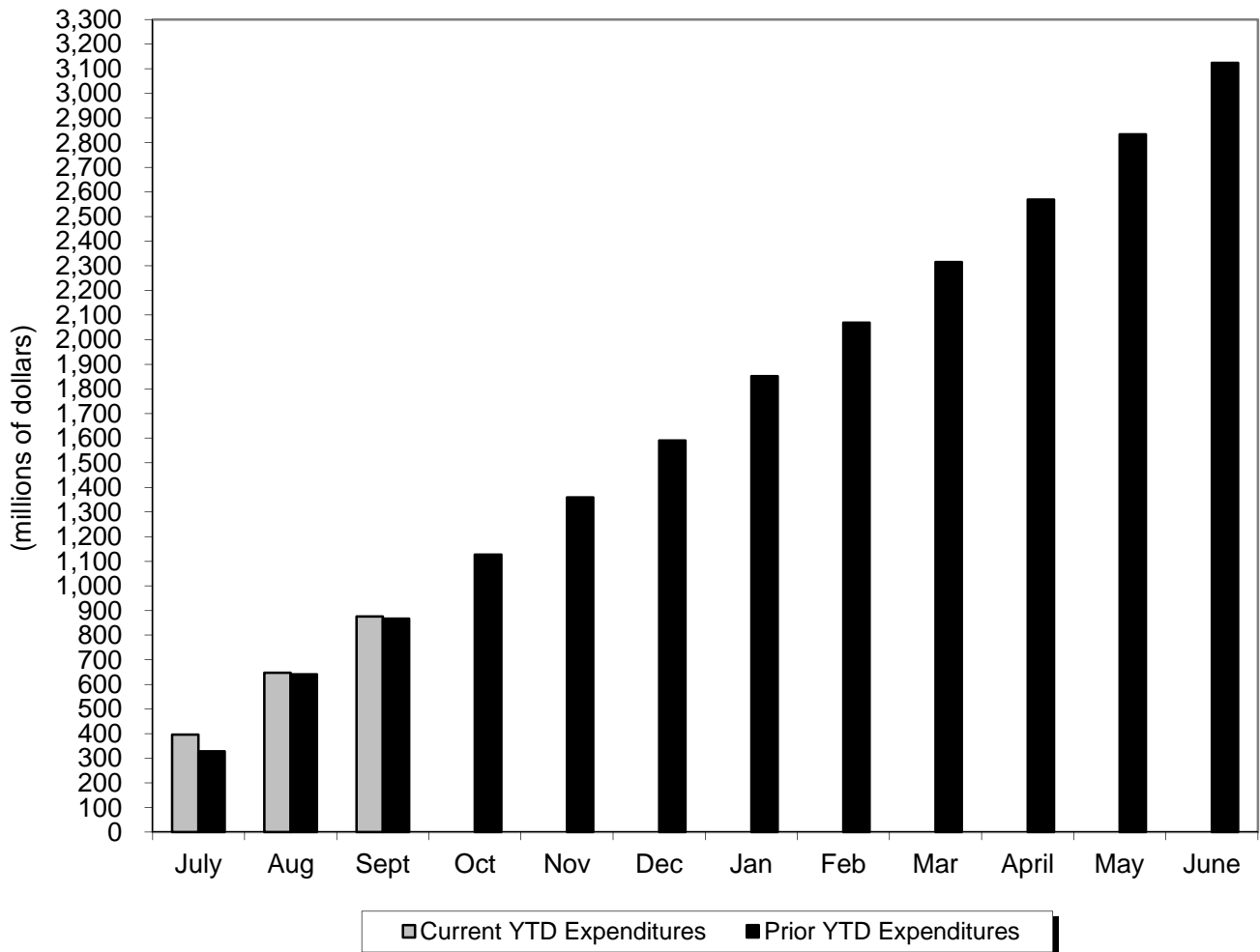
Year-to-date revenues as of September 30 increased by \$17,030,807 to \$736,559,980 from the \$719,529,173 collected during the same period last year, an increase of 2.4%.

For the current year, 23.7% of the total estimated revenue has been collected. Of the total revenues received last year, 23.3% had been collected as of September 30.



**YEAR-TO-DATE OPERATING EXPENDITURES**

As of September 30, 2013



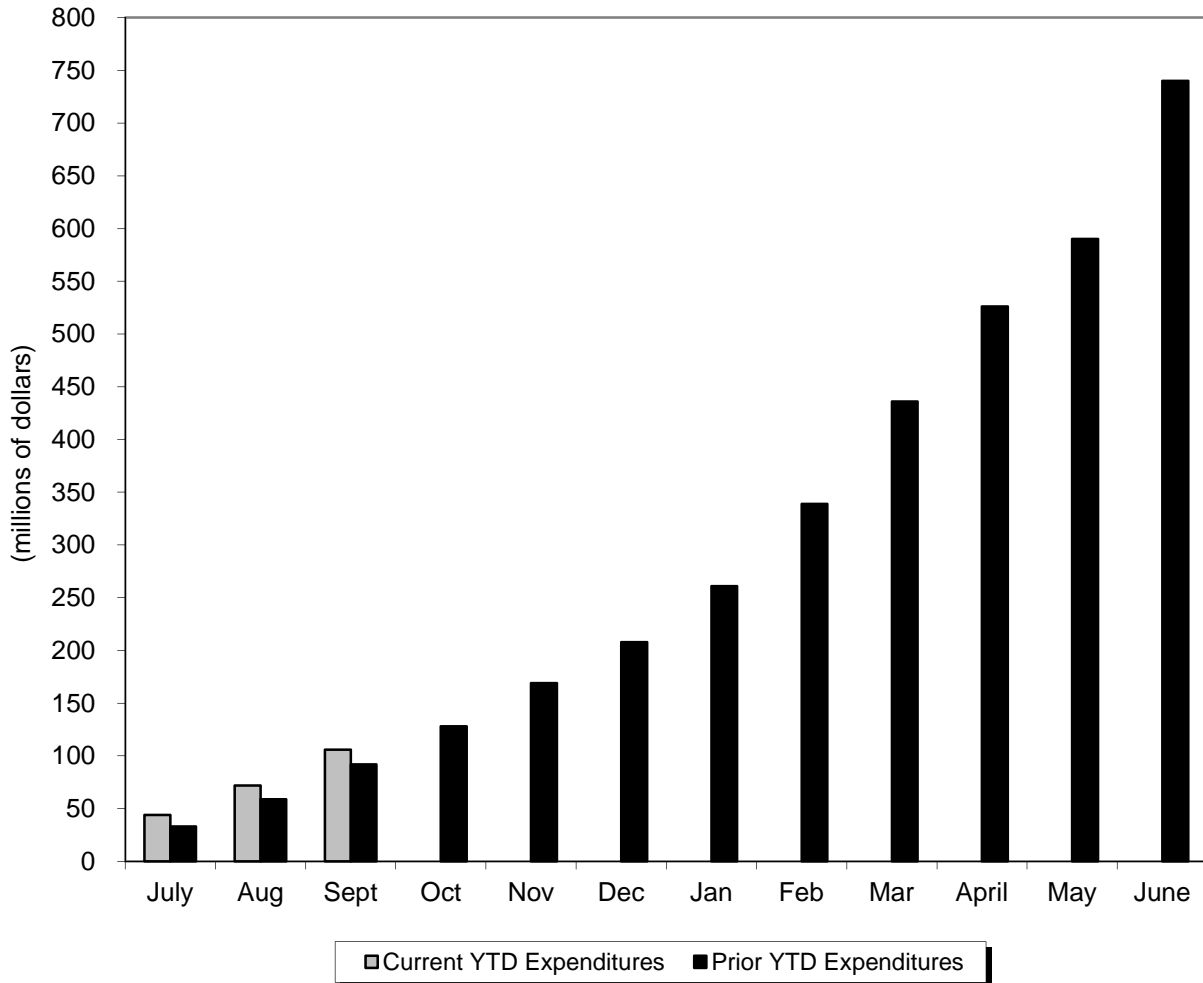
**Operating Expenditures**

For the entire City, including enterprise funds such as Water Services and Aviation, year-to-date operating expenditures as of September 30 increased \$8,801,453 to \$875,622,226 from the \$866,820,773 spent during the same period last year, an increase of 1.0%.

