

**CITY OF PHOENIX, ARIZONA**  
Phoenix, Arizona

**SINGLE AUDIT REPORTS**  
June 30, 2011

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**Report on Internal Control Over Financial Reporting and on Compliance and  
Other Matters Based on an Audit of Financial Statements  
Performed in Accordance with *Government Auditing Standards***

Honorable Mayor  
Members of the City Council  
City of Phoenix, Arizona

We have audited the financial statements of the governmental activities, the business type activities, the discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Phoenix, Arizona (the "City") as of and for the year ended June 30, 2011, which collectively comprise the City's basic financial statements and have issued our report thereon dated December 16, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Internal Control Over Financial Reporting**

Management of the City is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered City's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the City's internal control over financial reporting.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. *A material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

## Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain other matters that we reported to management of the City in a separate letter dated December 16, 2011.

This report is intended solely for the information and use of the Honorable Mayor, Members of the City Council, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*Clifton Gunderson LLP*

Phoenix, Arizona  
December 16, 2011

**Report on Compliance with Requirements that could have a Direct  
and Material Effect on Each Major Program and on Internal  
Control Over Compliance in Accordance with OMB Circular A-133**

Honorable Mayor  
Members of the City Council  
City of Phoenix, Arizona

**Compliance**

We have audited the compliance of the City of Phoenix, Arizona (the “City”) with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the City’s major federal programs for the year ended June 30, 2011. The City’s major federal programs are identified in the summary of auditor’s results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the City’s management. Our responsibility is to express an opinion on the City’s compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the City’s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the City’s compliance with those requirements.

In our opinion, the City complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items: 2011-01, 2011-02, 2011-03, 2011-04, and 2011-05.

## Internal Control Over Compliance

The management of the City is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the City's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the entity's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However we identified a certain deficiency in internal control over compliance that we consider to be a significant deficiency as described in the accompanying schedule of findings and questioned costs as item 2011-01. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

The City's response to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the City's response and, accordingly, we express no opinion on it.

This report is intended solely for the information and use of the Honorable Mayor, Members of the City Council, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*CliftonLarsonAllen LLP*

Phoenix, Arizona  
March 28, 2012



## Report on Schedule of Expenditures of Federal Awards

Honorable Mayor  
Members of the City Council  
City of Phoenix, Arizona

We have audited the financial statements of the governmental activities, the business type activities, the discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Phoenix, Arizona (the "City") as of and for the year ended June 30, 2011, which collectively comprise the City's basic financial statements, and have issued our report thereon dated December 16, 2011. Our audit was performed for the purpose of forming our opinions on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Honorable Mayor, Members of the City Council, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*Clifton Gunderson LLP*

Phoenix, Arizona  
December 16, 2011

**CITY OF PHOENIX, ARIZONA  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
Year Ended June 30, 2011**

**Section I – Summary of Auditor’s Results**

***Financial Statements***

The type of auditor’s report issued: Unqualified

Internal control over financial reporting:

- Material weakness(es) identified?                     yes     no
- Significant deficiency(ies) identified?             yes     none reported
- Noncompliance material to financial statements noted?     yes     no

***Federal Awards***

Internal control over major programs:

- Material weakness(es) identified?                     yes     no
- Significant deficiency(ies) identified?             yes     none reported

Type of auditor’s report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133?                     yes     no

Continued



**CITY OF PHOENIX, ARIZONA  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)  
Year Ended June 30, 2011**

**Section I – Summary of Auditor’s Results (Continued)**

Identification of major programs:

<u>CFDA Number</u>	<u>Name of Federal Program or Cluster</u>
11.555	Public Safety Interoperable Communications
14.239	Home Investment Partnerships (HOME)
14.256	Neighborhood Stabilization Program 2
14.318	Green Retrofit Program
14.872, 14.884, 14.885	Public Housing – Capital Improvement Programs
14.900, 14.907, 14.908	Leadbased Hazard Control and Healthy Homes
16.710	COPS Technology
16.738, 16.804	Byrne Justice Assistance Grant
17.258, 17.259, 17.260, 17.278	Workforce Investment Act
20.106	Airport Improvement Program
20.205	Highway Planning and Construction
66.458	Capitalization Grants for Clean Water State Revolving Funds
81.042	Weatherization
81.122, 81.128	Energy Efficiency Block Grant
97.100, 97.117, 97.118	In-Line EDS Baggage Handling System

Dollar threshold used to distinguish between type A and type B programs:

\$3,000,000

Auditee qualified as low-risk auditee?

  x   yes           no

**CITY OF PHOENIX, ARIZONA  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)  
Year Ended June 30, 2011**

**Section II – Financial Statement Findings**

**None.**

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section III – Federal Award Findings and Questioned Costs Section**

**Finding 2011-01**

Public Safety Interoperable Communications (PSIC), CFDA 11.555.  
Department of Commerce.  
Significant Deficiency.

**Condition**

The draft Schedule of Expenditures of Federal Awards (SEFA) was not initially prepared with all the correct amounts. Although the SAP system reflected the correct amounts, we identified the amount provided by the department for the program Public Safety Interoperable Communications (PSIC) that was incorrect and resulted in a material adjustment for the program to the draft SEFA.

**Criteria**

The City prepares its SEFA on the accrual basis, thus the expenditures initially reported for the program was not in compliance with the City's accounting policies.

**Effect**

The Schedule of Expenditures of Federal Awards was not initially prepared on the accrual basis of accounting.

**Cause**

The City's existing policies and procedures did not identify the error.

**Recommendation**

The City should implement/enforce procedures to ensure that the Schedule of Expenditures of Federal Awards is completed on an accrual basis of accounting.

**Client Response**

The City of Phoenix Police Department concurs with this observation. In the future only the Split Ledger Report in SAP will be used in drafting the SEFA. In addition, City Finance will be providing training to all Police grant accountants to implement and enforce procedures and the uniform use of SAP reports on all Police grant SEFA reports.

**Contact Person**

Jill Celaya, Management Services Administrator, (602) 495-0749.

**Questioned Costs**

None

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section III – Federal Award Findings and Questioned Costs Section (Continued)**

**Finding 2011-02**

Workforce Investment Act (WIA), CFDA 17.258, 17.259, 17.260, 17.278 Department of Labor.

**Condition**

Of the 40 WIA participant files reviewed, 3 did not have evidence of a second sign off indicating the file had been reviewed.

