

***City of Phoenix, Arizona***

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***Monthly Financial Report***

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***November 2013***

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## City of Phoenix

FINANCE DEPARTMENT  
FINANCIAL ACCOUNTING AND REPORTING DIVISION

December 17, 2013

To the Mayor and City Council:

This is the City's Financial Report for November, the fifth month of fiscal year 2013-14. This report consists of three sections:

### General Funds

**Summary** presents comparisons of year-to-date balances to the fiscal year budget and to the actual results for the prior year for the General Funds.

### Citywide

**Summary** presents the City's summarized comparisons of the year-to-date balances to the fiscal year budget and to the actual results for the prior year.

**Detail** presents, in detail, the results of the City's operations for the month of November and for the fiscal year-to-date. Included are breakdowns of the City's revenues, operating budget expenditures, capital budget expenditures and bonds authorized and sold.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Neal Young".

Neal Young  
Acting Chief Financial Officer

A handwritten signature in black ink, appearing to read "Bill Greene".

Bill Greene  
City Auditor

A handwritten signature in black ink, appearing to read "Sean Kindell".

Sean Kindell  
Deputy Finance Director

Prepared by the Financial  
Accounting & Reporting Division

# Monthly Financial Report – November 2013

## Executive Summary

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### Budget

- The budget amounts in this report represent the official adopted budget, as approved by the City Council, for fiscal year 2013-14.

### Revenues

- Local Taxes and the City's portion of State Shared Taxes comprise the major portion, approximately 75 percent, of estimated General Fund revenues. November 2013 year to date local sales tax revenues were \$8.0 million higher than through the prior November, an increase of 4.7%. State Shared Taxes were \$10.4 million higher compared to the same period in the prior year, an increase of 8.2%. Overall, General Fund revenues at the end of November 2013 are 5.5% higher than November 2012. (*see page 2*)
- The G.O. Bond/Secondary Property Tax Fund year to date revenues for November 2013 were \$10.0 million less than November 2012 reflecting the City policy to maintain the total property tax rate by increasing the primary rate to meet operating obligations and correspondingly decreasing the secondary rate. Overall, revenues for the Special Revenue and Debt Service funds increased by \$26.2 million (8.2%) through November 2013 as compared to November 2012. This was primarily due to increases in public transit federal grant receipts, transit revenues, and building safety permit fees. (*see page 7*)
- For fiscal year 2014, Aviation Customer Facility Charges (CFC) are being recorded in capital funds rather than operating funds. Through November 2012 the CFC revenues totaled \$13.9 million. For all other operating revenues, Aviation has seen an increase of \$8.0 million (6.4%). Not including the water department sale of property in McMullen Valley for \$29.7 million in July 2012, the operating revenues for the other enterprise funds have remained stable with less than a 1.0% variance at the end of November 2013 as compared to November 2012. (*see page 7*)

### Expenditures

- General Fund expenditures were essentially unchanged at the end of November 2013 as compared to the prior November.
- Operating expenditure savings in Public Safety, Neighborhood Services, Water System, Solid Waste and Debt Service were offset by increased operating expenditures for Capital Improvement. Total citywide operating expenditures were essentially unchanged at the end of November 2013 as compared to the prior November. (*See pages 5 & 9*)

- Capital expenditures through November 2013 were \$74.8 million higher than the same period in the prior fiscal year, an increase of 44.4%. Aviation, Street Transportation, and Information Technology had the largest increases in capital spending. These were partially offset by decreased spending in Arts and Cultural Facilities, Fire Protection and Housing.

# **General Funds Summary**

# Summary

# General Funds

## As of November 30

(dollars in thousands)

	Budget	Actual		% of Year-to-Date	
	Estimate	Year-to-Date		Estimate	Actual
	2013-14	2013-14	2012-13	2013-14	2012-13
<b>Revenues</b>					
Local Taxes					
Sales Taxes	\$ 442,309	\$ 177,253	\$ 169,302	40.1 %	41.6 %
Privilege License Fees	2,363	663	545	28.1	23.2
State Shared Taxes					
State Sales Tax	127,448	49,531	46,587	38.9	39.2
State Income Tax	160,852	67,201	61,529	41.8	41.7
Vehicle License Tax	51,150	21,276	19,444	41.6	40.2
Primary Property Tax	142,849	46,998	45,127	32.9	34.2
Licenses and Permits	2,830	980	955	34.6	35.3
Cable Communications	9,525	2,430	2,435	25.5	25.6
Municipal Court					
Fines and Forfeitures	19,312	6,728	7,440	34.8	39.3
Court Default Fee	1,210	314	351	26.0	32.3
Police	13,266	4,236	4,112	31.9	32.4
Library	1,362	378	422	27.8	42.0
Parks and Recreation	7,912	2,861	3,219	36.2	39.1
Planning & Development	1,058	551	563	52.1	45.0
Street Transportation	3,975	1,494	1,694	37.6	40.4
Fire					
Emergency Transportation Service	37,900	12,672	13,827	33.4	41.0
Other	12,451	5,260	4,493	42.2	36.3
Interest	2,200	717	625	32.6	43.4
Other Fees and Service Charges	11,817	7,042	4,781	59.6	34.6
<b>Total Revenues</b>	<b>\$ 1,051,789</b>	<b>\$ 408,585</b>	<b>\$ 387,451</b>	<b>38.8 %</b>	<b>39.6 %</b>

# Summary

# General Funds

## As of November 30

(dollars in thousands)

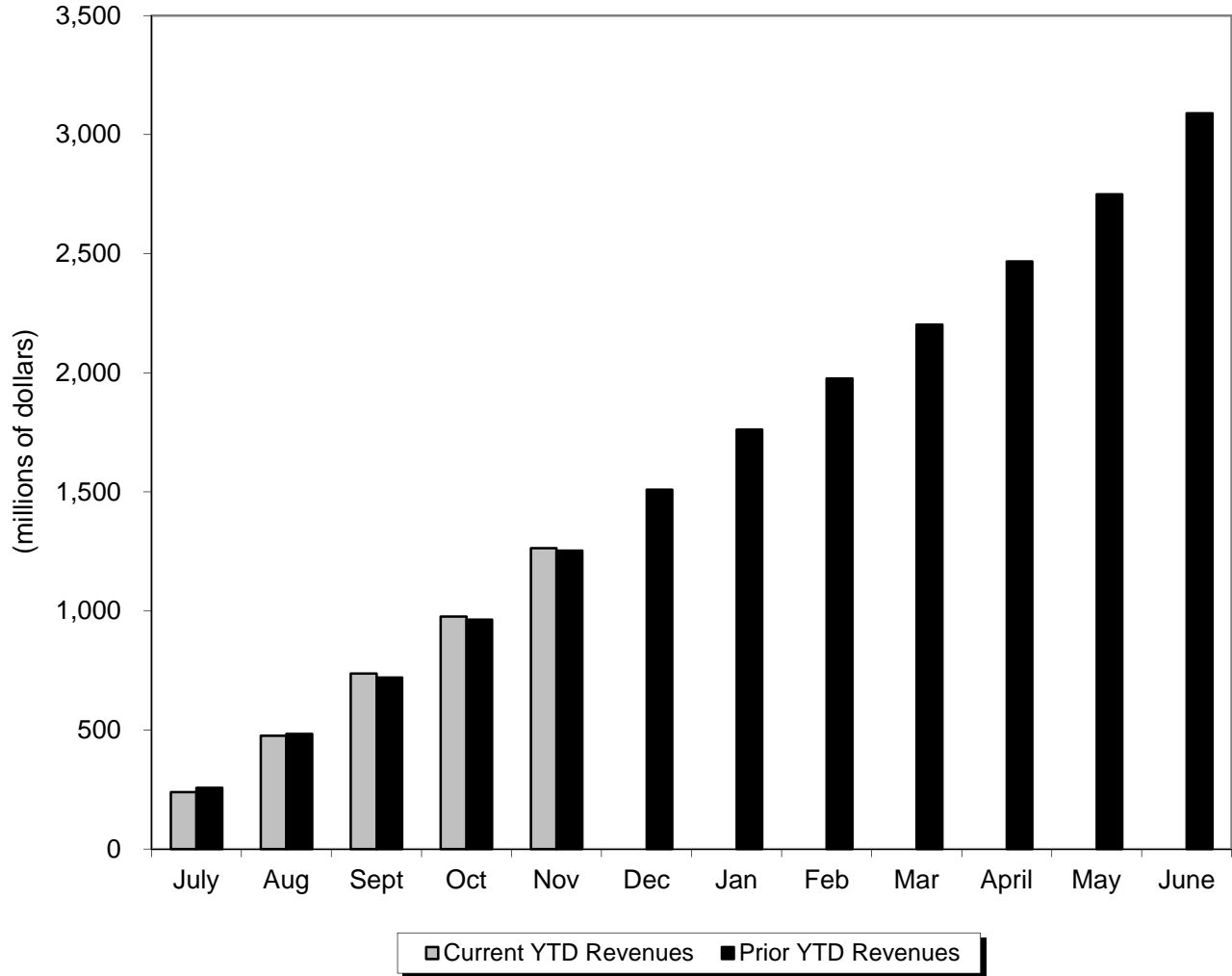
	Budget		Actual		% of Year-to-Date	
	Estimate	Year-to-Date	Year-to-Date	Estimate	Actual	
	2013-14	2013-14	2012-13	2013-14	2012-13	
<b>Expenditures and Encumbrances</b>						
General Government						
Mayor	\$ 1,755	\$ 727	\$ 738	41.4 %	44.2 %	
City Council	3,590	1,413	1,366	39.4	42.3	
City Manager	2,339	1,639	1,261	70.1	65.5	
Information Technology Services	32,781	15,669	14,304	47.8	43.6	
Public Information	2,319	1,109	1,033	47.8	42.3	
Equal Opportunity	2,367	983	942	41.5	41.6	
Law	19,542	8,528	8,365	43.6	42.3	
City Auditor	2,389	1,247	1,068	52.2	54.8	
City Clerk	5,797	1,893	1,972	32.7	42.0	
Human Resources	10,225	3,870	4,152	37.8	42.7	
Budget and Research	3,054	1,503	1,486	49.2	50.9	
Finance	18,061	8,394	7,097	46.5	43.4	
Others	2,213	1,496	1,094	67.6	44.1	
Total General Government	106,432	48,471	44,878	45.5	43.9	
Criminal Justice						
Municipal Court	29,395	12,288	12,526	41.8	43.7	
Public Defender	4,903	1,832	1,942	37.4	40.8	
Total Criminal Justice	34,298	14,120	14,468	41.2	43.3	
Public Safety						
Police	462,407	191,334	187,530	41.4	42.2	
Fire	250,693	102,744	102,755	41.0	42.9	
Others	22	(47)	5	(213.6)	32.2	
Total Public Safety	713,122	294,031	290,290	41.2	42.4	
Transportation						
Street Transportation	22,097	8,707	9,662	39.4	51.5	
Public Transit	19,520	6,319	8,111	32.4	41.8	
Total Transportation	41,617	15,026	17,773	36.1	46.6	
Community Development						
Economic Development	5,134	2,057	1,754	40.1	41.8	
Neighborhood Services Department	12,337	5,180	4,924	42.0	43.3	
Planning and Development	5,026	1,998	2,100	39.8	48.7	
Others	54	20	20	37.0	41.7	
Total Community Development	22,551	9,255	8,798	41.0	44.2	
Community Enrichment						
Parks and Recreation	94,371	39,769	40,890	42.1	46.7	
Library	35,478	14,392	14,094	40.6	42.0	
Human Services	18,711	7,893	8,283	42.2	46.5	
Others	2,942	1,257	1,261	42.7	54.9	
Total Community Enrichment	151,502	63,311	64,528	41.8	45.7	
Environmental Services						
Public Works	16,554	5,387	8,265	32.5	56.8	
Environmental Programs	984	325	397	33.0	49.8	
Total Environmental Services	17,538	5,712	8,662	32.6	56.4	
Capital Improvement	2,442	369	856	15.1	27.0	
Contingencies	38,313	-	-	-	-	
Total Expenditures and Encumbrances	\$ 1,127,815	\$ 450,295	\$ 450,253	39.9 %	43.4 %	