For the current year, 25.0% of the total appropriations has been spent. Of the total actual expenditures for last year, 27.7% had been spent as of September 30.

**YEAR-TO-DATE CAPITAL EXPENDITURES**

As of September 30, 2013



**Capital Expenditures**

Year-to-date capital expenditures as of September 30 increased \$13,842,142 to \$105,978,543 from the \$92,136,401 spent during the same period last year, an increase of 15.0%.

For the current year, 8.8% of the total appropriations has been spent. Of the total actual expenditures for last year, 12.5% had been spent as of September 30.

# Summary

# Operating Revenues

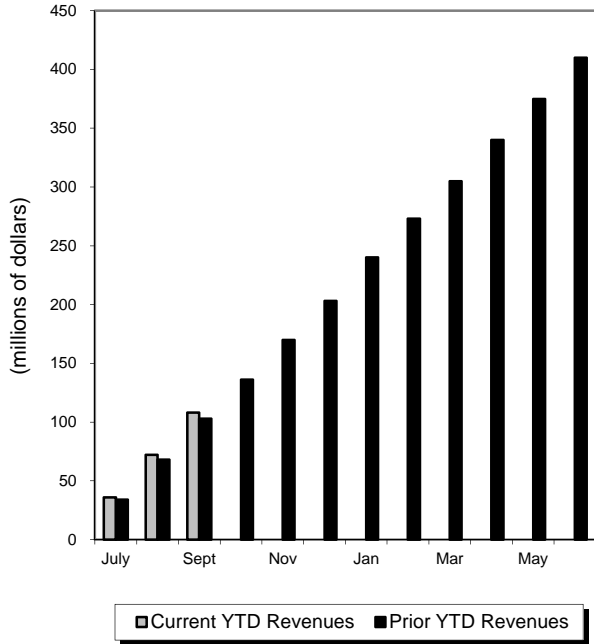
**As of September 30**

*(dollars in thousands)*

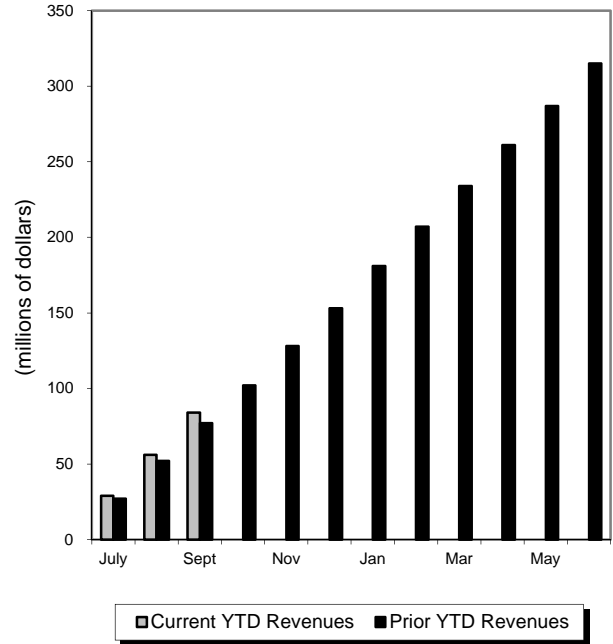
Source	Budget	Actual		% of Year-to-Date	
	Estimate	Year-to-Date		Estimate	Actual
	2013-14	2013-14	2012-13	2013-14	2012-13
<b>General Funds</b>					
Local Taxes:					
Sales Taxes	\$ 442,309	\$ 107,822	\$ 102,535	24.4 %	25.2 %
Privilege License Fees	2,363	165	132	7.0	5.6
State Shared Taxes:					
State Sales Tax	127,448	29,936	27,902	23.5	23.5
State Income Tax	160,852	40,321	36,917	25.1	25.0
Vehicle License Tax	51,150	13,407	12,327	26.2	25.5
Primary Property Tax	142,849	531	404	0.4	0.3
Licenses and Permits	2,830	671	640	23.7	23.7
Cable Communications	9,525	(2)	-	-	-
Municipal Court	20,522	4,376	4,807	21.3	24.0
Police	13,266	2,035	1,748	15.3	13.8
Library Fees	1,362	251	261	18.4	25.9
Parks and Recreation	7,912	1,847	1,958	23.3	23.8
Planning & Development	1,058	341	306	32.2	24.5
Street Transportation	4,105	750	902	18.3	21.5
Fire	50,351	10,600	11,157	21.1	24.2
Interest	2,200	312	379	14.2	26.3
Other Fees and Service Charges	11,687	4,672	2,207	40.0	16.0
<b>Total General Funds</b>	<b>1,051,789</b>	<b>218,035</b>	<b>204,582</b>	<b>20.7</b>	<b>20.9</b>
<b>Special Revenue and Debt Service Funds</b>					
Neighborhood Protection	30,240	7,097	6,539	23.5	23.6
Public Safety Enhancement & Expansion	85,231	21,851	20,605	25.6	25.9
Parks and Preserves	30,399	7,000	6,534	23.0	23.6
Golf Courses	8,060	834	907	10.3	10.9
Transit 2000	171,171	41,749	37,893	24.4	23.2
Court Awards	15,377	6,201	4,120	40.3	41.9
Planning and Development	36,720	14,864	9,692	40.5	24.0
Capital Construction	17,226	3,949	4,220	22.9	25.6
Sports Facilities	15,829	2,747	2,757	17.4	18.1
Highway User Revenue	100,600	23,630	23,004	23.5	23.2
Regional Transit Revenues	41,867	6,300	6,564	15.0	27.6
Community Reinvestment	4,862	1,633	1,809	33.6	37.3
Other Restricted Fees	31,369	5,644	5,684	18.0	14.0
Grants	288,554	59,425	37,118	20.6	12.6
G.O. Bond/Secondary Property Tax	40,581	45	64	0.1	0.1
<b>Total Special Revenue and Debt Service Funds</b>	<b>918,086</b>	<b>202,969</b>	<b>167,510</b>	<b>22.1</b>	<b>18.2</b>
<b>Enterprise Funds</b>					
Aviation	309,343	80,473	82,456	26.0	23.7
Convention Center	60,544	11,729	11,776	19.4	19.8
Water System	407,749	132,298	164,283	32.4	38.6
Wastewater	211,312	54,110	53,534	25.6	24.6
Solid Waste	145,755	36,946	35,388	25.3	24.7
<b>Total Enterprise Funds</b>	<b>1,134,703</b>	<b>315,556</b>	<b>347,437</b>	<b>27.8</b>	<b>29.1</b>
<b>Total Operating Revenues</b>	<b>\$ 3,104,578</b>	<b>\$ 736,560</b>	<b>\$ 719,529</b>	<b>23.7 %</b>	<b>23.3 %</b>