**Criteria**

General procedures of the City states that the second review of adult and dislocated worker participant eligibility should be documented.

**Effect**

Participants may be given federal aid when they are not eligible.

**Cause**

Supervisors at the sub recipient level are not reviewing participant files on a timely basis.

**Recommendation**

The City should enforce the established procedures to ensure that participant files are reviewed on a timely basis and prior to aid being given out.

**Client Response**

The City of Phoenix Community and Economic Development Department concurs with this observation. The requirement for file reviews and the checklist will be reiterated in training. In addition, we are using a new case management system called AZ Job Connection. For WIA participants who were registered with our program after February 21, 2012, there is now an online supervisor approval process required. For new participants, the online requirement will replace the hard copy file checklist requirement as the secondary review and approval for those participants. However, the checklist signature process will remain in effect for those with a registration date prior to February 21, 2012.

**Contact Person**

Stan Flowers, Workforce Development Supervisor, (602) 262-4036.

**Questioned Costs**

None

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section III – Federal Award Findings and Questioned Costs Section (Continued)**

**Finding 2011-03**

Capitalization Grants for Clean Water State Revolving Funds, CFDA 66.458, Environmental Protection Agency.

**Condition**

Expenditures related to water meters issued to a third party for installation, were not properly authorized and reported in the SAP System.

**Criteria**

In accordance with City policies, goods issued to third parties should be documented with authorized goods release forms.

**Effect**

Expenditures charged to the program could be unauthorized or inaccurate.

**Cause**

Goods shipped (issued) to third parties should be documented with authorized goods release forms.

**Recommendation**

The City should enforce the procedures already in place to ensure that goods released are properly documented and authorized.

**Client Response**

The City of Phoenix Water Services Department concurs with this observation. The Water Services Department has reviewed the process for issuing inventory to third parties and to City field staff. During this review the Department identified gaps in the controls and as a result have prepared new detailed procedures that will address this control deficiency. These procedures include the requirement that goods issued will be documented, and that a reconciliation and physical inventory be performed on a regular basis.

**Contact Person**

Tammy Ryan, Management Services Administrator, (602) 534-6879.

**Questioned Costs**

None

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section III – Federal Award Findings and Questioned Costs Section (Continued)**

**Finding 2011-04**

Energy Efficiency Block Grant, CFDA 81.122, 81.128.  
Department of Energy.

**Condition**

The Department did not have established procedures over cash draw downs to ensure transactions are valid and accurate.

**Criteria**

Cash draw downs should be supported by eligible expenditures.

**Effect**

There was a drawdown request in excess of expenditures incurred.

**Cause**

There was no process in place to ensure that drawdown requests are accurate and valid.

**Recommendation**

The City should implement/enforce procedures to ensure that expenditures incurred agree to drawdown requests. Additionally the City should implement/enforce segregation of duties controls to ensure that receipt of funds and drawdown requests are not initiated/recorded by the same individuals.

**Client Response**

The City of Phoenix Public Works Department concurs with this observation. New business procedures have been implemented since the audit to maintain controls, segregate duties, and maintain authorization. Detailed step by step procedures outline how to validate expenditures and request funds from the Automated Standard Application for Payments System.

**Contact Person**

Michael Blea, Department Budget Supervisor, (602) 262-7031.

**Questioned Costs**

None.

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section III – Federal Award Findings and Questioned Costs Section (Continued)**

**Finding 2011-05**

Public Safety Interoperable Communications (PSIC), CFDA 11.555.  
Department of Commerce.  
COPS Technology, CFDA 16.710.  
Department of Justice.

**Condition**

Management has an informal process in place for the approval of internal cost postings. However, there was no formal review of grant expenditures for allowability.

**Criteria**

Grant expenditures should be reviewed to ensure that they are allowable expenditures under the grant.

**Effect**

Expenditures incurred, may not be allowable under the grant requirements.

**Cause**

There was no formal documentation to support that expenditures were reviewed.

**Recommendation**

The City should implement a formal process that is documented to ensure timely review of expenditures for adherence to grant requirements.

**Client Response**

The City of Phoenix Police Department concurs with this observation. The City of Phoenix Police Department is in the process of implementing a formalized grant process to centralize all Police Grant Functions, develop effective grant management methods, define clear roles and responsibilities and provide a better mechanism of accountability. This will facilitate communication with Federal granting agencies as well as between City departments.

**Contact Person**

Jill Celaya, Management Services Administrator, (602) 495-0749.

**Questioned Costs**

None.

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section IV – Prior Year Federal Award Findings and Questioned Costs**

**Finding 2010-01**

Schedule of Expenditures of Federal Awards  
Significant Deficiency

**Condition**

The draft Schedule of Expenditures of Federal Awards (SEFA) was not initially prepared with all the correct amounts. Although the SAP system reflected the correct amounts, we identified two amounts provided by two departments for two programs (EDS Baggage Handling and NSP) that were incorrect and resulted in two material adjustments to the draft SEFA.

**Cause**

The City's existing policies and procedures did not identify the errors.

**Status**

Repeat finding, see Finding 2011-01.

**Contact Person**

Brent Cagle, Deputy Aviation Director, (602) 273-3363  
Veronica Gonzalez, Accountant IV, (602) 262-4723

**Questioned Costs**

None.



**CITY OF PHOENIX, ARIZONA  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)  
Year Ended June 30, 2011**

**Section IV – Prior Year Federal Award Findings and Questioned Costs (Continued)**

**Finding 2010-02**

Workforce Investment Act (WIA), CFDA 17.258, 17.259, 17.260, Department of Labor, Significant Deficiency.

**Condition**

Of the 40 WIA participant files reviewed, 8 did not have a second sign off indicating the file had been reviewed. Of those same 40 participant files, 2 files did not have any documentation noting that eligibility requirements had been met.

**Cause**

Supervisors at the sub recipient level are not reviewing participant files on a timely basis.

**Status**

Repeat finding, see Finding 2011-02.

**Contact Person**

Stan Flowers, Workforce Department Supervisor, (602) 262-4036

**Questioned Costs**

None.

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)**  
**Year Ended June 30, 2011**

**Section IV – Prior Year Federal Award Findings and Questioned Costs (Continued)**

**Finding 2010-03**

Hope VI, CFDA 14.866, Department of Housing and Urban Development (HUD).

**Condition**

Some expenditures recognized in the City's SAP system are reported to HUD in succeeding quarters. Due to these timing differences and the required verification of the reporting line items, certain expenditures had not been reported to HUD during fiscal 2010.