# **Citywide Summary**



**YEAR-TO-DATE REVENUES**

As of November 30, 2013



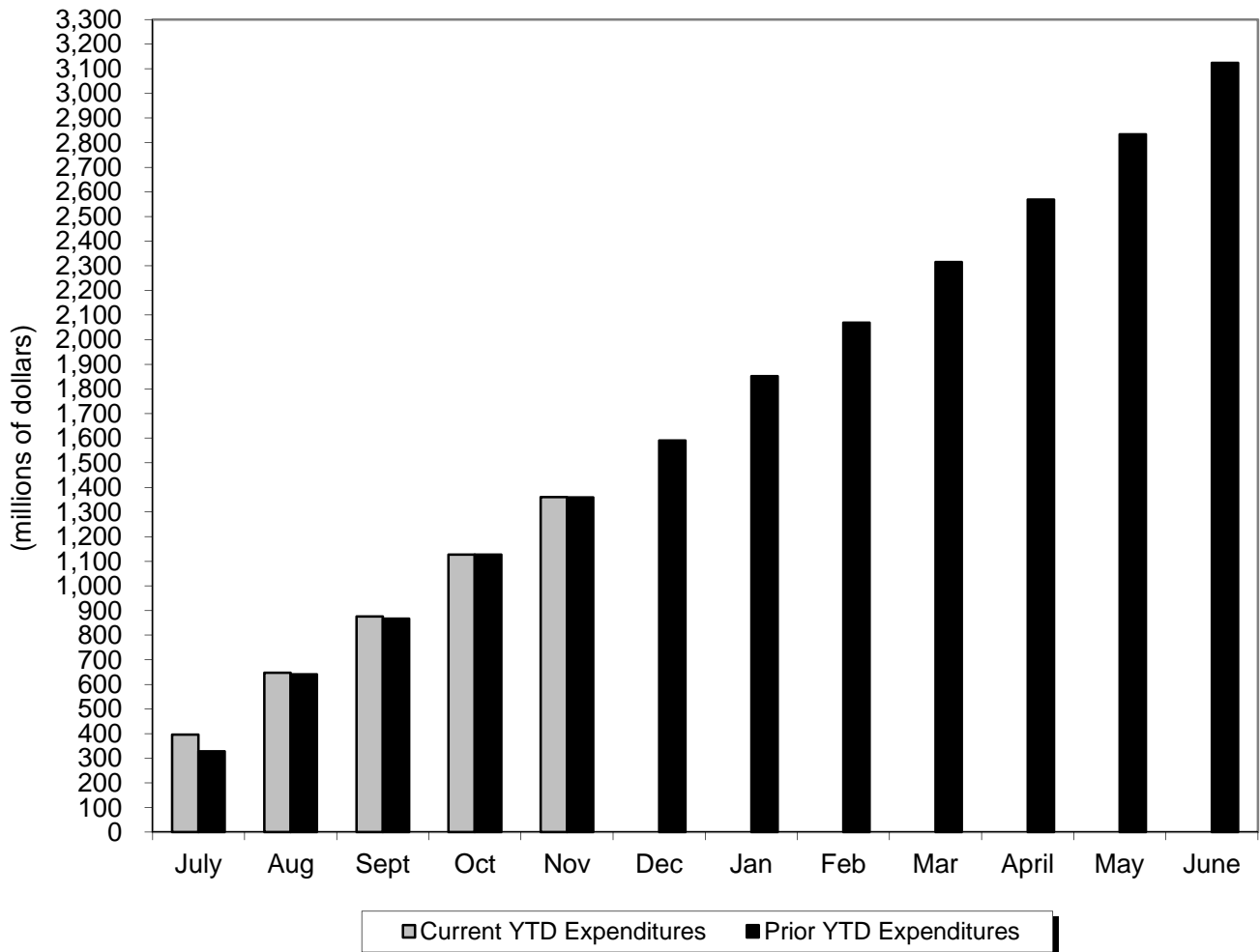
**Revenues**

For the entire City, including enterprise funds such as Water Services and Aviation, year-to-date revenues as of November 30 increased by \$9,827,873 to \$1,262,791,112 from the \$1,252,963,239 collected during the same period last year, an increase of 0.8%.

For the current year, 40.7% of the total estimated revenue has been collected. Of the total revenues received last year, 40.6% had been collected as of November 30.