## As of September 30, 2013

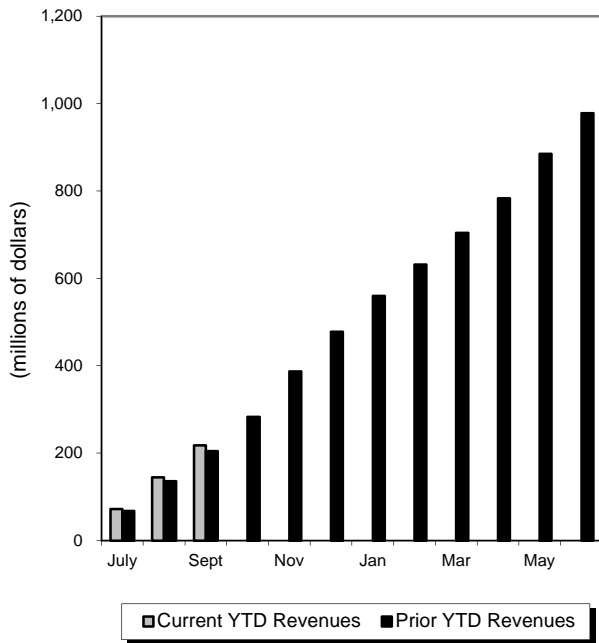
**General Funds Local Taxes**



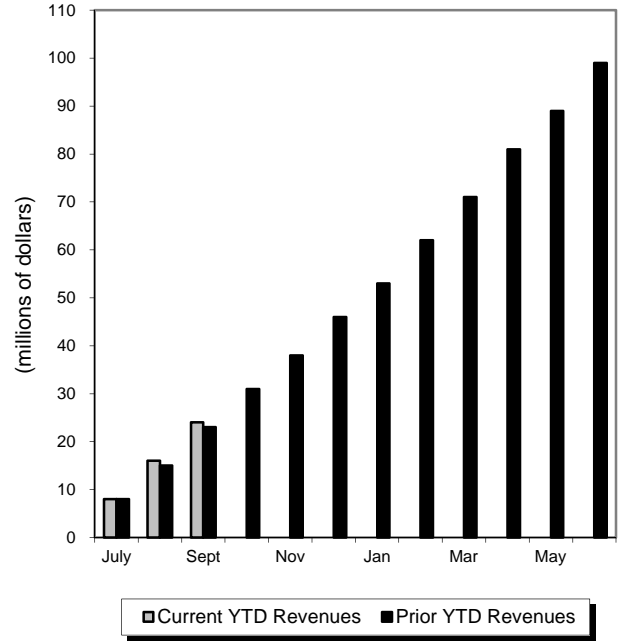
**General Funds State Shared Taxes**



**Total General Funds Revenues**



**Highway User Revenues**



# Summary Operating Expenditures and Encumbrances

## As of September 30

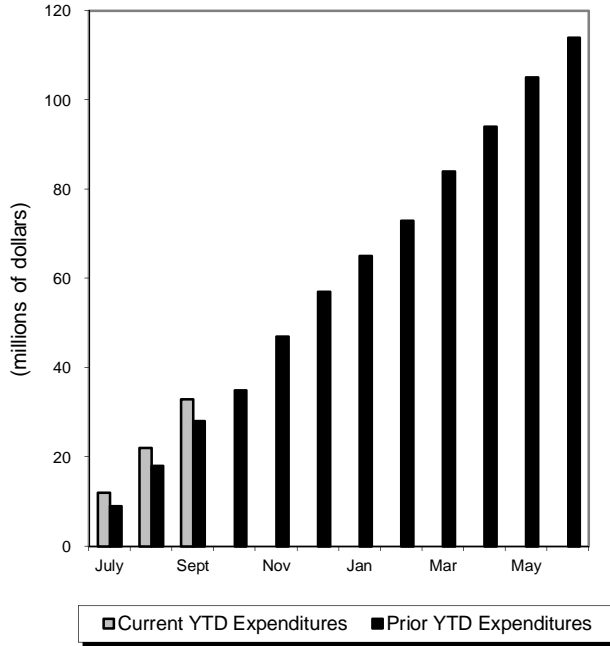
(dollars in thousands)

Source	Budget 2013-14	Actual		% of Year-to-Date	
		Year-to-Date		Estimate	Actual
		2013-14	2012-13	2013-14	2012-13
General Government					
General Funds	\$ 106,432	\$ 30,218	\$ 25,377	28.4 %	24.8 %
Other Funds	13,849	2,652	2,220	19.1	18.8
Total General Government	<u>120,281</u>	<u>32,870</u>	<u>27,597</u>	27.3	24.2
Criminal Justice					
General Funds	34,298	8,837	8,909	25.8	26.6
Other Funds	12,573	2,202	2,012	17.5	25.7
Total Criminal Justice	<u>46,871</u>	<u>11,039</u>	<u>10,921</u>	23.6	26.4
Public Safety					
General Funds	713,122	179,254	174,097	25.1	25.4
Other Funds	171,219	36,569	39,114	21.4	24.8
Total Public Safety	<u>884,341</u>	<u>215,823</u>	<u>213,211</u>	24.4	25.3
Transportation					
General Funds	41,617	12,127	21,138	29.1	55.4
Other Funds	595,272	250,850	230,906	42.1	42.4
Total Transportation	<u>636,889</u>	<u>262,977</u>	<u>252,044</u>	41.3	43.3
Community Development					
General Funds	22,551	5,805	5,147	25.7	25.8
Other Funds	205,781	50,454	52,588	24.5	27.8
Total Community Development	<u>228,332</u>	<u>56,259</u>	<u>57,735</u>	24.6	27.6
Community Enrichment					
General Funds	151,502	40,852	40,003	27.0	28.3
Other Funds	136,700	34,499	34,309	25.2	26.0
Total Community Enrichment	<u>288,202</u>	<u>75,351</u>	<u>74,312</u>	26.1	27.2
Environmental Services					
General Funds	17,538	3,214	4,375	18.3	28.5
Other Funds	610,730	139,929	153,763	22.9	28.0
Total Environmental Services	<u>628,268</u>	<u>143,143</u>	<u>158,138</u>	22.8	28.0
Debt Service					
General Funds	-	-	-	-	-
Other Funds	52,455	25,197	34,099	48.0	24.9
Total Debt Service	<u>52,455</u>	<u>25,197</u>	<u>34,099</u>	48.0	24.9
Capital Improvement					
General Funds	2,442	123	147	5.0	4.6
Other Funds	528,562	52,840	38,617	10.0	10.8
Total Capital Improvement	<u>531,004</u>	<u>52,963</u>	<u>38,764</u>	10.0	10.8
Contingencies					
General Funds	38,313	-	-	-	-
Other Funds	47,550	-	-	-	-
Total Contingencies	<u>85,863</u>	<u>-</u>	<u>-</u>	-	-
Total Operating					
General Funds	1,127,815	280,430	279,193	24.9	26.9
Other Funds	2,374,691	595,192	587,628	25.1	28.2
Total Operating Budget	<u>\$ 3,502,506</u>	<u>\$ 875,622</u>	<u>\$ 866,821</u>	25.0 %	27.7 %

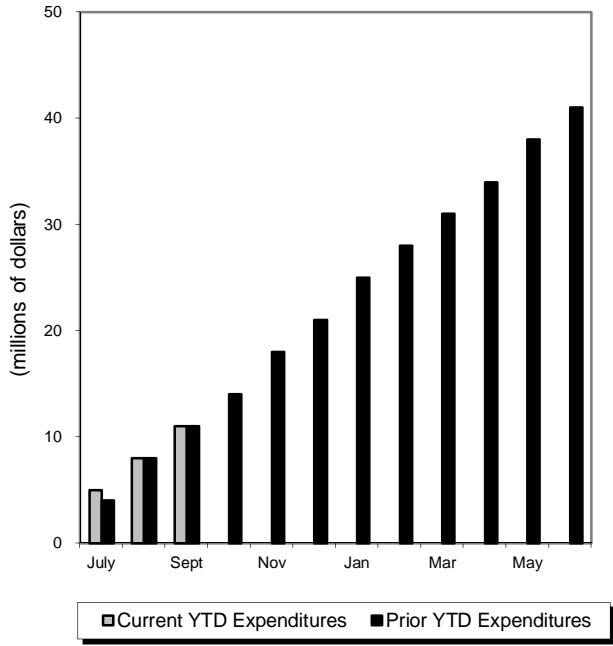
# Summary Operating Expenditures and Encumbrances