**Cause**

The City's process to ensure that all reports have supporting documentation showing what expenditures were reported and how they reconcile to the general ledger has been transitioned to the housing department mid way through the grant.

**Status**

Corrected in 2011.

**Contact Person**

Rebecca Velarde, Management Assistant II, (602) 262-6943

**Questioned Costs**

None.

**CITY OF PHOENIX, ARIZONA  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)  
Year Ended June 30, 2011**

**Section IV – Prior Year Federal Award Findings and Questioned Costs (Continued)**

**Finding 2010-04**

Headstart, CFDA 93.600, Department of Health and Human Services.

**Condition**

Of the 40 participant files tested, there was one instance where required documentation was not in the participant's file.

**Cause**

There is no standard procedure in place to ensure that the City is in compliance with special contract documentation requirements. The City of Phoenix Delegate Agencies were given access to the Case Management System (CMS). CMS is a database that tracks information regarding each child enrolled in Headstart. Allowing the Delegate Agencies access to CMS has automated many of the previously developed tracking tools and has improved program functioning and monitoring.

**Status**

Not a repeat finding in 2011.

**Contact Person**

Moise S. Gallegos, Deputy Human Services Director, (602) 262-4042

**Questioned Costs**

None.

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>I. DEPARTMENT OF TRANSPORTATION (D.O.T.)</b>				
<b>Federal Aviation Administration (F.A.A.)</b>				
Sky Harbor - T2/T3 Apron Rehab Phase II, Construct Connector Taxiway H-5, Rehab West Air Cargo	20.106		\$ 721 *	
Sky Harbor - Construct Connector Taxiway G-5	20.106		3,718,298 *	
Sky Harbor - Improve Runway 7R/25L Safety Area	20.106		2,875,684 *	
Sky Harbor - Improve West End Runway 7R/25L Safety Area	20.106		9,582,853 *	
Rehabilitate Taxiway A Phase I	20.106		353,503 *	
Goodyear - Environmental Assessment	20.106		6,962 *	
Goodyear - Strengthen and Widen Taxiway Connectors	20.106		42,893 *	
Deer Valley - South Ramp Reconstruction - Phase III	20.106		1,000,000 *	
Deer Valley - Runway 7L/25R & 7R/25L Safety Area Improv	20.106		1,596,847 *	
			92,259 *	
<b>Total - F.A.A. - Airport Improvement Program</b>			<b>\$ 19,270,020 *</b>	
<b>Federal Transit Administration (F.T.A.)</b>				
<b>Urbanized Area Formula Program - Section 5307</b>				
AZ-90-X068	20.507		\$ 523,523	\$
AZ-90-X070	20.507		1,105,879	611,836
AZ-90-X074	20.507		2,724,736	483,301
AZ-90-X080	20.507		3,503,015	2,600,041
AZ-90-X084	20.507		133,930	133,930
AZ-90-X088	20.507		838,222	158,097
AZ-90-X096	20.507		6,086,220	884,745
AZ-90-X103	20.507		14,044,154	773,834
AZ-95-X004	20.507		932,993	932,993
AZ-95-X006	20.507		3,147,287	3,147,287
AZ-95-X013	20.507		4,525,356	4,525,356
ARRA09 AZ-96-X002	20.507		24,813,287	16,683,525
ARRA09 AZ-66-X001	20.507		415,031	415,031
<b>Total - Urbanized Area Formula Program</b>			<b>\$ 62,793,633</b>	<b>\$ 31,349,976</b>
<b>Discretionary Capital Program - Section 5309</b>				
AZ-03-0031	20.500		\$ 61,249,903	\$ 61,249,903
AZ-04-0008	20.500		1,804,840	1,804,840
AZ-05-0202	20.500		21,835	
AZ-05-0203	20.500		240,000	240,000
ARRA09 AZ-56-0001	20.500		56,077	
<b>Total - Discretionary Capital Program</b>			<b>\$ 63,372,655</b>	<b>\$ 63,294,743</b>
<b>Job Access and Reverse Commute Program - Section 5316</b>				
AZ-37-X008	20.516		\$ (25,100)	\$ 10,410
AZ-37-X011	20.516		485,124	338,612
AZ-37-X017	20.516		1,474,635	432,248
<b>Total - Job Access and Reverse Commute Program</b>			<b>\$ 1,934,659</b>	<b>\$ 781,270</b>
<b>New Freedom - Section 5317</b>				
AZ-57-X001	20.521		\$ 196,269	\$ 196,269
AZ-57-X008	20.521		196,742	196,742
AZ-57-X009	20.521		359,877	310,690
AZ-57-X012	20.521		93,131	93,131
<b>Total - New Freedom</b>			<b>\$ 846,019</b>	<b>\$ 796,832</b>