**YEAR-TO-DATE OPERATING EXPENDITURES**

As of November 30, 2013



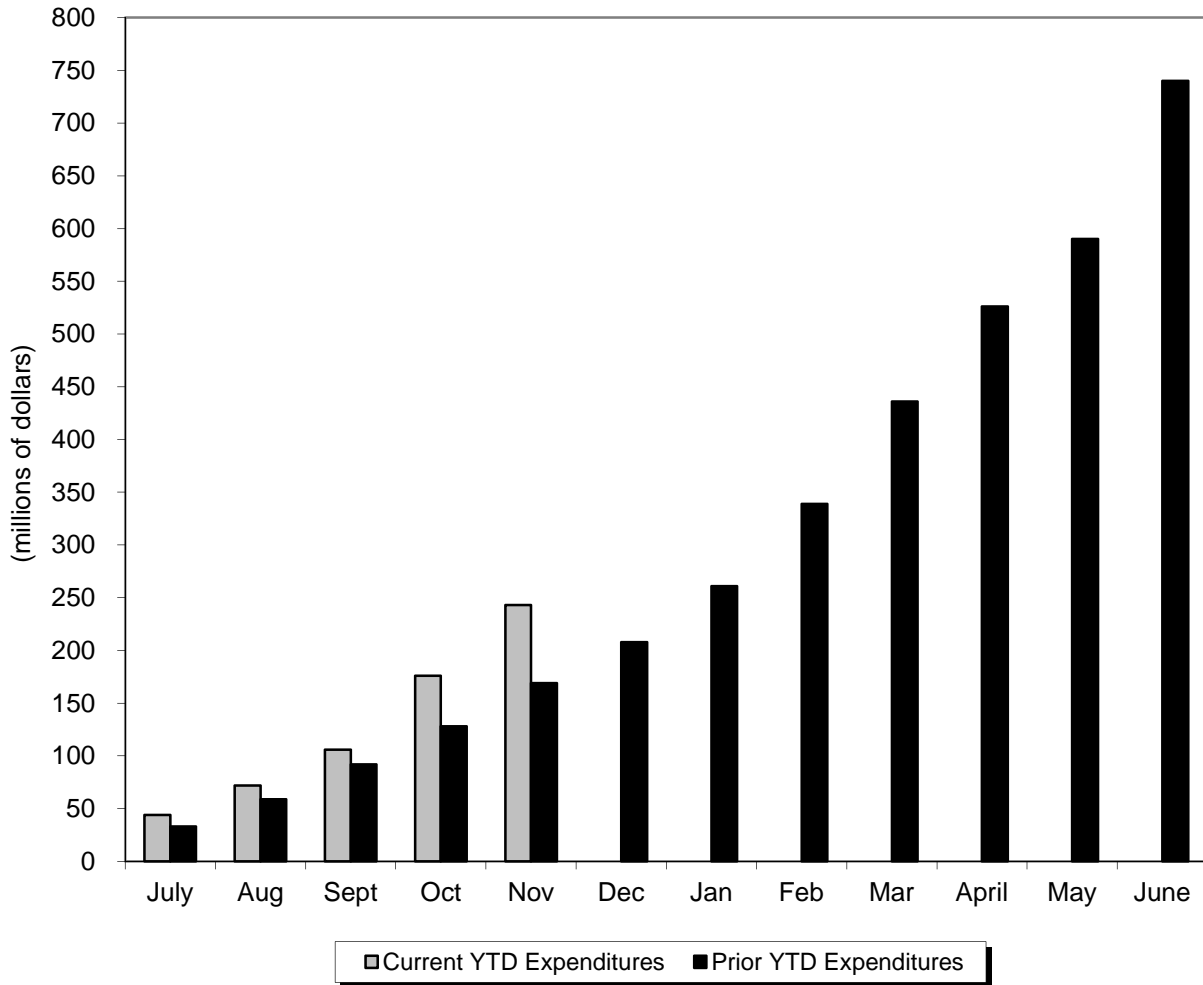
**Operating Expenditures**

For the entire City, including enterprise funds such as Water Services and Aviation, year-to-date operating expenditures as of November 30 increased \$1,976,877 to \$1,360,916,828 from the \$1,358,939,951 spent during the same period last year, an increase of 0.1%.

For the current year, 38.9% of the total appropriations has been spent. Of the total actual expenditures for last year, 43.5% had been spent as of November 30.

**YEAR-TO-DATE CAPITAL EXPENDITURES**

As of November 30, 2013



**Capital Expenditures**

For the entire City, including enterprise funds such as Water Services and Aviation, year-to-date capital expenditures as of November 30 increased \$74,760,036 to \$243,271,209 from the \$168,511,173 spent during the same period last year, an increase of 44.4%.

For the current year, 20.2% of the total appropriations has been spent. Of the total actual expenditures for last year, 22.8% had been spent as of November 30.

# Summary

# Operating Revenues

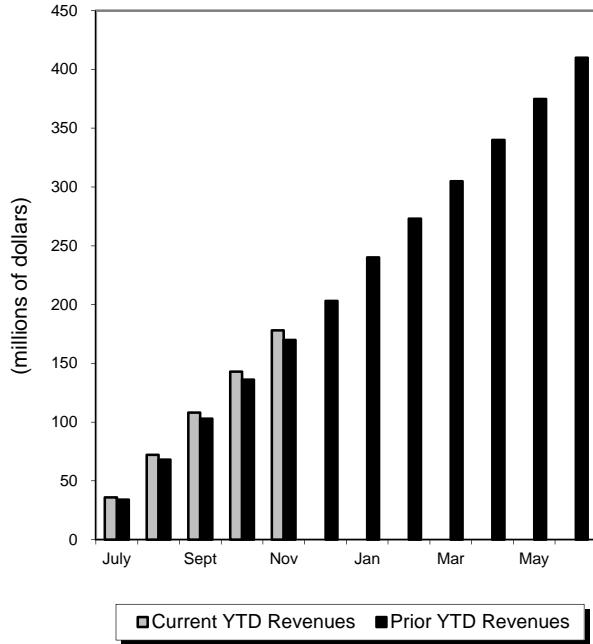
**As of November 30**

*(dollars in thousands)*

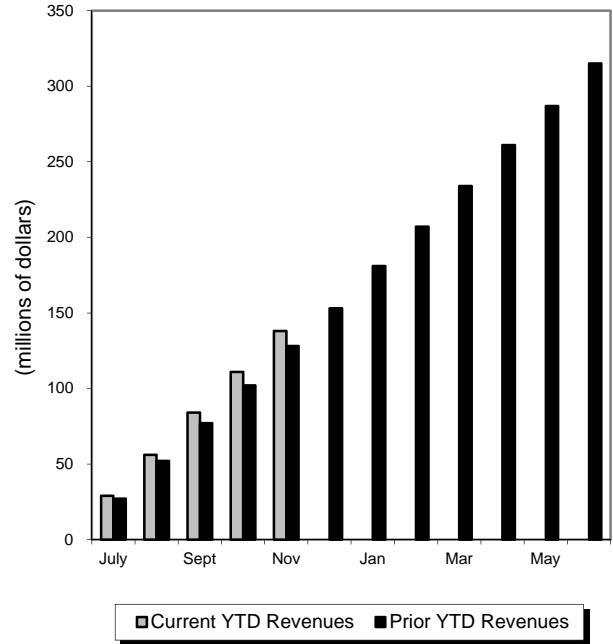
Source	Budget Estimate	Actual Year-to-Date		% of Year-to-Date	
	2013-14	2013-14	2012-13	2013-14	2012-13
<b>General Funds</b>					
Local Taxes:					
Sales Taxes	\$ 442,309	\$ 177,253	\$ 169,302	40.1 %	41.6 %
Privilege License Fees	2,363	663	545	28.1	23.2
State Shared Taxes:					
State Sales Tax	127,448	49,531	46,587	38.9	39.2
State Income Tax	160,852	67,201	61,529	41.8	41.7
Vehicle License Tax	51,150	21,276	19,444	41.6	40.2
Primary Property Tax	142,849	46,998	45,127	32.9	34.2
Licenses and Permits	2,830	980	955	34.6	35.3
Cable Communications	9,525	2,430	2,435	25.5	25.6
Municipal Court	20,522	7,042	7,791	34.3	38.9
Police	13,266	4,236	4,112	31.9	32.4
Library Fees	1,362	378	422	27.8	42.0
Parks and Recreation	7,912	2,861	3,219	36.2	39.1
Planning & Development	1,058	551	563	52.1	45.0
Street Transportation	3,975	1,494	1,694	37.6	40.4
Fire	50,351	17,932	18,320	35.6	39.8
Interest	2,200	717	625	32.6	43.4
Other Fees and Service Charges	11,817	7,042	4,781	59.6	34.6
<b>Total General Funds</b>	<b>1,051,789</b>	<b>408,585</b>	<b>387,451</b>	<b>38.8</b>	<b>39.6</b>
<b>Special Revenue and Debt Service Funds</b>					
Neighborhood Protection	30,240	11,725	10,941	38.8	39.5
Public Safety Enhancement & Expansion	85,231	35,693	33,891	41.9	42.6
Parks and Preserves	30,399	11,646	10,905	38.3	39.3
Golf Courses	8,056	1,777	1,875	22.1	22.5
Transit 2000	171,171	69,220	64,641	40.4	39.6
Court Awards	15,377	6,201	4,120	40.3	41.9
Planning and Development	36,720	20,977	16,526	57.1	40.9
Capital Construction	17,226	6,508	6,950	37.8	42.2
Sports Facilities	15,829	5,268	5,153	33.3	33.8
Highway User Revenue	100,600	39,190	37,716	39.0	38.1
Regional Transit Revenues	41,867	10,359	10,719	24.7	45.1
Community Reinvestment	4,862	1,992	1,927	41.0	39.8
Other Restricted Fees	31,368	13,956	15,282	44.5	37.6
Grants	288,554	98,810	76,526	34.2	26.0
G.O. Bond/Secondary Property Tax	40,581	11,332	21,323	27.9	31.9
<b>Total Special Revenue and Debt Service Funds</b>	<b>918,081</b>	<b>344,654</b>	<b>318,495</b>	<b>37.5</b>	<b>34.7</b>
<b>Enterprise Funds</b>					
Aviation	309,343	133,200	139,051	43.1	40.0
Convention Center	60,544	20,575	21,491	34.0	36.0
Water System	407,753	201,989	234,817	49.5	55.2
Wastewater	211,312	92,360	92,177	43.7	42.3
Solid Waste	145,756	61,428	59,481	42.1	41.5
<b>Total Enterprise Funds</b>	<b>1,134,708</b>	<b>509,552</b>	<b>547,017</b>	<b>44.9</b>	<b>45.8</b>
<b>Total Operating Revenues</b>	<b>\$ 3,104,578</b>	<b>\$ 1,262,791</b>	<b>\$ 1,252,963</b>	<b>40.7 %</b>	<b>40.6 %</b>