As of September 30, 2013

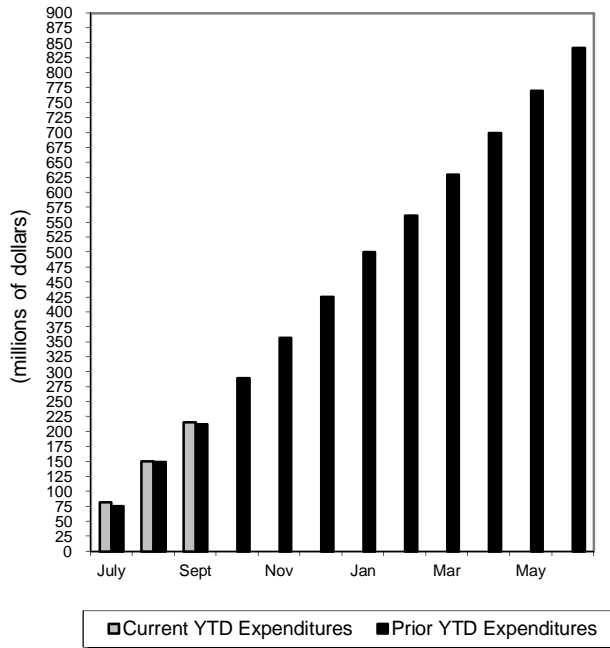
**Total General Government**



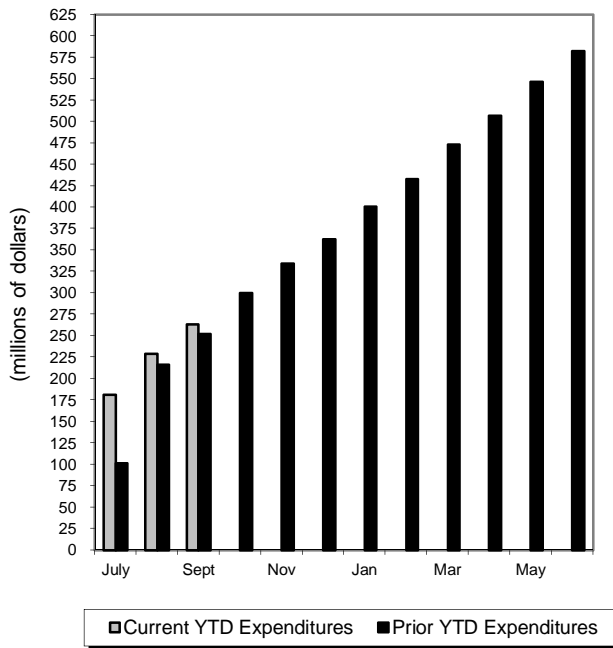
**Total Criminal Justice**



**Total Public Safety**



**Total Transportation**

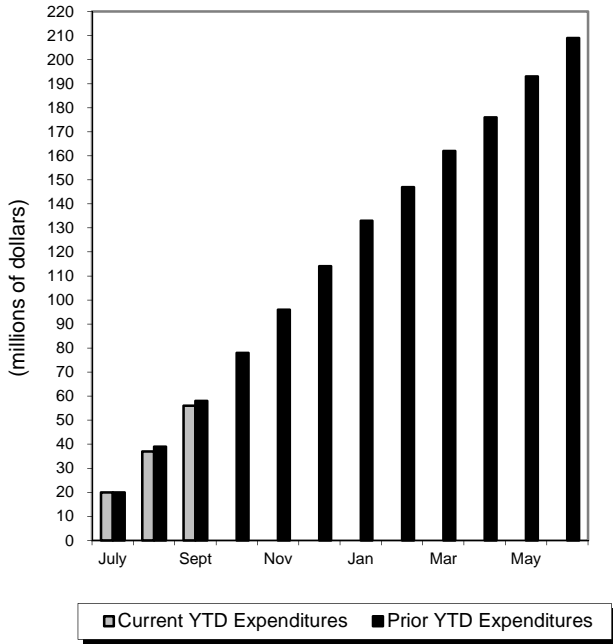


# Summary

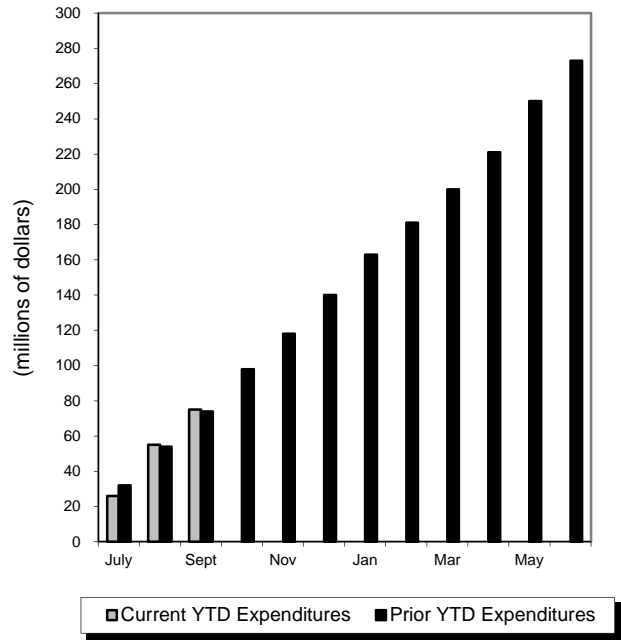
# Operating Expenditures and Encumbrances