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>Alternatives Analysis - Section 5339</b>				
AZ-39-0001	20.522		\$ 181,868	\$ 181,868
AZ-39-0002	20.522		66,880	66,880
AZ-39-0003	20.522		1,214,137	1,214,137
<b>Total - Alternatives Analysis</b>			<b>\$ 1,462,885</b>	<b>\$ 1,462,885</b>
<b>Total - F.T.A.</b>			<b>\$ 130,409,851</b>	<b>\$ 97,685,706</b>
<b>Federal Pass-Thru: Federal Highway Administration (F.H.A.)</b>				
<b>Arizona Department of Transportation (A.D.O.T.)</b>				
2401 E Mobile Lane	20.205	STP-PHX-0(041)A	\$ 959,243	*
5015 S 24th Street	20.205	STP-PHX-0(041)A	416,629	*
5035 S 24th Street	20.205	STP-PHX-0(041)A	124,128	*
<b>ARRA09</b> ACDC at 7th Ave Bike Underpass	20.205	<b>ARRA-PHX-0(218)A</b>	234,700	*
ARS Broadway - 17 Ave- 7 St - Phase Two	20.205	HPS-PHX-0(055)A	130,094	*
ARS Broadway - 27 Ave- 17 Ave - Phase Two	20.205	HPS-PHX-0(055)A	148,720	*
ARS Broadway - 35 Ave- 27 Ave - Phase Two	20.205	HPS-PHX-0(055)A	204,292	*
ARS Broadway - 43 Ave- 35 Ave - Phase Two	20.205	HPS-PHX-0(055)A	141,735	*
ARS Broadway - 51 Ave- 43 Ave - Phase Two	20.205	HPS-PHX-0(055)A	202,002	*
Bridge Safety Management FY10/11	20.205	BR-PHX-0(254)A	79,138	*
Bridge Insp Cycle B 2010-11 Equip	20.205	BR-PHX-(255)A	112,297	*
Bridge Inspection Equipment	20.205	CM PHX-0(222)B	7,107	*
<b>ARRA09</b> Bridge Joint Rehab	20.205	<b>ARRA-PHX-0(232)A</b>	431,023	*
<b>ARRA09</b> Bridge Deck Rehab	20.205	<b>ARRA-PHX-0(231)A</b>	1,275,522	*
Centennial Way: Central to 19th Ave	20.205	TEA-PHX-0(260)A	774,671	*
2008 CMAQ Dirt Roads	20.205	CM-PHX-0(216)	892,329	*
2007 CMAQ Shoulder Proj	20.205	CM-PHX-0(217)	276,929	*
Downtown Traffic Management System Ph 2	20.205	CM-PHX0(243)A	400,000	*
2nd Av St.scape:Fillmore to Roosevelt	20.205	TEA PHX0(50)	16,114	*
7th Street & McDowell	20.205	CM-PHX-0(209)A	292,261	*
15th Ave N/O Lincoln UPRR Impr	20.205		7,397	*
15th Ave S/O Madison BNSF RR Impr	20.205		2,796	*
19th Avenue: Jefferson St to Monroe St RR	20.205	RRS-STP-6(122)P	7,598	*
27th Ave S/O Jackson St RR Crossing	20.205		2,951	*
30th St: Washington-Fillmore	20.205	SRS 999-A (316)A	616	*
35th Ave S/O Indian School Rrxing	20.205		13,270	*
HES-Indian School & 67th Ave	20.205	HES-PHX-0(151)A	59,041	*
HES-McDowell Rd & 43rd Ave	20.205	HES PHX-0(152)A	23,806	*
Bus Bay-WB McDowell/43	20.205	HES PHX-0(152)A	(1,343)	*
Bus Bay-SB 43rd Av/McD	20.205	HES PHX-0(152)A	(1,125)	*
<b>ARRA09</b> Install Fiber Optic Backbone	20.205	<b>ARRA-PHX-0(234)A</b>	311,195	*
<b>ARRA09</b> Install CCTV	20.205	<b>ARRA-PHX-0(233)A</b>	2,185	*
<b>ARRA09</b> Pavement Preservation North	20.205	<b>ARRA-PHX-0(237)A</b>	6,548,017	*
<b>ARRA09</b> Pavement Preservation Central	20.205	<b>ARRA-PHX-0(238)A</b>	6,366,346	*
<b>ARRA09</b> Pavement Preservation South	20.205	<b>ARRA-PHX-0(239)A</b>	5,652,278	*
<b>ARRA09</b> Pavement Preservation - City Wide	20.205	<b>ARRA-PHX-0(261)A</b>	4,127,253	*
Phx Regional ITS FOB Phase II	20.205	STP-PHX-0(52)A	85,618	*
<b>ARRA09</b> Remove/Replace ADA Ramps-North	20.205	<b>ARRA-PHX-0(229)A</b>	(39,933)	*
<b>ARRA09</b> Remove/Replace ADA Ramps-South	20.205	<b>ARRA-PHX-0(230)A</b>	965,356	*
Rio Salado Pkwy Design Phase One	20.205	HPS-PHX-0(055)A	834,261	*
Safe Route to School - Mitchell School	20.205	999-A-231	78,695	*
Safe Route to School - Mitchell School, Phase III	20.205		56	*
Salt River: 24th St	20.205	CM-PHX-0(223)	115,272	*
S Mtn Community College	20.205	TEA PHX-0(212)A	70,559	*
Trail Environmental	20.205	STP-PHX-0(041)A	270,629	*
<b>ARRA09</b> Traffic Control Signs	20.205	<b>ARRA-PHX-0(236)A</b>	1,001,654	*
<b>Total - A.D.O.T</b>			<b>\$ 33,623,382</b>	*

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>Federal Pass-Thru: Maricopa Association of Governments</b>				
<b>Congestion Mitigation and Air Quality Improvement Funding</b>				
Streetsweepers	20.205		\$ 171,798 *	
Streetsweepers	20.205		512,641 *	
<b>Total - M.A.G.</b>			<b>\$ 684,439 *</b>	
<b>Federal Pass-Thru: National Highway Traffic Safety Administration (N.H.T.S.A.)</b>				
<b>Governor's Office of Community and Highway Safety (G.O.H.S.)</b>				
Governor's Office of Highway Safety (GOHS)	20.600	Various awards	\$ 240,574	
Governor's Office of Highway Safety (GOHS)	20.601	Various awards	328,427	
GOHS - TSRP Transportation Safety Resource Prosecutor 10-11	20.601	2011-410-015	138,969	
Governor's Office of Highway Safety (GOHS)	20.605	2010-163-011	22,776	
Governor's Office of Highway Safety (GOHS)	20.614	2009-NG-013	21,946	
<b>Total - G.O.H.S.</b>			<b>\$ 752,692</b>	
<b>Total - N.H.T.S.A.</b>			<b>\$ 752,692</b>	
<b>TOTAL - DEPARTMENT OF TRANSPORTATION</b>			<b>\$ 184,740,384</b>	<b>\$ 97,685,706</b>
<b>II. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (H.U.D.)</b>				
<b>Community Development Block Grants (C.D.B.G.)</b>				
2003 Entitlement Program	14.218		\$ 5,116	\$
2004 Entitlement Program	14.218		166,418	
2005 Entitlement Program	14.218		361,674	
2006 Entitlement Program	14.218		167,457	
2007 Entitlement Program	14.218		729,606	126,296
2008 Entitlement Program	14.218		1,014,892	101,512
2009 Entitlement Program	14.218		3,171,983	748,659
2010 Entitlement Program	14.218		11,910,942	1,724,226
<b>ARRA09 Community Development Block Grant (CDBG)</b>	14.253		1,840,585	778,354
<b>Total - C.D.B.G.</b>			<b>\$ 19,368,673</b>	<b>\$ 3,479,047</b>
<b>Low Income Housing Assistance</b>				
Housing Opportunities for Persons with Aids (HOPWA)	14.241		\$ 1,439,394	
Conventional Housing	14.850		9,015,269	
Resident Opportunities & Self-Sufficiency (ROSS)	14.870		308,524	
<b>Total - Low Income Housing Assistance</b>			<b>\$ 10,763,187</b>	
<b>Section 8 Housing Assistance</b>				
Section 8 Vouchers 5-Year Mainstream	14.181		\$ 643,644	
Section 8 Sunnyslope Manor	14.195		1,626,392	
Section 8 Fillmore Gardens	14.195		625,598	
Section 8 Modernization Rehabilitation VIII	14.249		205,484	
Section 8 Modernization Rehabilitation III	14.856		26,312	
Section 8 Modernization Rehabilitation IX	14.856		95,318	
Section 8 Modernization Rehabilitation X	14.856		80,149	
Section 8 Vouchers - Family Unification Program (FUP)	14.880		433,932	
Section 8 Vouchers	14.871		40,090,038	
Section 8 Vouchers - VASH	14.871		1,163,857	
<b>ARRA09 Green Retrofit Program</b>	14.318		1,109,751 *	
<b>Total - Section 8 Housing Voucher Program</b>			<b>\$ 46,100,475</b>	