## As of November 30, 2013

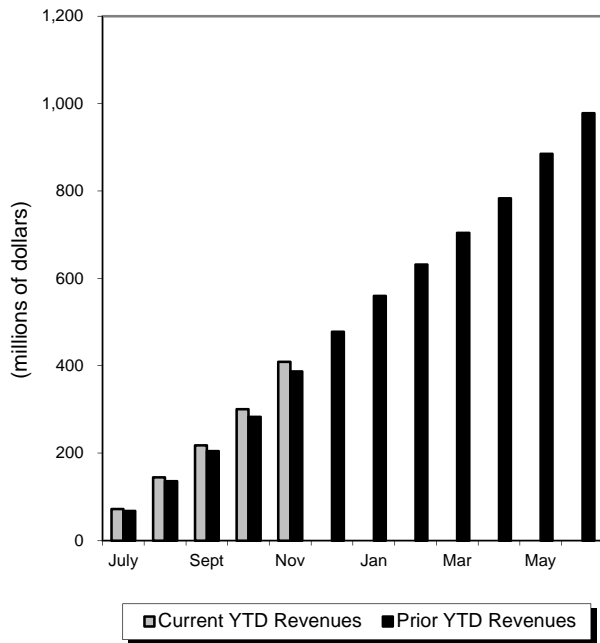
### General Funds Local Taxes



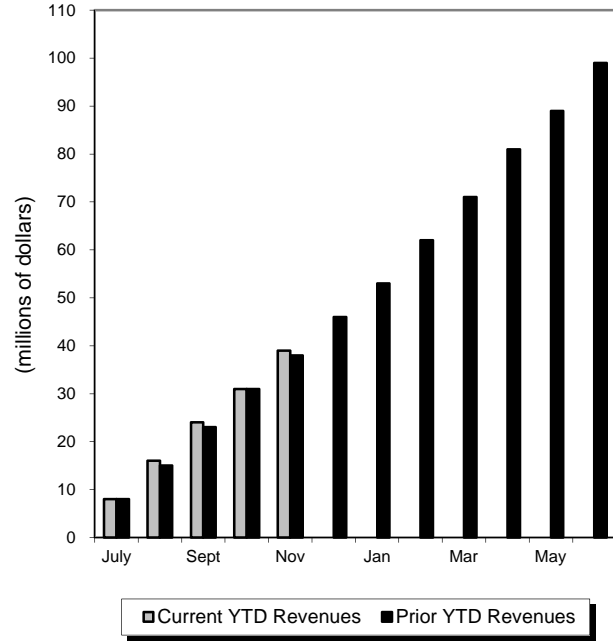
### General Funds State Shared Taxes



### Total General Funds Revenues



### Highway User Revenues



# Summary Operating Expenditures and Encumbrances

**As of November 30**

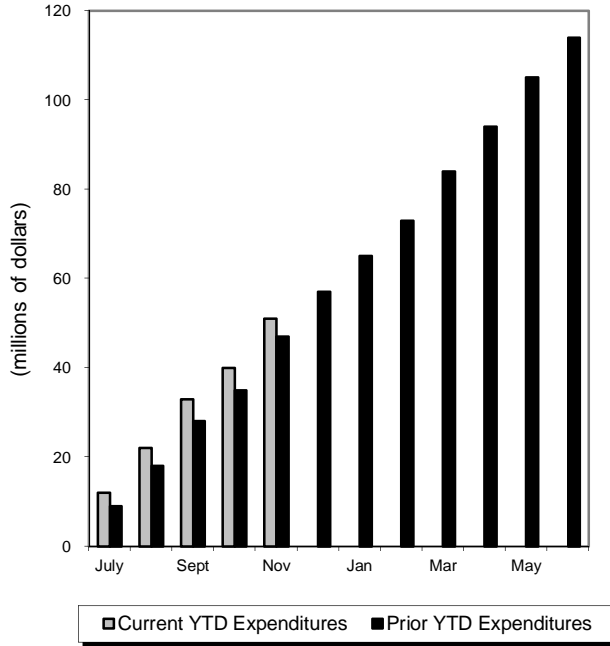
*(dollars in thousands)*

Source	Budget 2013-14	Actual		% of Year-to-Date	
		Year-to-Date		Estimate	Actual
		2013-14	2012-13	2013-14	2012-13
General Government					
General Funds	\$ 106,432	\$ 48,471	\$ 44,878	45.5 %	43.9 %
Other Funds	13,849	2,087	1,918	15.1	16.2
Total General Government	<u>120,281</u>	<u>50,558</u>	<u>46,796</u>	42.0	41.0
Criminal Justice					
General Funds	34,298	14,120	14,468	41.2	43.3
Other Funds	12,573	3,494	3,354	27.8	42.8
Total Criminal Justice	<u>46,871</u>	<u>17,614</u>	<u>17,822</u>	37.6	43.2
Public Safety					
General Funds	713,122	294,031	290,290	41.2	42.4
Other Funds	171,219	60,001	66,725	35.0	42.3
Total Public Safety	<u>884,341</u>	<u>354,032</u>	<u>357,015</u>	40.0	42.4
Transportation					
General Funds	41,617	15,026	17,773	36.1	46.6
Other Funds	595,272	318,829	316,056	53.6	58.1
Total Transportation	<u>636,889</u>	<u>333,855</u>	<u>333,829</u>	52.4	57.3
Community Development					
General Funds	22,551	9,255	8,798	41.0	44.2
Other Funds	205,781	82,054	87,389	39.9	46.1
Total Community Development	<u>228,332</u>	<u>91,309</u>	<u>96,187</u>	40.0	45.9
Community Enrichment					
General Funds	151,502	63,311	64,528	41.8	45.7
Other Funds	136,700	54,647	53,947	40.0	40.9
Total Community Enrichment	<u>288,202</u>	<u>117,958</u>	<u>118,475</u>	40.9	43.4
Environmental Services					
General Funds	17,538	5,712	8,662	32.6	56.4
Other Funds	610,730	235,312	240,551	38.5	43.8
Total Environmental Services	<u>628,268</u>	<u>241,024</u>	<u>249,213</u>	38.4	44.2
Debt Service					
General Funds	-	-	-	-	-
Other Funds	52,455	41,994	56,948	80.1	41.5
Total Debt Service	<u>52,455</u>	<u>41,994</u>	<u>56,948</u>	80.1	41.5
Capital Improvement					
General Funds	2,442	369	856	15.1	27.0
Other Funds	528,562	112,203	81,799	21.2	22.9
Total Capital Improvement	<u>531,004</u>	<u>112,572</u>	<u>82,655</u>	21.2	23.0
Contingencies					
General Funds	38,313	-	-	-	-
Other Funds	47,550	-	-	-	-
Total Contingencies	<u>85,863</u>	<u>-</u>	<u>-</u>	-	-
Total Operating					
General Funds	1,127,815	450,295	450,253	39.9	43.4
Other Funds	2,374,691	910,621	908,687	38.3	43.6
Total Operating Budget	<u>\$ 3,502,506</u>	<u>\$ 1,360,916</u>	<u>\$ 1,358,940</u>	38.9 %	43.5 %

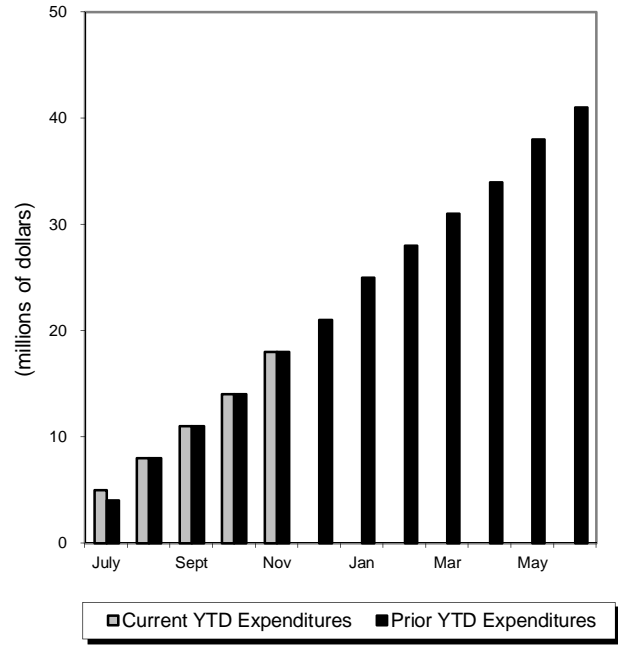
# Summary Operating Expenditures and Encumbrances