As of September 30, 2013

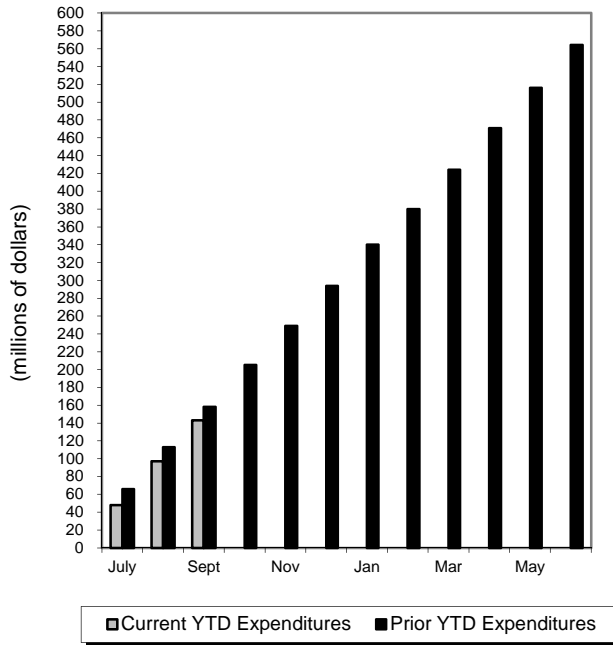
**Total Community Development**



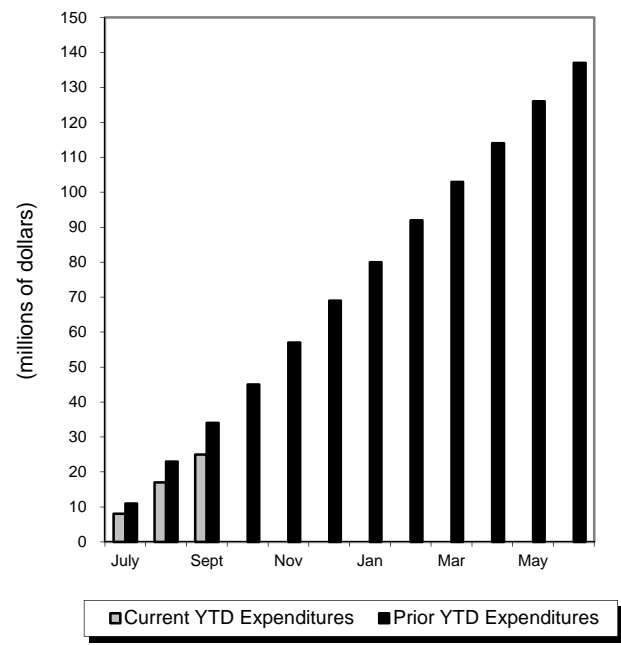
**Total Community Enrichment**



**Total Environmental Services**



**Total Debt Service**



# **Citywide Detail**



# Revenues

# Revenues

For the Month Ended September 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date	
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13
<b>General Funds</b>							
Local Taxes:							
\$ 35,873,023	\$ 34,253,569	Sales Taxes	\$ 442,309,000	\$ 107,822,340	\$ 102,534,906	24.4 %	25.2 %
49,741	36,214	Privilege License Fees	2,363,000	164,699	131,879	7.0	5.6
<u>35,922,764</u>	<u>34,289,783</u>	<i>Total Local Taxes</i>	<u>444,672,000</u>	<u>107,987,039</u>	<u>102,666,785</u>	24.3	25.1
State Shared Taxes:							
10,011,815	9,239,587	State Sales Tax	127,448,000	29,936,335	27,901,965	23.5	23.5
13,440,181	12,305,684	State Income Tax	160,852,000	40,320,543	36,917,051	25.1	25.0
3,991,879	3,852,114	Vehicle License Tax	51,150,000	13,406,543	12,327,488	26.2	25.5
<u>27,443,875</u>	<u>25,397,385</u>	<i>Total State Shared Taxes</i>	<u>339,450,000</u>	<u>83,663,421</u>	<u>77,146,504</u>	24.6	24.5
645,207	554,800	Primary Property Tax	142,849,000	530,631	404,401	0.4	0.3
255,578	247,365	Licenses and Permits	2,830,000	670,848	640,112	23.7	23.7
-	(1)	Cable Communications	9,525,232	(2,001)	(239)	-	-
Municipal Court:							
1,069,033	1,325,507	Fines and Forfeitures	19,312,290	4,178,556	4,592,353	21.6	24.3
62,094	64,949	Court Default Fee	1,210,000	197,495	214,391	16.3	19.7
<u>1,131,127</u>	<u>1,390,456</u>	<i>Total Municipal Court</i>	<u>20,522,290</u>	<u>4,376,051</u>	<u>4,806,744</u>	21.3	24.0
628,071	552,274	Police	13,266,040	2,035,028	1,747,475	15.3	13.8
70,348	80,516	Library Fees	1,362,000	250,884	260,992	18.4	25.9
364,261	998,953	Parks and Recreation	7,911,605	1,847,087	1,957,988	23.3	23.8
146,496	134,728	Planning and Development	1,058,400	340,816	306,372	32.2	24.5
124,357	341,611	Street Transportation	4,105,000	750,677	902,295	18.3	21.5
Fire:							
2,178,474	2,768,415	Emergency Transportation Service	37,900,000	7,932,475	8,709,383	20.9	25.8
72,825	50,875	Hazardous Materials Inspection Fee	1,150,000	142,075	155,147	12.4	12.6
2,250,821	725,927	Other	11,300,885	2,525,789	2,292,578	22.4	20.6
<u>4,502,120</u>	<u>3,545,217</u>	<i>Total Fire</i>	<u>50,350,885</u>	<u>10,600,339</u>	<u>11,157,108</u>	21.1	24.2
101,990	118,030	Interest	2,200,000	312,078	378,538	14.2	26.3
1,362,109	1,033,289	Other Fees and Service Charges	11,686,950	4,672,018	2,207,227	40.0	16.0
<u>72,698,303</u>	<u>68,684,406</u>	<i>Total General Funds</i>	<u>1,051,789,402</u>	<u>218,034,916</u>	<u>204,582,302</u>	20.7	20.9

# Revenues

# Revenues

For the Month Ended September 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date					
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13				
<b><u>Special Revenue and Debt Service Funds</u></b>											
\$	1,603,349	\$	1,493,774	\$	21,066,000	\$	4,864,533	\$	4,531,015	23.1 %	23.5 %
	114,524		106,698		1,505,000		347,466		323,644	23.1	23.5
	572,625		533,491		7,523,000		1,737,334		1,618,220	23.1	23.5
	39,616		31,345		145,500		147,292		66,134	101.2	43.3
	<u>2,330,114</u>		<u>2,165,308</u>		<u>30,239,500</u>		<u>7,096,625</u>		<u>6,539,013</u>	23.5	23.6
	5,395,705		5,087,549		63,718,000		16,063,992		15,115,060	25.2	25.5
	1,977,079		1,879,101		21,578,000		5,810,577		5,505,887	26.9	27.0
	(8,214)		(5,643)		(65,000)		(23,219)		(16,089)	35.7	20.7
	<u>7,364,570</u>		<u>6,961,007</u>		<u>85,231,000</u>		<u>21,851,350</u>		<u>20,604,858</u>	25.6	25.9
	2,304,066		2,153,951		30,399,000		7,000,403		6,534,391	23.0	23.6
	172,594		178,652		5,427,300		464,071		502,626	8.6	8.9
	5,226		3,244		271,360		14,461		13,071	5.3	4.4
	146,244		146,723		2,331,200		380,313		400,798	16.3	17.2
	(4,532)		(6,599)		(81,040)		(12,694)		(20,260)	15.7	28.3
	(12,096)		(963)		111,731		(12,515)		10,592	(11.2)	9.2
	<u>307,436</u>		<u>321,057</u>		<u>8,060,551</u>		<u>833,636</u>		<u>906,827</u>	10.3	10.9
	9,161,994		8,535,848		120,380,000		27,797,332		25,891,515	23.1	23.5
	4,564,723		4,393,810		50,791,438		13,951,938		12,001,466	27.5	22.6
	<u>13,726,717</u>		<u>12,929,658</u>		<u>171,171,438</u>		<u>41,749,270</u>		<u>37,892,981</u>	24.4	23.2
	5,083,633		4,119,949		15,376,764		6,200,633		4,119,949	40.3	41.9
	4,692,697		2,623,567		36,720,200		14,863,753		9,691,848	40.5	24.0
	1,299,127		1,410,548		17,226,000		3,949,216		4,219,863	22.9	25.6
	912,535		884,327		15,564,000		2,711,931		2,701,958	17.4	18.1
	10,968		16,901		265,000		35,117		54,818	13.3	14.8
	<u>923,503</u>		<u>901,228</u>		<u>15,829,000</u>		<u>2,747,048</u>		<u>2,756,776</u>	17.4	18.1