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>Public Housing - Capital Improvement Programs</b>				
CFP Phase 50104	14.872		\$ 7,985 *	
CFP Phase 50105	14.872		15,326 *	
CFP Phase 50106	14.872		627,757 *	
CFP Phase 50107	14.872		2,629,966 *	
CFP Phase 50108	14.872		782,670 *	
ARRA09 CFP 2009 - Competitive	14.884		806,388 *	
ARRA09 CFP 2009 - Formula	14.885		2,166,031 *	
<b>Total - Capital Improvement Programs</b>			<b>\$ 7,036,123 *</b>	
<b>HOME Program</b>				
Home Investment Partnerships (HOME)	14.239		\$ 13,708,284 *	
<b>Total - HOME Program</b>			<b>\$ 13,708,284 *</b>	
HUD Emergency Shelter Grant Program	14.231		\$ 306,834	\$ 9,620
HUD Emergency Shelter Grant Program	14.231		469,167	239,038
<b>Subtotal - CFDA #14.231</b>			<b>\$ 776,001</b>	<b>\$ 248,658</b>
Neighborhood Stabilization Program 1 - HERA	14.218		\$ 14,578,941	
ARRA09 Neighborhood Stabilization Program 2	14.256		14,009,048 *	
<b>Total - Neighborhood Stabilization Program</b>			<b>\$ 28,587,989</b>	
ARRA09 Homelessness Prevention and Rapid Re-Housing Program	14.257		\$ 2,944,649	
<b>Subtotal - CFDA #14.257</b>			<b>\$ 2,944,649</b>	
Lead Based Paint Hazard Control Round 16	14.900		\$ 249,857 *	\$ 29,518
ARRA09 HUD Lead Based Paint Hazard Control	14.907		1,023,686 *	120,349
ARRA09 HUD Healthy Homes	14.908		437,612 *	143,623
<b>Total - HUD Lead Based Paint Hazard Control and HUD Healthy Homes</b>			<b>\$ 1,711,155 *</b>	<b>\$ 293,490</b>
<b>Fair Housing Assistance Program (FHAP)</b>				
HUD Type I-FHAP	14.408		\$ 135,637	
<b>Total - F.H.A.P.</b>			<b>\$ 135,637</b>	
Hope VI - Krohn West	14.866		\$ 5,694,108	
<b>Subtotal - CFDA #14.866</b>			<b>\$ 5,694,108</b>	
<b>Total - Federal Direct Programs</b>			<b>\$ 136,826,281</b>	<b>\$ 4,021,195</b>
<b>Federal Pass-Through</b>				
<b>Arizona Department of Economic Security</b>				
DES/Emergency Shelter Grant (ESG)	14.231	DEO-71131-001	\$ 14,882	\$ 14,882
<b>Total - Arizona Department of Economic Security</b>			<b>\$ 14,882</b>	<b>\$ 14,882</b>
<b>Total - DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (H.U.D.)</b>			<b>\$ 136,841,163</b>	<b>\$ 4,036,077</b>

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>III. U. S. DEPARTMENT OF THE INTERIOR</b>				
<b>Bureau of Reclamation</b>				
Evaluating Dual-Flush High Efficiency Toilets (HET)	15.530		\$ 30,000	
<b>TOTAL - U. S. DEPARTMENT OF THE INTERIOR</b>			<b>\$ 30,000</b>	
<b>IV. DEPARTMENT OF HOMELAND SECURITY</b>				
<b>Federal Emergency Management Agency (FEMA)</b>				
2009 Urban Research and Rescue (USAR) Grant	97.025		\$ 206,784	
2010 Urban Research and Rescue (USAR) Grant	97.025		782,587	
Hurricane Earl	97.025		20,098	
AFG - ISOS Training	97.044		129,988	
AFG - Thermal Cameras	97.044		422,526	
2009 FEMA SAFER	97.083		858,486	
<b>Total - Federal Emergency Management Agency</b>			<b>\$ 2,420,469</b>	
TSA Canine Program	97.072		\$ 203,796	
Law Enforcement Officer Reimbursement Program	97.090		819,312	
In-Line EDS Baggage Handling System (TSA)	97.100		2,365,275	*
<b>ARRA09</b> In-Line EDS Baggage Handling System (TSA)	97.117		10,010,203	*
Closed Circuit Television Cameras	97.118		200,373	*
<b>Total - Federal Direct Programs</b>			<b>\$ 13,598,959</b>	
<b>Total - Federal Direct Programs</b>			<b>\$ 16,019,428</b>	
<b>Federal Pass-Through</b>				
<b>Arizona Department of Emergency Management</b>				
UASI 08-TLO	97.067	2008-SS-T8-0021	\$ (29)	
UASI 09-Terrorism Liaison Officers (TLO)	97.067	2009-SS-T9-0016	19,827	
UASI 10-Terrorism Liaison Officers (TLO)	97.067	2010-SS-T0-0086	16,678	
UASI 09 - Rapid Response Team	97.067	2009-SS-T9-0016	99,008	
UASI 10 - Rapid Response Team	97.067	2010-SS-T0-0086	219,684	
UASI 08-Com Veh Equip	97.067	2008-GE-T8-0021	19,906	
UASI 09-Volunteer Reception Centers (VRC)	97.067	2009-SS-T9-0016	2,077	
UASI 10-Volunteer Reception Centers (VRC)	97.067	2010-SS-T0-0086	3,073	
UASI 09-HSEEP	97.067	2009-SS-T9-0016	(996)	
UASI 10-HSEEP	97.067	2010-SS-T0-0086	10,515	
2008 Metropolitan Medical Response (MMRS)	97.071	2008-GE-T8-0021	(2,184)	
2009 Metropolitan Medical Response (MMRS)	97.071	2009-SS-T9-0016	257,110	
2010 Metropolitan Medical Response (MMRS)	97.071	2010-SS-T0-0086	63,730	
2008 SHSGP-IST/RRT Logistical Support Vehicle	97.073	2007-GE-T7-0006	475,640	
2008 SHSGP-1st Command	97.073	2008-GE-T8-0021	648,600	
2009 SHSGP-Citizen Corp	97.073	2009-SS-T9-0016	16,705	
2009 SHSGP-AHIMT	97.073	2009-SS-T9-0016	245,073	
2010 SHSGP-AHIMT	97.073	2010-SS-T0-0086	105,659	
2009 SHSGP-Air Support Night Vision Equipment	97.073	2009-SS-T9-0016	106,320	
2009 SHSGP-Emergency Notification System	97.073	2009-SS-T9-0016	85,000	
2010 SHSGP-CERTS	97.073	2010-SS-T0-0086	33,349	
2008 SHSGP-Rev 911	97.073	2009-SS-T9-0016	42,160	
2010 SHSGP-Rev 911	97.073	2010-SS-T0-0086	99,728	
Buffer Zone Protection Plan (BZPP) 2007	97.078	333219-03, -04, -05	161,067	
Buffer Zone Protection Plan (BZPP) 2008	97.078	444213-01	2,500	
Buffer Zone Protection Plan (BZPP) 2009	97.078	555212-02	166,104	
<b>Total - Arizona Department of Emergency Management</b>			<b>\$ 2,896,304</b>	