As of November 30, 2013

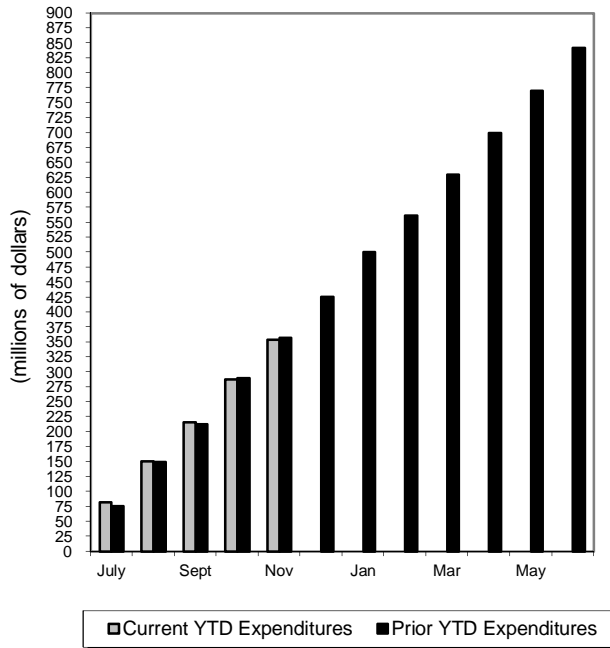
Total General Government



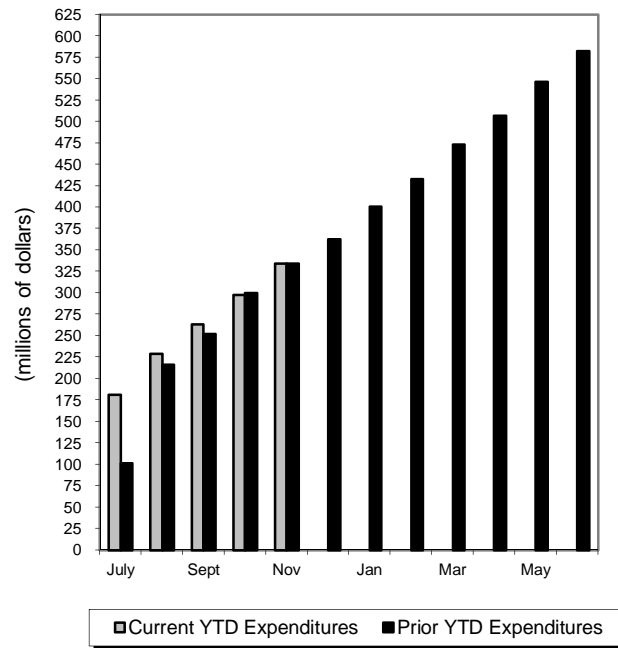
Total Criminal Justice



Total Public Safety



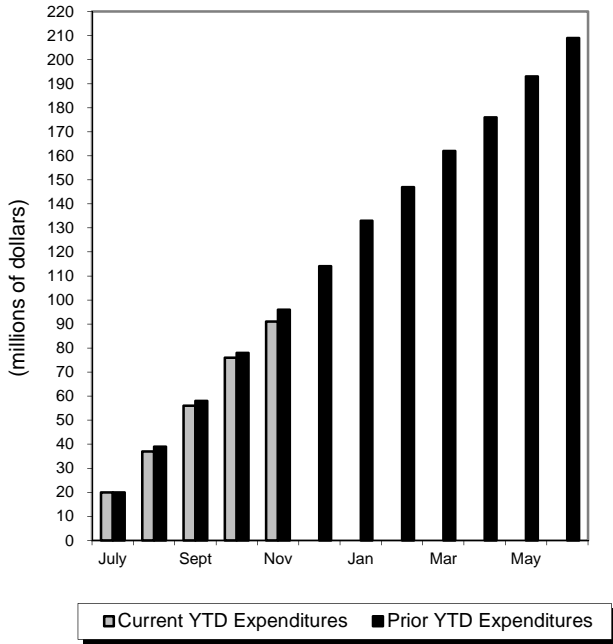
Total Transportation



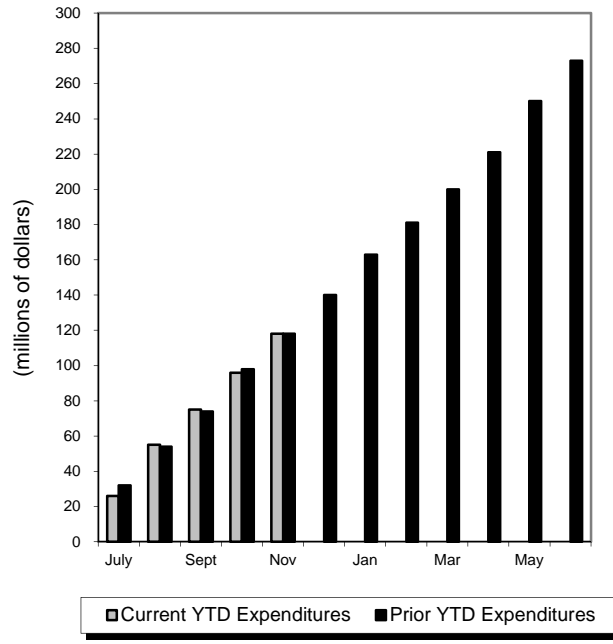
# Summary Operating Expenditures and Encumbrances