# Revenues

# Revenues

For the Month Ended September 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date	
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13
<b><u>Special Revenue and Debt Service Funds (Cont'd)</u></b>							
7,666,429	7,852,272	Highway User Revenue:					
15,720	14,780	Highway User Revenue	100,150,000	23,536,358	22,963,732	23.5	23.2
44,600	766	Interest	250,000	44,699	39,053	17.9	22.1
		Other	200,000	48,998	766	24.5	1.5
<u>7,726,749</u>	<u>7,867,818</u>	<i>Total Highway User Revenue</i>	<u>100,600,000</u>	<u>23,630,055</u>	<u>23,003,551</u>	<u>23.5</u>	<u>23.2</u>
2,096,835	2,077,035	Regional Transit Revenues	41,867,049	6,300,142	6,564,504	15.0	27.6
36,297	400,316	Community Reinvestment	4,861,901	1,633,453	1,809,270	33.6	37.3
1,339,670	4,408,646	Other Restricted Fees	31,368,544	5,643,806	5,684,073	18.0	14.0
		Grants:					
		Public Housing:					
\$ 595,456	\$ 686,834	Rentals	\$ 6,952,965	\$ 1,565,022	\$ 1,769,918	22.5 %	25.5 %
5,258,781	5,185,977	Grants	73,208,080	13,143,507	14,969,762	18.0	21.5
650,911	61,158	Other	5,269,398	1,569,740	(1,412,110)	29.8	(58.6)
<u>6,505,148</u>	<u>5,933,969</u>	<i>Total Public Housing</i>	<u>85,430,443</u>	<u>16,278,269</u>	<u>15,327,570</u>	<u>19.1</u>	<u>19.4</u>
		Other:					
3,725,381	3,069,412	Human Resources	42,441,013	5,027,657	3,167,341	11.8	7.5
19,457,560	457,184	Federal Transit Administration	59,918,966	21,577,938	478,922	36.0	0.8
160,546	(74,365)	Community Development	33,269,864	4,881,117	4,005,983	14.7	26.0
5,971,958	4,554,725	Other	67,493,613	11,660,464	14,138,375	17.3	14.5
<u>29,315,445</u>	<u>8,006,956</u>	<i>Total Other</i>	<u>203,123,456</u>	<u>43,147,176</u>	<u>21,790,621</u>	<u>21.2</u>	<u>10.1</u>
<u>35,820,593</u>	<u>13,940,925</u>	<i>Total Grants</i>	<u>288,553,899</u>	<u>59,425,445</u>	<u>37,118,191</u>	<u>20.6</u>	<u>12.6</u>
		G.O. Bond/Secondary Property Tax					
221,394	348,947	Secondary Property Tax	35,442,800	46,663	115,790	0.1	0.2
1,874	(1,539)	Interest	5,138,000	(1,992)	(51,911)	-	(1.1)
<u>223,268</u>	<u>347,408</u>	<i>Total G.O. Bond/Secondary Property Tax</i>	<u>40,580,800</u>	<u>44,671</u>	<u>63,879</u>	<u>0.1</u>	<u>0.1</u>
<u>85,275,275</u>	<u>62,628,421</u>	<i>Total Special Revenue and Debt Service Funds</i>	<u>918,085,646</u>	<u>202,969,506</u>	<u>167,509,974</u>	<u>22.1</u>	<u>18.2</u>
<b><u>Enterprise Funds</u></b>							
<b>AVIATION:</b>							
		Sky Harbor:					
8,716,395	8,070,540	Airlines	123,050,000	25,631,163	24,174,548	20.8	24.4
17,161,933	16,672,336	Concessions	177,863,000	52,608,399	48,243,014	29.6	24.1
127,337	53,068	Interest	450,000	341,603	84,076	75.9	18.0
320,906	313,551	Other	3,341,000	755,893	807,599	22.6	41.8
180,464	147,124	Phoenix-Goodyear	1,679,200	535,745	429,124	31.9	23.2
246,914	238,732	Phoenix-Deer Valley	2,960,000	735,618	705,465	24.9	23.0
(77,225)	2,648,774	Phoenix-Customer Facility Charge	-	(135,348)	8,012,050	100.0	19.3
<u>26,676,724</u>	<u>28,144,125</u>	<i>Total Aviation</i>	<u>309,343,200</u>	<u>80,473,073</u>	<u>82,455,876</u>	<u>26.0</u>	<u>23.7</u>

# Revenues

# Revenues

For the Month Ended September 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date	
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13
<b>Enterprise Funds (Cont'd)</b>							
<b>CONVENTION CENTER:</b>							
3,056,996	2,814,317	Excise Taxes	43,924,000	9,375,492	8,774,842	21.3	21.5
700,114	1,029,653	Operating Revenue	16,280,625	2,305,029	2,943,731	14.2	15.9
17,409	19,390	Interest	338,980	48,524	57,779	14.3	23.1
<u>3,774,519</u>	<u>3,863,360</u>	<i>Total Convention Center</i>	<u>60,543,605</u>	<u>11,729,045</u>	<u>11,776,352</u>	19.4	19.8
<b>WATER SYSTEM:</b>							
\$ 38,854,689	\$ 40,243,832	Water Sales	\$ 385,949,000	\$ 126,068,043	\$ 127,911,951	32.7 %	34.3 %
492,731	564,010	Water Service Fees	6,000,000	1,553,458	1,533,980	25.9	25.7
389,806	320,636	Distribution	3,500,000	1,442,602	1,570,563	41.2	44.1
521,867	627,063	Intergovernmental	6,666,000	1,434,878	1,995,025	21.5	30.2
175,500	177,120	Development Fees	2,600,000	540,300	646,740	20.8	27.7
154,088	140,944	Interest	2,925,000	434,229	418,631	14.8	22.4
555,499	372,232	Other	108,700	824,695	30,205,637	758.7	95.5
<u>41,144,180</u>	<u>42,445,837</u>	<i>Total Water System</i>	<u>407,748,700</u>	<u>132,298,205</u>	<u>164,282,527</u>	32.4	38.6
<b>WASTEWATER:</b>							
15,194,048	14,981,696	Sewer Service Charges	179,686,000	45,386,824	44,870,454	25.3	24.9
1,605,002	1,625,708	Multi-City	16,004,000	4,829,206	4,794,229	30.2	26.1
168,120	169,860	Development Fees	2,500,000	506,400	633,720	20.3	27.8
95,754	107,691	Interest	1,370,000	276,098	330,857	20.2	24.8
1,160,802	1,045,273	Other	11,752,000	3,111,159	2,904,831	26.5	18.9
<u>18,223,726</u>	<u>17,930,228</u>	<i>Total Wastewater</i>	<u>211,312,000</u>	<u>54,109,687</u>	<u>53,534,091</u>	25.6	24.6
<b>SOLID WASTE:</b>							
10,567,747	10,449,831	Collection Fees	125,935,000	31,662,938	31,317,929	25.1	24.9
644,154	841,325	Landfill Fees	6,932,000	2,145,407	1,897,728	30.9	25.9
33,121	27,850	Interest	310,000	90,985	87,315	29.4	25.7
1,520,527	761,600	Other	12,578,700	3,046,218	2,085,079	24.2	20.8
<u>12,765,549</u>	<u>12,080,606</u>	<i>Total Solid Waste</i>	<u>145,755,700</u>	<u>36,945,548</u>	<u>35,388,051</u>	25.3	24.7
<u>102,584,698</u>	<u>104,464,156</u>	<i>Total Enterprise Funds</i>	<u>1,134,703,205</u>	<u>315,555,558</u>	<u>347,436,897</u>	27.8	29.1
<u>\$ 260,558,276</u>	<u>\$ 235,776,983</u>	<i>Total Operating Revenues</i>	<u>\$ 3,104,578,253</u>	<u>\$ 736,559,980</u>	<u>\$ 719,529,173</u>	23.7 %	23.3 %