**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>Arizona Department of Homeland Security</b>				
Urban Area Security Initiative (UASI) 2007-2008	97.067	444823-12, -13, -14	\$ 47,229	
Urban Area Security Initiative (UASI) 2009	97.067	Various	972,642	
Urban Area Security Initiative (UASI) 2010	97.067	Various	717,684	
State Homeland Security Grant Program 2007, 2009	97.067	333200-08; 555213-01, -02	178,609	
State Homeland Security Grant Program 2010	97.067	777213-01	124,699	
2007 Transit Security Grant Program	97.075	2007-RL-T7-0003	17,531	
2008 Transit Security Grant Program	97.075	08-AZDOHS-TSGP	80,640	
2009 Transit Security Grant Program	97.075	2009-RA-T9-0034	187,525	
<b>Total - Arizona Department of Homeland Security</b>			<b>\$ 2,326,559</b>	
<b>Maricopa County Department of Emergency Management</b>				
2008 Citizen Emergency Response Team (CERT)-Citizen Corp	97.053	444201-01	\$ 2,971	
2009 Citizen Emergency Response Team (CERT)	97.053	555200-01	2,556	
<b>Total - Maricopa County Department of Emergency Management</b>			<b>\$ 5,527</b>	
<b>TOTAL - DEPARTMENT OF HOMELAND SECURITY</b>			<b>\$ 21,247,818</b>	
<b>V. DEPARTMENT OF HEALTH AND HUMAN SERVICES (H.H.S.)</b>				
Headstart Year "43"	93.600		\$ 1,426,540	\$ 906,517
Headstart Year "43"	93.600		23,031,729	14,367,625
Headstart Year "44"	93.600		593,725	466,711
<b>ARRA09 Headstart COLA/Quality</b>			<b>\$ 25,051,994</b>	<b>\$ 15,740,853</b>
<b>Subtotal - CFDA #93.600</b>				
<b>ARRA09 Headstart Expansion</b>	93.708		<b>\$ 760,619</b>	<b>\$ 500,865</b>
<b>ARRA09 Early Headstart</b>	93.708		<b>3,391,890</b>	<b>-</b>
<b>Subtotal - CFDA #93.708</b>			<b>\$ 4,152,509</b>	<b>\$ 500,865</b>
<b>Total - H.H.S. - Federal Direct</b>			<b>\$ 29,204,503</b>	<b>\$ 16,241,718</b>
<b>Federal Pass-Through</b>				
<b>Arizona Department of Health</b>				
2008 Immunization Program	93.268	HG854316	\$ 4,962	
<b>Total - Arizona Department of Health</b>			<b>\$ 4,962</b>	
<b>Arizona Department of Economic Security</b>				
Temporary Assistance for Needy Families (TANF) 09-10	93.558	E6306004	\$ (509)	\$
Temporary Assistance for Needy Families (TANF) 10-11	93.558	DE111075001	1,060,356	(93)
TANF Homeless	93.558	DE0-71131-001	(93)	31,581
TANF Homeless	93.558	DE0-71131-001	32,993	
Low Income Home Energy Assistance Program (LIHEAP)	93.568	E6306004	36,861	
Low Income Home Energy Assistance Program (LIHEAP)	93.568	DE111075001	7,763,015	
FREED LIHEAP	93.568	Various	94,801	
Community Service Block Grant	93.569	E6306004	23,823	
Community Service Block Grant	93.569	DE111075001	1,569,397	
Social Services Block Grant	93.667	DE111075001	956,353	
<b>ARRA09 Community Services Block Grant (CSBG)</b>	93.710	E6306004	<b>2,948</b>	
<b>Total - Arizona Department of Economic Security</b>			<b>\$ 11,539,945</b>	<b>\$ 31,488</b>