As of November 30, 2013

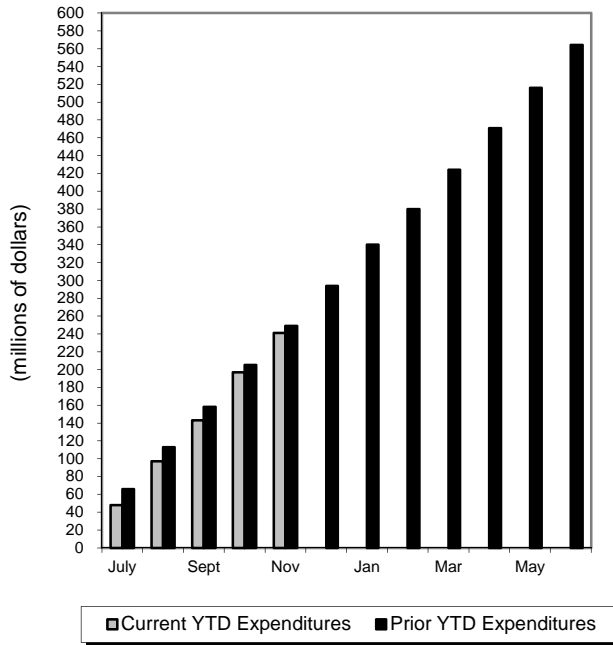
**Total Community Development**



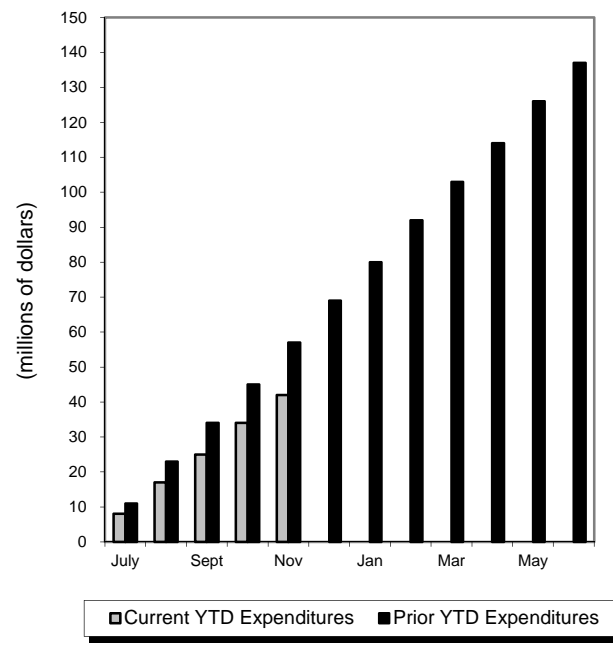
**Total Community Enrichment**



**Total Environmental Services**



**Total Debt Service**





# **Citywide Detail**

# Revenues

# Revenues

For the Month Ended November 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date	
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13
<b>General Funds</b>							
Local Taxes:							
\$ 34,362,907	\$ 33,430,152	Sales Taxes	\$ 442,309,000	\$ 177,253,394	\$ 169,302,324	40.1 %	41.6 %
301,933	244,659	Privilege License Fees	2,363,000	663,219	545,021	28.1	23.2
<u>34,664,840</u>	<u>33,674,811</u>	<i>Total Local Taxes</i>	<u>444,672,000</u>	<u>177,916,613</u>	<u>169,847,345</u>	40.0	41.5
State Shared Taxes:							
9,672,528	9,456,005	State Sales Tax	127,448,000	49,531,470	46,587,393	38.9	39.2
13,440,181	12,305,683	State Income Tax	160,852,000	67,200,905	61,528,417	41.8	41.7
3,957,809	3,581,722	Vehicle License Tax	51,150,000	21,275,889	19,444,305	41.6	40.2
<u>27,070,518</u>	<u>25,343,410</u>	<i>Total State Shared Taxes</i>	<u>339,450,000</u>	<u>138,008,264</u>	<u>127,560,115</u>	40.7	40.5
37,575,817	36,665,003	Primary Property Tax	142,849,000	46,998,407	45,127,032	32.9	34.2
140,263	130,052	Licenses and Permits	2,830,000	979,603	954,547	34.6	35.3
-	-	Cable Communications	9,525,232	2,430,105	2,434,671	25.5	25.6
Municipal Court:							
1,220,524	1,356,166	Fines and Forfeitures	19,312,290	6,728,515	7,439,690	34.8	39.3
53,081	64,006	Court Default Fee	1,210,000	313,537	351,400	25.9	32.3
<u>1,273,605</u>	<u>1,420,172</u>	<i>Total Municipal Court</i>	<u>20,522,290</u>	<u>7,042,052</u>	<u>7,791,090</u>	34.3	38.9
1,106,144	1,029,987	Police	13,266,040	4,235,587	4,112,057	31.9	32.4
58,845	73,375	Library Fees	1,362,000	377,722	422,148	27.7	42.0
503,526	537,810	Parks and Recreation	7,911,605	2,860,866	3,218,886	36.2	39.1
105,631	94,518	Planning and Development	1,058,400	551,102	562,955	52.1	45.0
227,767	184,707	Street Transportation	3,975,000	1,493,420	1,694,240	37.6	40.4
Fire:							
2,640,396	2,432,090	Emergency Transportation Service	37,900,000	12,672,360	13,827,334	33.4	41.0
66,100	46,600	Hazardous Materials Inspection Fee	1,150,000	258,325	239,497	22.5	19.4
2,192,791	194,563	Other	11,300,885	5,001,500	4,253,371	44.3	38.2
<u>4,899,287</u>	<u>2,673,253</u>	<i>Total Fire</i>	<u>50,350,885</u>	<u>17,932,185</u>	<u>18,320,202</u>	35.6	39.8
154,651	126,534	Interest	2,200,000	716,663	624,686	32.6	43.4
(6,195)	2,021,543	Other Fees and Service Charges	11,816,950	7,042,225	4,781,439	59.6	34.6
<u>107,774,699</u>	<u>103,975,175</u>	<i>Total General Funds</i>	<u>1,051,789,402</u>	<u>408,584,814</u>	<u>387,451,413</u>	38.8	39.6

# Revenues

# Revenues

For the Month Ended November 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date					
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13				
<b><u>Special Revenue and Debt Service Funds</u></b>											
\$	1,652,558	\$	1,559,159	\$	21,066,000	\$	8,094,363	\$	7,561,010	38.4 %	39.3 %
	118,040		111,368		1,505,000		578,169		540,072	38.4	39.3
	590,200		556,842		7,523,000		2,890,844		2,700,361	38.4	39.3
	7,375		39		145,500		161,911		139,144	111.3	91.1
	<u>2,368,173</u>		<u>2,227,408</u>		<u>30,239,500</u>		<u>11,725,287</u>		<u>10,940,587</u>	<u>38.8</u>	<u>39.5</u>
<b>Neighborhood Protection:</b>											
Police Neighborhood Protection											
Police Blockwatch											
Fire Neighborhood Protection											
Interest/Other											
<i>Total Neighborhood Protection</i>											
<b>Public Safety Enhancement &amp; Expansion:</b>											
Police											
Fire											
Interest/Other											
<i>Total Public Safety Enhancement &amp; Expansion</i>											
Parks and Preserves											
Golf Courses:											
Fees											
Coffee Shops											
Concessions											
Interest											
Other											
<i>Total Golf Courses</i>											
Transit 2000:											
Sales Taxes											
Interest/Other											
<i>Total Transit 2000</i>											
Court Awards											
Planning and Development											
Capital Construction											
Sports Facilities:											
Sales Taxes											
Interest/Other											
<i>Total Sports Facilities</i>											

# Revenues

# Revenues

For the Month Ended November 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date	
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13
<b><u>Special Revenue and Debt Service Funds (Cont'd)</u></b>							
7,630,443	7,105,933	Highway User Revenue:					
19,708	12,047	Highway User Revenue	100,150,000	38,780,190	37,640,550	38.7	38.1
56,150	1,864	Interest	250,000	82,934	67,389	33.2	38.1
		Other	200,000	327,323	8,303	163.7	16.3
7,706,301	7,119,844	<i>Total Highway User Revenue</i>	100,600,000	39,190,447	37,716,242	39.0	38.1
2,599,518	2,076,897	Regional Transit Revenues	41,867,049	10,359,530	10,718,518	24.7	45.1
25,884	32,780	Community Reinvestment	4,861,901	1,991,922	1,926,673	41.0	39.8
3,399,026	3,731,427	Other Restricted Fees	31,368,544	13,955,503	15,282,246	44.5	37.6
		Grants:					
		Public Housing:					
\$ 516,988	\$ 655,285	Rentals	\$ 6,952,965	\$ 2,657,938	\$ 2,941,470	38.2 %	42.3 %
8,676,123	5,305,969	Grants	73,208,080	25,558,556	25,986,091	34.9	37.3
907,189	27,752	Other	5,269,398	2,761,649	(498,480)	52.4	(20.7)
10,100,300	5,989,006	<i>Total Public Housing</i>	85,430,443	30,978,143	28,429,081	36.3	36.0
		Other:					
3,208,010	4,663,780	Human Resources	42,441,013	10,578,615	10,384,845	24.9	24.5
4,267,380	591,612	Federal Transit Administration	59,918,966	26,299,663	1,245,053	43.9	2.1
4,904,673	4,663,845	Community Development	33,269,864	9,855,389	8,791,312	29.6	57.1
4,976,593	6,441,757	Other	67,493,613	21,098,352	27,676,187	31.3	28.3
17,356,656	16,360,994	<i>Total Other</i>	203,123,456	67,832,019	48,097,397	33.4	22.3
27,456,956	22,350,000	<i>Total Grants</i>	288,553,899	98,810,162	76,526,478	34.2	26.0
		G.O. Bond/Secondary Property Tax					
9,069,278	17,425,844	Secondary Property Tax	35,442,800	11,330,733	21,371,585	32.0	34.5
3,034	4,213	Interest	5,138,000	1,439	(48,264)	-	(1.0)
9,072,312	17,430,057	<i>Total G.O. Bond/Secondary Property Tax</i>	40,580,800	11,332,172	21,323,321	27.9	31.9
80,685,848	84,186,912	<i>Total Special Revenue and Debt Service Funds</i>	918,081,346	344,653,625	318,495,239	37.5	34.7
<b><u>Enterprise Funds</u></b>							
<b>AVIATION:</b>							
		Sky Harbor:					
8,658,276	7,804,245	Airlines	123,050,000	42,522,506	39,722,335	34.6	40.1
15,521,125	16,089,079	Concessions	177,863,000	85,757,923	82,017,480	48.2	41.0
71,391	37,840	Interest	450,000	349,704	174,864	77.7	37.4
1,536,482	(1,137,869)	Other	3,341,000	2,477,281	1,385,504	74.1	71.8
179,340	142,676	Phoenix-Goodyear	1,679,200	866,662	716,417	51.6	38.7
247,314	232,524	Phoenix-Deer Valley	2,960,000	1,227,109	1,167,382	41.5	38.1
8,167	3,302,457	Phoenix-Customer Facility Charge	-	(751)	13,867,190	100.0	33.5
26,222,095	26,470,952	<i>Total Aviation</i>	309,343,200	133,200,434	139,051,172	43.1	40.0

# Revenues

# Revenues

For the Month Ended November 30		Source	Budget Estimate 2013-14	Actual Year-to-Date		Percent of Year-to-Date	
2013	2012			2013-14	2012-13	Estimate 2013-14	Actual 2012-13
<b>Enterprise Funds (Cont'd)</b>							
<b>CONVENTION CENTER:</b>							
3,739,538	3,466,677	Excise Taxes	43,924,000	16,283,218	15,154,449	37.1	37.1
926,517	1,929,163	Operating Revenue	16,280,625	4,201,466	6,237,239	25.8	33.6
21,340	20,780	Interest	338,980	90,306	99,038	26.6	39.5
4,687,395	5,416,620	<i>Total Convention Center</i>	60,543,605	20,574,990	21,490,726	34.0	36.0
<b>WATER SYSTEM:</b>							
\$ 33,023,842	\$ 34,531,309	Water Sales	\$ 385,949,000	\$ 191,828,663	\$ 194,639,481	49.7 %	52.1 %
430,989	458,081	Water Service Fees	6,000,000	2,565,972	2,515,432	42.8	42.2
161,217	334,231	Distribution	3,500,000	1,897,273	2,164,494	54.2	60.7
482,625	531,554	Intergovernmental	6,666,000	2,397,647	3,104,830	36.0	47.0
125,520	139,320	Development Fees	2,600,000	802,920	1,011,900	30.9	43.4
179,308	149,671	Interest	2,925,000	804,823	743,686	27.5	39.8
370,537	193,978	Other	113,000	1,691,912	30,637,375	1,497.3	96.9
34,774,038	36,338,144	<i>Total Water System</i>	407,753,000	201,989,210	234,817,198	49.5	55.2
<b>WASTEWATER:</b>							
14,921,755	15,179,621	Sewer Service Charges	179,686,000	75,418,709	74,874,023	42.0	41.5
1,625,716	1,615,285	Multi-City	16,004,000	7,968,919	7,880,456	49.8	43.0
116,820	133,560	Development Fees	2,500,000	756,420	974,820	30.3	42.7
108,057	108,473	Interest	1,370,000	501,341	563,889	36.6	42.3
3,679,779	3,921,460	Other	11,752,000	7,714,487	7,883,380	65.6	51.3
20,452,127	20,958,399	<i>Total Wastewater</i>	211,312,000	92,359,876	92,176,568	43.7	42.3
<b>SOLID WASTE:</b>							
10,566,312	10,472,550	Collection Fees	125,935,000	52,784,737	52,160,503	41.9	41.5
411,207	700,861	Landfill Fees	6,932,000	3,470,076	3,096,440	50.1	42.3
19,896	29,001	Interest	310,000	141,071	145,726	45.5	42.8
978,529	936,094	Other	12,578,700	5,032,279	4,078,254	40.0	40.7
11,975,944	12,138,506	<i>Total Solid Waste</i>	145,755,700	61,428,163	59,480,923	42.1	41.5
98,111,599	101,322,621	<i>Total Enterprise Funds</i>	1,134,707,505	509,552,673	547,016,587	44.9	45.8
\$ 286,572,146	\$ 289,484,708	<i>Total Operating Revenues</i>	\$ 3,104,578,253	\$ 1,262,791,112	\$ 1,252,963,239	40.7 %	40.6 %