# Operating Expenditures and Encumbrances

For the Month Ended September 30		Program	Budget Estimate 2013-14	Actual Year-to-Date		2013-14 Year-to-Date Operating Expenditures & Encumbrances	
2013	2012			2013-14	2012-13	Operations	Capital and Debt
<b><u>General Government</u></b>							
\$ 166,989	\$ 137,852	Mayor	\$ 1,755,285	\$ 450,938	\$ 448,297	\$ 450,938	\$ -
314,679	242,111	City Council	3,589,459	876,456	766,901	876,456	-
269,770	218,976	City Manager	2,663,165	869,267	729,856	869,267	-
3,455,315	2,900,948	Information Technology Services	35,059,291	10,767,074	8,506,436	10,345,983	421,091
151,304	325,983	Government Relations	1,304,646	341,746	478,212	341,746	-
177,655	203,741	Public Information	2,660,360	768,329	649,190	768,329	-
206,650	200,964	Equal Opportunity	2,796,854	742,048	640,593	742,048	-
1,705,945	1,501,043	Law	20,550,337	5,392,914	5,069,733	5,392,914	-
138,769	163,537	City Auditor	2,389,388	877,161	748,062	877,161	-
373,174	326,345	City Clerk	5,797,271	1,057,957	1,042,106	1,057,957	-
204,803	9,947	Elections	809,263	549,787	199,199	549,787	-
802,099	849,279	Human Resources	11,410,338	2,522,224	2,729,124	2,326,369	195,855
(8,448)	124,252	Retirement Systems	-	15,707	184,861	15,707	-
8,191	7,278	Phoenix Employment Relations Board	99,285	22,212	20,607	22,212	-
279,849	244,245	Budget and Research	3,053,828	938,521	896,949	938,521	-
1,679,249	1,328,971	Finance	21,961,636	6,252,841	4,459,883	5,979,075	273,766
456,022	525,162	Regional Wireless Cooperative	4,380,637	425,195	26,798	425,195	-
10,382,015	9,310,634	<i>Total General Government</i>	120,281,043	32,870,377	27,596,807	31,979,665	890,712
<b><u>Criminal Justice</u></b>							
2,880,682	2,837,322	Municipal Court	41,968,415	9,931,802	9,740,721	8,372,904	1,558,898
362,175	378,615	Public Defender	4,902,494	1,107,199	1,180,458	1,107,199	-
3,242,857	3,215,937	<i>Total Criminal Justice</i>	46,870,909	11,039,001	10,921,179	9,480,103	1,558,898
<b><u>Public Safety</u></b>							
42,113,839	40,380,560	Police	585,912,700	142,993,959	139,914,247	141,775,175	1,218,784
22,350,622	23,047,063	Fire	297,951,260	72,681,354	73,109,686	71,839,237	842,117
58,379	34,908	Other	476,598	147,782	187,288	147,782	-
64,522,840	63,462,531	<i>Total Public Safety</i>	884,340,558	215,823,095	213,211,221	213,762,194	2,060,901

# Operating Expenditures and Encumbrances

For the Month Ended September 30		Program	Budget Estimate 2013-14	Actual Year-to-Date		2013-14 Year-to-Date Operating Expenditures & Encumbrances	
2013	2012			2013-14	2012-13	Operations	Capital and Debt
<b><u>Transportation</u></b>							
\$ 4,961,086	\$ 4,092,609	Street Transportation	\$ 59,665,604	\$ 15,210,749	\$ 15,285,900	\$ 14,986,332	\$ 224,417
977,529	876,836	Street Lighting	11,172,894	2,833,281	2,622,958	2,833,281	-
22,771,249	24,282,188	Aviation	305,626,250	67,567,001	66,262,961	54,646,044	12,920,957
5,168,229	6,531,355	Public Transit	260,424,196	177,365,709	167,871,731	160,761,252	16,604,457
<u>33,878,093</u>	<u>35,782,988</u>	<i>Total Transportation</i>	<u>636,888,944</u>	<u>262,976,740</u>	<u>252,043,550</u>	<u>233,226,909</u>	<u>29,749,831</u>
<b><u>Community Development</u></b>							
3,221,774	2,723,229	Planning and Development	41,536,572	9,563,445	8,429,101	9,563,445	-
6,679,085	6,344,081	Housing	82,014,323	20,340,347	20,013,621	20,322,357	17,990
4,405,873	3,267,404	Economic Development	33,963,216	13,966,681	10,952,635	5,649,869	8,316,812
4,676,774	6,188,248	Neighborhood Services Department	70,818,138	12,388,281	18,339,175	12,388,281	-
<u>18,983,506</u>	<u>18,522,962</u>	<i>Total Community Development</i>	<u>228,332,249</u>	<u>56,258,754</u>	<u>57,734,532</u>	<u>47,923,952</u>	<u>8,334,802</u>
<b><u>Community Enrichment</u></b>							
8,380,208	8,331,281	Parks and Recreation	102,560,009	27,460,017	28,005,956	26,984,936	475,081
2,739,929	2,325,972	Library	35,798,557	9,118,544	7,781,824	9,118,544	-
569,013	644,933	Golf	8,785,423	1,825,588	1,946,735	1,825,588	-
3,400,375	3,591,939	Convention Center	61,858,418	19,992,432	19,480,196	15,347,108	4,645,324
910,103	989,784	Sports and Cultural Facilities	10,927,120	2,734,800	2,973,662	-	2,734,800
3,822,816	4,172,010	Human Services	62,723,393	12,578,698	12,591,356	12,504,101	74,597
259,689	292,677	Public Parking Facilities	3,919,060	772,573	769,457	772,573	-
57,539	98,882	Other	1,630,435	868,781	762,536	868,781	-
<u>20,139,672</u>	<u>20,447,478</u>	<i>Total Community Enrichment</i>	<u>288,202,415</u>	<u>75,351,433</u>	<u>74,311,722</u>	<u>67,421,631</u>	<u>7,929,802</u>

# Operating Expenditures and Encumbrances

For the Month Ended September 30		Program	Budget Estimate 2013-14	Actual Year-to-Date		2013-14 Year-to-Date Operating Expenditures & Encumbrances	
2013	2012			2013-14	2012-13	Operations	Capital and Debt
<b><u>Environmental Services</u></b>							
\$ 23,865,860	\$ 23,749,589	Water System	\$ 385,100,042	\$ 74,111,845	\$ 83,845,877	\$ 45,621,948	\$ 28,489,897
12,315,352	10,956,826	Wastewater	76,206,190	35,359,260	33,329,842	18,324,007	17,035,253
8,772,244	8,607,807	Solid Waste Management	142,611,487	28,836,720	34,921,968	25,590,325	3,246,395
1,157,316	1,607,695	Public Works	22,863,808	4,455,089	5,605,035	3,161,055	1,294,034
120,614	107,862	Environmental Programs	1,486,777	379,756	435,241	379,756	-
46,231,386	45,029,779	<i>Total Environmental Services</i>	628,268,304	143,142,670	158,137,963	93,077,091	50,065,579
<b><u>General Obligation Debt Service</u></b>							
72,183	92,053	Aviation	866,210	216,551	276,157	-	216,551
759,248	974,296	Cultural Facilities	9,110,990	2,277,744	2,922,886	-	2,277,744
702,208	1,115,885	Downtown Development	8,426,500	2,106,624	3,347,656	-	2,106,624
-	-	Early Redemption	-	-	-	-	-
28,128	22,945	Economic Development	337,530	84,384	68,835	-	84,384
384,512	395,269	Fire Protection	4,614,170	1,153,538	1,185,808	-	1,153,538
57,555	32,236	Freeway Mitigation	690,670	172,666	96,707	-	172,666
406,970	266,724	Historic/Neighborhood Preservation	4,883,650	1,220,909	800,173	-	1,220,909
52,372	52,570	Human Services	628,460	157,116	157,712	-	157,116
600,234	386,093	Information Systems	7,202,830	1,800,702	1,158,279	-	1,800,702
648,768	566,430	Libraries	7,785,230	1,946,302	1,699,290	-	1,946,302
97,785	42,333	Maintenance Service Centers	1,173,410	293,353	126,998	-	293,353
1,207,690	1,235,251	Mountain Preserves/Parks	14,496,710	3,623,072	3,705,754	-	3,623,072
4,183	4,183	Municipal Administration Buildings	50,200	12,550	12,550	-	12,550
605,348	521,429	Police Protection	7,264,190	1,816,044	1,564,288	-	1,816,044
106,592	108,557	Public Housing Renovation	1,279,120	319,777	325,669	-	319,777
238,768	414,712	Sanitary Sewers	2,866,431	716,303	1,244,135	-	716,303
2,200	2,200	Secondary Property Tax	(48,335,390)	2,200	2,200	-	2,200
78,173	39,433	Solid Waste Enterprise Bonds	938,600	234,519	118,300	-	234,519
1,290,460	2,205,373	Storm Sewer Improvements	15,486,000	3,871,380	6,616,120	-	3,871,380
456,658	2,286,009	Street Improvements	5,480,820	1,369,974	6,859,028	-	1,369,974
568	18,768	Street Light Refinancing	6,810	1,703	56,303	-	1,703
599,765	584,667	Water System	7,201,708	1,799,934	1,754,683	-	1,799,934
8,400,368	11,367,416	<i>Total Debt Service</i>	52,454,849	25,197,345	34,099,531	-	25,197,345
22,700,791	18,325,212	<b><u>Capital Improvement</u></b>	531,003,638	52,962,811	38,764,268	-	52,962,811
-	-	<b><u>Contingencies</u></b>	85,863,000	-	-	-	-
\$ 228,481,528	\$ 225,464,937	<b>Total Operating Budget</b>	\$ 3,502,505,909	\$ 875,622,226	\$ 866,820,773	\$ 696,871,545	\$ 178,750,681