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>Other Pass-through Programs</b>				
Older American Act - SSBG	93.667	2009-37-COP	\$ 1,525	
Older American Act - Title IIB	93.044	2009-37-COP	339,729	
Older American Act - Title IIC2	93.045	2009-37-COP	1,110,157	
Older American Act - NSIP	93.053	2009-37-COP	239,789	
Older American Act - SSBG	93.667	2011-32-PHX	<u>155,162</u>	
<b>Total - Other Pass-through Programs</b>			<b>\$ 1,846,362</b>	
<b>TOTAL - DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>			<b>\$ 42,595,772</b>	<b>\$ 16,273,206</b>
<b>VI. DEPARTMENT OF EDUCATION</b>				
<b>Federal Pass-Through</b>				
<b>Arizona Department of Education</b>				
Art Space 09-10	84.287	CTDS 074403000	<u>\$ 18,462</u>	
<b>Total - Arizona Department of Education</b>			<b>\$ 18,462</b>	
<b>Arizona Governor's Office of Economic Recovery</b>				
<b>ARRA09</b> - Governor's Public Safety Stabilization Program	84.397	OER-11-IGA-GS-69	\$ 223,667	
<b>ARRA09</b> - Governor's Public Safety Stabilization Program	84.397	OER-11-IGA-GS-190	<u>214,826</u>	
<b>Total - Arizona Governor's Office of Economic Recovery</b>			<b>\$ 438,493</b>	
<b>TOTAL - DEPARTMENT OF EDUCATION</b>			<b>\$ 456,955</b>	
<b>VII. DEPARTMENT OF JUSTICE</b>				
<b>Bureau of Justice Assistance</b>				
<b>Congressionally Recommended Awards</b>				
ePRO 2010 - 2013	16.753		<u>\$ 22,200</u>	
<b>Total - Congressionally Recommended Awards</b>			<b>\$ 22,200</b>	
<b>Office of Victims of Crime</b>				
Victim's Rights 2010-11	16.582		<u>\$ 161,782</u>	
<b>Total - Office of Victims of Crime</b>			<b>\$ 161,782</b>	
<b>Office of Justice Programs</b>				
Internet Crimes Against Children	16.543		\$ 332,511	
G.R.E.A.T - Regional	16.737		245,433	
G.R.E.A.T - Local	16.737		34,119	
<b>ARRA09</b> Internet Crimes Against Children	16.800		<u>230,465</u>	
<b>Total - Office of Justice Programs</b>			<b>\$ 842,528</b>	
Maryvale Weed and Seed 08-09	16.595		\$ 8,242	\$ 8,242
Maryvale Weed and Seed 09-10	16.595		31,812	30,993
Maryvale Weed and Seed 10-11	16.595		6,709	6,709
Capital Mall Weed & Seed 2007	16.595		13,162	13,162
Canyon Corridor Weed and Seed 08-09	16.595		4,873	4,199
Canyon Corridor Weed and Seed 09-10	16.595		24,424	24,424
Canyon Corridor Weed and Seed 10-11	16.595		<u>18,712</u>	<u>18,712</u>
<b>Subtotal - CFDA #16.595</b>			<b>\$ 107,934</b>	<b>\$ 106,441</b>
COPS Technology 2005	16.710		\$ 938	*
COPS Technology 2006 PACE	16.710		141,567	*
COPS Technology 2007	16.710		2,416,930	*
COPS Technology 2009	16.710		499,370	*
COPS Technology 2010: COPLINK Project	16.710		<u>91,631</u>	*
<b>Subtotal - COPS</b>			<b>\$ 3,150,436</b>	*

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
Human Trafficking	16.320		\$ 55,383	\$
BJA/BYRNE Mult-Jurisdictional Gang Task Force	16.580		69,411	
NIJ Solving Cold Cases with DNA	16.564		278,894	
Project Safe Neighborhoods	16.744		12,290	12,290
<b>ARRA09</b> Ed. Byrne Memorial Comp. - OHD (Police)	16.808		472,975	
Cannabis Eradication 2009	16.Unknown		25,525	
			<u>\$ 914,478</u>	<u>\$ 12,290</u>
<b>Total - Federal Direct Programs</b>			<b>\$ 5,199,358</b>	<b>\$ 118,731</b>
<b>Arizona Criminal Justice Commission (A.C.J.C)</b>				
ACJC Victim's Assistance 10/11	16.582	VA-10-039	\$ 25,812	
Project Safe Neighborhoods (PSN)	16.609	Various awards	76,640	
Drug Gang and Violent Crime Control	16.738	DC-11-103	57,279 *	
DNA Backlog V, VI, VII	16.741	Various awards	536,893	
National Forensic Sciences Formula V	16.742	Various awards	117,587	
<b>Total - A.C.J.C.</b>			<u>\$ 814,211</u>	
<b>Arizona Department of Public Safety (A.D.P.S.)</b>				
Victims of Crime Assistance Grant 2009 Part II	16.575	2009-463	\$ (617)	
Victims of Crime Assistance Grant 2010	16.582	2009-452	206,474	
VOCA Advocacy Services 2010-2011	16.582	2009-453	102,956	
VOCA Elder Abuse Advocate 2010-2011	16.801	2009-375	66,956	
<b>ARRA09</b> JAG Community Assistance - VOCA	16.801	2009-474	21,871	
<b>ARRA09</b> JAG Community Assistance - VOCA			53,149	
<b>Total - A.D.P.S.</b>			<u>\$ 450,789</u>	
<b>Governor's Office of Highway Safety</b>				
Governor's Office of Highway Safety (GOHS)	16.727	Various Awards	\$ 73,412	
<b>Total - Governor's Office of Highway Safety</b>			<u>\$ 73,412</u>	
<b>Maricopa County</b>				
Byrne Justice Assistance Grant (JAG) 2008	16.738	2008-DJ-BX-0500	\$ 64,461 *	
Byrne Justice Assistance Grant (JAG) 2009	16.738	2009-DJ-BX-0342	239,107 *	
Byrne Justice Assistance Grant (JAG) 2010	16.738	2010-DJ-BX-1213	505,169 *	
<b>ARRA09</b> Byrne Justice Assistance Grant (JAG)	16.804	2009-SB-B9-2970	2,655,494 *	
<b>Total - Maricopa County</b>			<u>\$ 3,464,231 *</u>	
<b>Neighborhood Services</b>				
Weed & Seed	16.595	Various Awards	\$ 143,467	
<b>Total - Neighborhood Services</b>			<u>\$ 143,467</u>	
<b>TOTAL - DEPARTMENT OF JUSTICE</b>			<b>\$ 10,145,468</b>	<b>\$ 118,731</b>