# Operating Expenditures and Encumbrances

For the Month Ended November 30		Program	Budget Estimate 2013-14	Actual Year-to-Date		2013-14 Year-to-Date Operating Expenditures & Encumbrances								
2013	2012			2013-14	2012-13	Operations	Capital and Debt							
<b><u>General Government</u></b>														
\$	134,228	\$	140,034	Mayor	\$	1,755,285	\$	726,592	\$	738,280	\$	726,592	\$	-
	258,449		277,760	City Council		3,589,459		1,413,262		1,366,011		1,413,262		-
	333,170		367,329	City Manager		2,663,165		1,773,113		1,374,608		1,773,113		-
	3,242,279		3,300,268	Information Technology Services		35,059,291		16,555,215		15,048,871		15,853,482		701,733
	167,799		163,531	Government Relations		1,304,646		726,282		719,965		726,282		-
	203,455		284,212	Public Information		2,660,360		1,193,522		1,203,682		1,193,522		-
	192,602		238,054	Equal Opportunity		2,796,854		1,152,881		1,118,203		1,152,881		-
	1,783,489		1,912,751	Law		20,550,337		8,972,749		9,024,095		8,972,749		-
	238,129		186,856	City Auditor		2,389,388		1,246,627		1,067,595		1,246,627		-
	479,046		467,294	City Clerk		5,797,271		1,893,116		1,972,455		1,893,116		-
	62,542		40,414	Elections		809,263		678,410		265,554		678,410		-
	868,170		1,047,421	Human Resources		11,410,338		4,311,372		4,731,856		3,984,946		326,426
	14,071		(23,133)	Retirement Systems		-		55,535		71,504		55,535		-
	7,804		8,759	Phoenix Employment Relations Board		99,285		36,256		36,528		36,256		-
	293,493		286,042	Budget and Research		3,053,828		1,503,059		1,485,621		1,503,059		-
	1,894,211		2,144,562	Finance		21,961,636		9,954,369		8,303,001		9,498,093		456,276
	557,017		882,899	Regional Wireless Cooperative		4,380,637		(1,634,109)		(1,731,408)		(1,634,109)		-
	10,729,954		11,725,053	<i>Total General Government</i>		120,281,043		50,558,251		46,796,421		49,073,816		1,484,435
<b><u>Criminal Justice</u></b>														
	2,947,098		3,057,385	Municipal Court		41,968,415		15,782,365		15,879,129		13,185,042		2,597,323
	364,725		385,456	Public Defender		4,902,494		1,832,006		1,942,389		1,832,006		-
	3,311,823		3,442,841	<i>Total Criminal Justice</i>		46,870,909		17,614,371		17,821,518		15,017,048		2,597,323
<b><u>Public Safety</u></b>														
	43,185,490		46,714,105	Police		585,912,700		234,324,730		236,120,285		232,295,642		2,029,088
	22,428,903		20,096,350	Fire		297,951,260		119,498,201		120,629,209		118,097,506		1,400,695
	91,691		40,274	Other		476,598		209,201		265,843		209,201		-
	65,706,084		66,850,729	<i>Total Public Safety</i>		884,340,558		354,032,132		357,015,337		350,602,349		3,429,783

# Operating Expenditures and Encumbrances

For the Month Ended November 30		Program	Budget Estimate 2013-14	Actual Year-to-Date		2013-14 Year-to-Date Operating Expenditures & Encumbrances	
2013	2012			2013-14	2012-13	Operations	Capital and Debt
<b><u>Transportation</u></b>							
\$ 4,665,280	\$ 4,800,003	Street Transportation	\$ 59,665,604	\$ 24,692,287	\$ 25,278,668	\$ 24,318,259	\$ 374,028
918,897	792,149	Street Lighting	11,172,894	4,599,186	4,433,079	4,599,186	-
23,604,019	21,714,722	Aviation	305,626,250	114,344,530	113,762,000	92,817,172	21,527,358
6,528,805	6,330,128	Public Transit	260,424,196	190,219,009	190,355,072	171,133,598	19,085,411
<u>35,717,001</u>	<u>33,637,002</u>	<i>Total Transportation</i>	<u>636,888,944</u>	<u>333,855,012</u>	<u>333,828,819</u>	<u>292,868,215</u>	<u>40,986,797</u>
<b><u>Community Development</u></b>							
3,326,878	2,815,984	Planning and Development	41,536,572	16,363,047	14,195,166	16,363,047	-
5,918,503	6,406,347	Housing	82,014,323	32,506,378	33,228,675	32,476,745	29,633
1,671,677	1,782,450	Economic Development	33,963,216	20,080,373	16,375,387	8,762,055	11,318,318
4,344,289	7,424,280	Neighborhood Services Department	70,818,138	22,359,292	32,387,892	22,359,292	-
<u>15,261,347</u>	<u>18,429,061</u>	<i>Total Community Development</i>	<u>228,332,249</u>	<u>91,309,090</u>	<u>96,187,120</u>	<u>79,961,139</u>	<u>11,347,951</u>
<b><u>Community Enrichment</u></b>							
7,145,435	7,605,649	Parks and Recreation	102,560,009	42,610,060	43,948,756	41,818,301	791,759
2,981,495	2,566,762	Library	35,798,557	14,829,768	14,339,103	14,829,768	-
939,464	634,276	Golf	8,785,423	3,408,872	3,294,171	3,408,872	-
4,121,572	4,267,505	Convention Center	61,858,418	27,686,163	27,927,378	19,942,277	7,743,886
910,103	989,784	Sports and Cultural Facilities	10,927,120	4,555,006	4,954,806	-	4,555,006
5,230,680	4,362,586	Human Services	62,723,393	22,654,841	21,852,575	22,530,570	124,271
152,579	223,886	Public Parking Facilities	3,919,060	1,335,751	1,390,653	1,335,751	-
68,134	(5,308)	Other	1,630,435	877,778	767,375	877,778	-
<u>21,549,462</u>	<u>20,645,140</u>	<i>Total Community Enrichment</i>	<u>288,202,415</u>	<u>117,958,239</u>	<u>118,474,817</u>	<u>104,743,317</u>	<u>13,214,922</u>