# Capital Expenditures and Encumbrances

# Capital Expenditures and Encumbrances

For the Month Ended September 30		Program	2013-14		2012-13	2013-14 Year-to-Date Capital Amounts				Unencumbered Budget
2013	2012		Budget	Actual Year- to-Date	Actual Year- to-Date	From Operating Funds		From Capital Funds		
						Budget	Actual	Budget	Actual	
\$ 184,294	\$ 29,660	Arts and Cultural Facilities	\$ 453,939	\$ 310,796	\$ 7,159,056	\$ 134,803	\$ 7,274	\$ 319,136	\$ 303,522	\$ 143,143
6,827,959	7,247,705	Aviation	320,348,316	34,010,363	27,402,318	34,834,765	2,536,747	285,513,551	31,473,616	286,337,953
609,812	44,066	Economic Development	9,487,984	673,249	341,020	9,225,140	578,306	262,844	94,943	8,814,735
940,870	580,169	Energy Conservation	4,674,297	1,993,086	1,623,679	4,674,297	1,993,086	-	-	2,681,211
1,368,818	2,203,318	Facilities Management	10,021,900	1,560,968	2,564,779	2,222,500	1,223,780	7,799,400	337,188	8,460,932
(738,975)	-	Finance	2,793,402	3,700,000	-	1,536,370	2,035,000	1,257,032	1,665,000	(906,598)
182,845	75,310	Fire Protection	7,548,022	1,006,177	2,249,884	87,000	224	7,461,022	1,005,953	6,541,845
15,465	30,009	Historic Preservation	1,618,109	117,585	950,043	4,759	-	1,613,350	117,585	1,500,524
1,018,736	757,422	Housing	47,238,059	4,508,197	7,698,061	24,852,045	2,683,854	22,386,014	1,824,343	42,729,862
8,646	6,883	Human Services	971,825	370,681	69,171	-	-	971,825	370,681	601,144
1,169,113	7,377	Information Technology	11,575,314	2,085,286	284,433	919,925	105,630	10,655,389	1,979,656	9,490,028
4,881	2,842	Libraries	2,034,035	49,717	107,329	200,000	44,363	1,834,035	5,354	1,984,318
72,605	51,848	Neighborhood Services	7,713,141	267,736	179,710	3,836,994	109,857	3,876,147	157,879	7,445,405
486,172	539,917	Parks and Mountain Preserves	58,973,395	2,467,214	1,013,843	33,873,798	2,020,108	25,099,597	447,106	56,506,181
1,717,504	1,688,759	Phoenix Convention Center	26,370,374	5,633,729	5,102,956	5,921,374	515,775	20,449,000	5,117,954	20,736,645
36,539	8,044	Police Protection	9,166,428	3,513,695	75,767	-	-	9,166,428	3,513,695	5,652,733
1,678,073	11,585,567	Public Transit	107,343,452	7,121,659	12,997,303	79,481,910	6,390,250	27,861,542	731,409	100,221,793
1,300	-	Regional Wireless Cooperative	11,146,109	23,340	-	-	-	11,146,109	23,340	11,122,769
12,620	10,397	Solid Waste Disposal	26,143,039	65,687	20,866	18,479,672	20,925	7,663,367	44,762	26,077,352
8,174,192	6,118,676	Street Transportation & Drainage	218,013,460	18,172,829	11,348,874	79,328,014	14,783,975	138,685,446	3,388,854	199,840,631
1,542,604	856,591	Wastewater	121,032,175	4,566,809	2,216,364	58,073,538	4,411,493	62,958,637	155,316	116,465,366
8,517,919	1,586,239	Water System	200,884,214	13,759,740	8,730,945	173,316,734	13,502,164	27,567,480	257,576	187,124,474
<b>\$ 33,831,992</b>	<b>\$ 33,430,799</b>	<i>Total Capital Improvement Program</i>	<b>\$ 1,205,550,989</b>	<b>\$ 105,978,543</b>	<b>\$ 92,136,401</b>	<b>\$ 531,003,638</b>	<b>\$ 52,962,811</b>	<b>\$ 674,547,351</b>	<b>\$ 53,015,732</b>	<b>\$ 1,099,572,446</b>



# Bonds Authorized and Sold

## As of September 30

(dollars in thousands)

	<u>Authorized</u>	<u>Sold</u>	<u>Available</u>
<b><u>1988 Authorizations</u></b>			
<b>General Obligation Bonds</b>			
Freeway Mitigation, Neighborhood Stabilization, and Slum and Blight Elimination	\$ 29,285	\$ 28,285	\$ 1,000
Bonds Fully Issued	884,175	884,175	-
<i>Total General Obligation Bonds</i>	913,460	912,460	1,000
<b>Revenue Bonds</b>			
Bonds Fully Issued	143,890	143,890	-
<i>Total 1988 Authorizations</i>	<u>1,057,350</u>	<u>1,056,350</u>	<u>1,000</u>
<b><u>2001 Authorization</u></b>			
<b>General Obligation Bonds</b>			
Education, Youth and Family Cultural Facilities	66,300	64,600	1,700
Computer Technology	125,300	124,685	615
Environmental Cleanup	24,800	24,170	630
Fire Protection	61,500	60,700	800
Historic Preservation	12,000	11,205	795
Library Facilities	33,000	32,100	900
Street Improvements	91,500	89,275	2,225
Neighborhood Protection and Senior Centers	74,000	71,645	2,355
Parks, Open Space, and Recreational Facilities	77,000	72,575	4,425
Police Protection	78,800	77,685	1,115
Storm Sewer and Flood Protection	66,000	65,950	50
Bonds Fully Issued	43,700	43,700	-
<i>Total 2001 Authorizations</i>	<u>753,900</u>	<u>738,290</u>	<u>15,610</u>
<b><u>2006 Authorization</u></b>			
<b>General Obligation Bonds</b>			
Affordable Housing and Neighborhood Revitalization	81,000	63,385	17,615
Computer Technology	11,100	8,510	2,590
Education and Health Science Facilities	198,700	190,610	8,090
Environmental Cleanup	12,800	8,345	4,455
Family, Senior, and Youth Cultural Facilities	104,622	85,510	19,112
Fire Protection	74,705	61,200	13,505
Library Facilities and Improvements	29,178	21,100	8,078
Parks, Open Space, and Recreational Facilities	115,500	102,290	13,210
Police Protection	107,295	81,900	25,395
Street Improvements	78,200	58,135	20,065
Storm Sewer and Flood Protection	65,400	61,770	3,630
<i>Total 2006 Authorizations</i>	<u>878,500</u>	<u>742,755</u>	<u>135,745</u>
<i>Total Bond Program</i>	<u>\$ 2,689,750</u>	<u>\$ 2,537,395</u>	<u>\$ 152,355</u>