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>VIII. DEPARTMENT OF LABOR</b>				
Youthbuild CY06	17.274		\$ 2,676	\$
Youthbuild CY08	17.274		7,194	
Youthbuild	17.274		<u>603,328</u>	<u>382,590</u>
<b>Total - Department of Labor (Federal Direct)</b>			<b>\$ 613,198</b>	<b>\$ 382,590</b>
<b>Arizona Department of Economic Security - Other</b>				
Workforce Investment Act - Adult 2009-10	17.258	DE101043	\$ 874,490	* \$
Workforce Investment Act - Adult 2010-11	17.258	DE111003001	1,976,084	*
<b>ARRA09</b> Workforce Investment Act - Adult	17.258	DE091198001	383,430	*
Workforce Investment Act - Youth 2009-10	17.259	DE101043	936,476	*
Workforce Investment Act - Youth 2010-11	17.259	DE111003001	1,938,583	*
<b>ARRA09</b> Workforce Investment Act -Youth	17.259	DE091198001	858,563	*
<b>ARRA09</b> System Building	17.259	DE091198001	123,693	*
Workforce Investment Act - Dislocated Worker 2008-09	17.260	DE081294001	20	*
Workforce Investment Act - Dislocated Worker 2009-10	17.278	DE101043	1,470,336	*
Workforce Investment Act - Dislocated Worker 2010-11	17.278	DE111003001	2,625,214	*
<b>ARRA09</b> Workforce Investment Act - Dislocated Workers	17.278	DE091198001	1,288,829	*
<b>ARRA09</b> Green Capacity	17.275	GJ-19793-10-60-A-4	75,975	
State Energy Sect Prog	17.275	MCHS129264	<u>172,170</u>	
<b>Total - Arizona Department of Economic Security - Other</b>			<b>\$ 12,723,863</b>	<b>\$ 3,148,297</b>
<b>TOTAL - DEPARTMENT OF LABOR</b>			<b>\$ 13,337,061</b>	<b>\$ 3,530,887</b>
<b>IX. U.S. DEPARTMENT OF AGRICULTURE (U.S.D.A.)</b>				
<b>Federal Pass-Through</b>				
<b>AZ Department of Health Services</b>				
Arizona Nutrition Network	10.561	HI050077	<u>\$ 240,864</u>	
<b>TOTAL - U.S. DEPARTMENT OF AGRICULTURE (U.S.D.A.)</b>			<b>\$ 240,864</b>	
<b>X. DEPARTMENT OF ENERGY (D.O.E.)</b>				
<b>ARRA09</b> Local Energy Assurance Planning (LEAP) Initiative	81.122		\$ 238,653	* \$
<b>ARRA09</b> Local Energy Assurance Planning (LEAP) Initiative	81.122		7,331,945	*
<b>ARRA09</b> Energy Efficiency	81.128		<u>1,411,845</u>	<u>593,048</u>
<b>Total Direct Federal Awards</b>			<b>\$ 8,982,443</b>	<b>\$ 593,048</b>
<b>Federal Pass-Through (D.O.E.)</b>				
<b>Arizona Department of Energy</b>				
Weatherization - DOE	81.042	C051-09-06	\$ 84,959	*
Weatherization - LIHEAP	81.042	C052-09-06	723,953	*
Sustainable Energy Resources for Consumers (SERC)	81.042	C037-09-06 AM#3	3,518	*
<b>ARRA09</b> Weatherization	81.042	C037-09-06	<u>2,534,386</u>	*
<b>Total - Arizona Department of Energy (D.O.E.)</b>			<b>\$ 3,346,816</b>	*
<b>TOTAL - DEPARTMENT OF ENERGY (D.O.E.)</b>			<b>\$ 12,329,259</b>	<b>\$ 593,048</b>
<b>XI. INSTITUTE OF MUSEUM AND LIBRARY SERVICES</b>				
<b>Federal Pass-Through (Library, Archives &amp; Public Records)</b>				
21 Century Learning LSTA 2010	45.310	2010-39046-18	\$ 32,359	
21 Century Learning LSTA 2010	45.310	2010-30104-05	<u>48,335</u>	
			<b>\$ 80,694</b>	

**CITY OF PHOENIX, ARIZONA**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS (CONTINUED)**  
**FOR THE FISCAL YEAR ENDED JUNE 30, 2011**

Agency/Program/Grant Title	Federal CFDA Number	Federal Grant/ State Pass-Through Number	2010/2011 Expenditures	Subrecipient's Share of Total Expenditures
<b>XII. ENVIRONMENTAL PROTECTION AGENCY</b>				
ARRA09 Clean Diesel Funding Assistance Program	66.039		\$ 763,226	
Brownsfield Del Rio	66.814		63,196	
Brownsfield Job Training Grant	66.818		<u>37,585</u>	
			<b>\$ 864,007</b>	
<i>Federal Pass-Through (Office of Water)</i>				
<i>Water Infrastructure Financing Authority</i>				
ARRA09 Relief Sewers Project	66.458	92A178-10	\$ 3,200,000 *	
<b>Total - Water Infrastructure Financing Authority</b>			<b>\$ 3,200,000 *</b>	
<b>TOTAL - ENVIRONMENTAL PROTECTION AGENCY</b>			<b>\$ 4,064,007</b>	
<b>XIII. DEPARTMENT OF COMMERCE</b>				
<i>Federal Pass-Through</i>				
<i>AZ Department of Homeland Security</i>				
Public Safety Interoperable Communications (PSIC) 2007	11.555		\$ 4,275,242 *	
<b>TOTAL - DEPARTMENT OF COMMERCE</b>			<b>\$ 4,275,242 *</b>	
<b>IVX. EXECUTIVE OFFICE OF THE PRESIDENT</b>				
HIDTA 20	95.001		\$ 667,395	
HIDTA 21	95.001		<u>550,542</u>	
<b>TOTAL - EXECUTIVE OFFICE OF THE PRESIDENT</b>			<b>\$ 1,217,937</b>	
<b>GRAND TOTAL FEDERAL ASSISTANCE PROGRAMS</b>			<b>\$ 431,602,624</b>	<b>\$ 122,237,655</b>

\* Denotes a major program

This schedule should be read in connection with the accompanying notes to  
the schedule of expenditures of federal awards

**CITY OF PHOENIX, ARIZONA**  
**NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**Year Ended June 30, 2011**

**Basis of Accounting**

The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of the City and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Because the Schedule presents only a portion of the operations of the City, it is not intended to and does not present the financial position, results of operations and cash flows of the City of Phoenix, Arizona.

Federal awards provided to subrecipients are treated as expenditure when paid to the subrecipient.

**Catalog of Federal Domestic Assistance (CFDA) Numbers**

The program titles and CFDA numbers were obtained from the Catalog of Federal Domestic Assistance.

This information is an integral part of the accompanying  
schedule of expenditures of federal awards.