# Operating Expenditures and Encumbrances

For the Month Ended November 30		Program	Budget Estimate 2013-14	Actual Year-to-Date		2013-14 Year-to-Date Operating Expenditures & Encumbrances	
2013	2012			2013-14	2012-13	Operations	Capital and Debt
<b><u>Environmental Services</u></b>							
\$ 22,833,261	\$ 20,576,027	Water System	\$ 385,100,042	\$ 123,628,118	\$ 128,048,091	\$ 76,160,907	\$ 47,467,211
11,032,546	11,535,100	Wastewater	76,206,190	57,676,218	55,602,945	29,270,923	28,405,295
8,386,953	9,194,713	Solid Waste Management	142,611,487	51,305,120	54,499,477	45,897,033	5,408,087
2,041,600	3,024,196	Public Works	22,863,808	7,783,611	10,800,141	5,630,007	2,153,604
128,788	(295,520)	Environmental Programs	1,486,777	631,340	262,524	631,340	-
44,423,148	44,034,516	<i>Total Environmental Services</i>	628,268,304	241,024,407	249,213,178	157,590,210	83,434,197
<b><u>General Obligation Debt Service</u></b>							
72,184	92,052	Aviation	866,210	360,919	460,261	-	360,919
759,248	974,295	Cultural Facilities	9,110,990	3,796,240	4,871,476	-	3,796,240
702,208	1,115,885	Downtown Development	8,426,500	3,511,040	5,579,427	-	3,511,040
-	-	Early Redemption	-	-	-	-	-
28,128	22,945	Economic Development	337,530	140,639	114,725	-	140,639
384,513	395,270	Fire Protection	4,614,170	1,922,564	1,976,347	-	1,922,564
57,555	32,236	Freeway Mitigation	690,670	287,777	161,178	-	287,777
406,970	266,724	Historic/Neighborhood Preservation	4,883,650	2,034,849	1,333,622	-	2,034,849
52,372	52,571	Human Services	628,460	261,860	262,854	-	261,860
600,234	386,093	Information Systems	7,202,830	3,001,169	1,930,465	-	3,001,169
648,767	566,430	Libraries	7,785,230	3,243,836	2,832,150	-	3,243,836
97,784	42,333	Maintenance Service Centers	1,173,410	488,921	211,663	-	488,921
1,207,691	1,235,251	Mountain Preserves/Parks	14,496,710	6,038,454	6,176,256	-	6,038,454
4,184	4,184	Municipal Administration Buildings	50,200	20,917	20,917	-	20,917
605,348	521,429	Police Protection	7,264,190	3,026,740	2,607,147	-	3,026,740
106,593	108,556	Public Housing Renovation	1,279,120	532,962	542,781	-	532,962
238,768	505,331	Sanitary Sewers	2,866,431	1,193,839	2,164,178	-	1,193,839
13	-	Secondary Property Tax	(48,335,390)	2,213	2,207	-	2,213
78,173	39,433	Solid Waste Enterprise Bonds	938,600	390,865	197,167	-	390,865
1,290,460	2,205,374	Storm Sewer Improvements	15,486,000	6,452,299	11,026,867	-	6,452,299
456,658	2,286,342	Street Improvements	5,480,820	2,283,291	11,431,713	-	2,283,291
568	18,768	Street Light Refinancing	6,810	2,839	93,839	-	2,839
599,764	611,453	Water System	7,201,708	2,999,463	2,950,802	-	2,999,463
8,398,183	11,482,955	<i>Total Debt Service</i>	52,454,849	41,993,696	56,948,042	-	41,993,696
29,183,055	22,103,906	<b><u>Capital Improvement</u></b>	531,003,638	112,571,630	82,654,699	-	112,571,630
-	-	<b><u>Contingencies</u></b>	85,863,000	-	-	-	-
\$ 234,280,057	\$ 232,351,203	<b>Total Operating Budget</b>	\$ 3,502,505,909	\$ 1,360,916,828	\$ 1,358,939,951	\$ 1,049,856,094	\$ 311,060,734



# Capital Expenditures and Encumbrances

# Capital Expenditures and Encumbrances

For the Month Ended November 30		Program	2013-14		2012-13	2013-14 Year-to-Date Capital Amounts				Unencumbered Budget
2013	2012		Budget	Actual Year- to-Date	Actual Year- to-Date	From Operating Funds		From Capital Funds		
						Budget	Actual	Budget	Actual	
\$ 232,675	\$ 79,232	Arts and Cultural Facilities	\$ 453,939	\$ 622,051	\$ 7,324,518	\$ 134,803	\$ 134,324	\$ 319,136	\$ 487,727	\$ (168,112)
30,323,304	9,785,262	Aviation	320,348,316	89,365,702	47,871,367	34,834,765	7,617,835	285,513,551	81,747,867	230,982,614
161,590	34,041	Economic Development	9,487,984	893,531	416,864	9,225,140	798,136	262,844	95,395	8,594,453
1,033,395	415,934	Energy Conservation	4,674,297	3,472,268	2,570,180	4,674,297	3,472,268	-	-	1,202,029
1,556,120	627,112	Facilities Management	10,672,900	3,255,673	3,638,623	2,873,500	2,119,145	7,799,400	1,136,528	7,417,227
3,481	-	Finance	2,793,402	3,703,481	-	1,536,370	2,038,481	1,257,032	1,665,000	(910,079)
300,530	6,861,234	Fire Protection	7,548,022	1,539,705	9,159,536	87,000	224	7,461,022	1,539,481	6,008,317
31,035	275,521	Historic Preservation	1,618,109	152,193	1,142,633	4,759	-	1,613,350	152,193	1,465,916
858,618	6,440,920	Housing	47,238,059	6,324,602	15,573,825	24,852,045	3,334,385	22,386,014	2,990,217	40,913,457
296,913	10,573	Human Services	971,825	761,603	193,749	-	-	971,825	761,603	210,222
421,568	899	Information Technology	11,575,314	8,541,357	338,600	919,925	538,174	10,655,389	8,003,183	3,033,957
92,970	4,817	Libraries	2,034,035	115,911	124,000	200,000	96,107	1,834,035	19,804	1,918,124
111,343	248,813	Neighborhood Services	7,713,141	483,776	500,701	3,836,994	213,078	3,876,147	270,698	7,229,365
1,839,736	491,323	Parks and Mountain Preserves	58,973,395	6,401,265	2,259,358	33,873,798	4,943,343	25,099,597	1,457,922	52,572,130
1,532,473	1,767,622	Phoenix Convention Center	26,370,374	8,917,459	8,565,454	5,921,374	391,338	20,449,000	8,526,121	17,452,915
4,020,362	58,706	Police Protection	9,166,428	8,544,042	478,804	-	1,023,950	9,166,428	7,520,092	622,386
3,567,014	801,746	Public Transit	107,343,452	11,601,679	14,044,385	79,481,910	10,803,309	27,861,542	798,370	95,741,773
-	-	Regional Wireless Cooperative	11,146,109	23,340	-	-	-	11,146,109	23,340	11,122,769
3,905	73,928	Solid Waste Disposal	25,492,039	102,142	1,380,695	17,828,672	33,757	7,663,367	68,385	25,389,897
14,343,497	3,320,184	Street Transportation & Drainage	218,013,460	58,844,960	23,761,759	79,328,014	46,447,485	138,685,446	12,397,475	159,168,500
600,823	2,051,895	Wastewater	121,032,175	6,178,461	7,146,289	58,073,538	5,907,890	62,958,637	270,571	114,853,714
6,251,115	7,030,551	Water System	200,884,214	23,426,008	22,019,833	173,316,734	22,658,401	27,567,480	767,607	177,458,206
<b>\$ 67,582,467</b>	<b>\$ 40,380,313</b>	<i>Total Capital Improvement Program</i>	<b>\$ 1,205,550,989</b>	<b>\$ 243,271,209</b>	<b>\$ 168,511,173</b>	<b>\$ 531,003,638</b>	<b>\$ 112,571,630</b>	<b>\$ 674,547,351</b>	<b>\$ 130,699,579</b>	<b>\$ 962,279,780</b>

# Bonds Authorized and Sold

**As of November 30**

*(dollars in thousands)*

	<u>Authorized</u>	<u>Sold</u>	<u>Available</u>
<b><u>1988 Authorizations</u></b>			
<b>General Obligation Bonds</b>			
Freeway Mitigation, Neighborhood Stabilization, and Slum and Blight Elimination	\$ 29,285	\$ 28,285	\$ 1,000
Bonds Fully Issued	<u>884,175</u>	<u>884,175</u>	<u>-</u>
<i>Total General Obligation Bonds</i>	913,460	912,460	1,000
<b>Revenue Bonds</b>			
Bonds Fully Issued	143,890	143,890	-
<i>Total 1988 Authorizations</i>	<u>1,057,350</u>	<u>1,056,350</u>	<u>1,000</u>
<b><u>2001 Authorization</u></b>			
<b>General Obligation Bonds</b>			
Education, Youth and Family Cultural Facilities	66,300	64,600	1,700
Computer Technology	125,300	124,685	615
Environmental Cleanup	24,800	24,170	630
Fire Protection	61,500	60,700	800
Historic Preservation	12,000	11,205	795
Library Facilities	33,000	32,100	900
Street Improvements	91,500	89,275	2,225
Neighborhood Protection and Senior Centers	74,000	71,645	2,355
Parks, Open Space, and Recreational Facilities	77,000	72,575	4,425
Police Protection	78,800	77,685	1,115
Storm Sewer and Flood Protection	66,000	65,950	50
Bonds Fully Issued	<u>43,700</u>	<u>43,700</u>	<u>-</u>
<i>Total 2001 Authorizations</i>	<u>753,900</u>	<u>738,290</u>	<u>15,610</u>
<b><u>2006 Authorization</u></b>			
<b>General Obligation Bonds</b>			
Affordable Housing and Neighborhood Revitalization	81,000	63,385	17,615
Computer Technology	11,100	8,510	2,590
Education and Health Science Facilities	198,700	190,610	8,090
Environmental Cleanup	12,800	8,345	4,455
Family, Senior, and Youth Cultural Facilities	104,622	85,510	19,112
Fire Protection	74,705	61,200	13,505
Library Facilities and Improvements	29,178	21,100	8,078
Parks, Open Space, and Recreational Facilities	115,500	102,290	13,210
Police Protection	107,295	81,900	25,395
Street Improvements	78,200	58,135	20,065
Storm Sewer and Flood Protection	<u>65,400</u>	<u>61,770</u>	<u>3,630</u>
<i>Total 2006 Authorizations</i>	<u>878,500</u>	<u>742,755</u>	<u>135,745</u>
<i>Total Bond Program</i>	<u>\$ 2,689,750</u>	<u>\$ 2,537,395</u>	<u>\$ 152,355</u>